

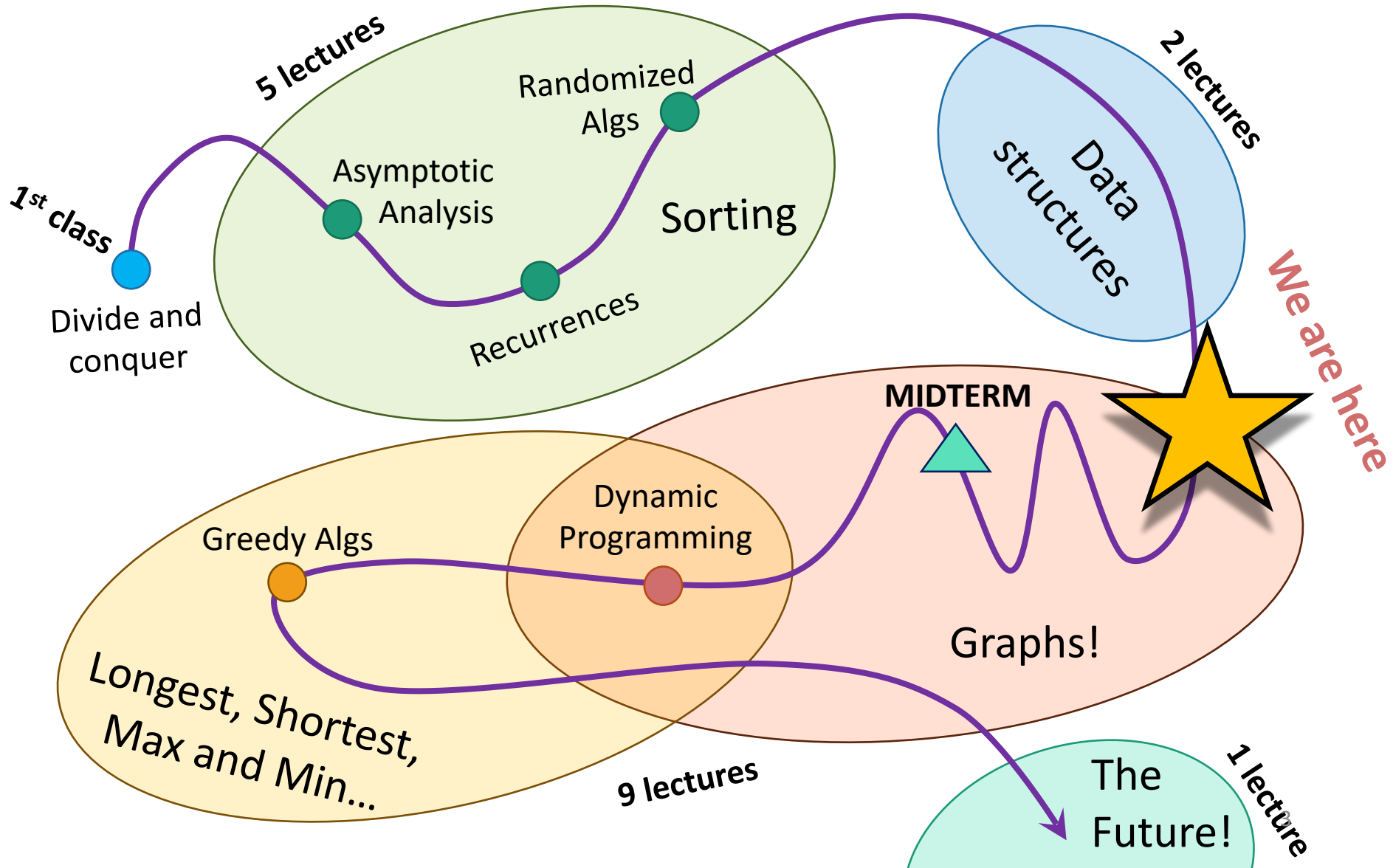
Lecture 9

Graphs, BFS and DFS

Announcements!

- HW4 due today.
- Midterm: Feb 7-8 (Mon-Tue, 48 hours).
- Midterm covers up to (and incl.) lecture 7. This week's lectures are not included.
- No homework this week: use the time to study for the exam!

Roadmap

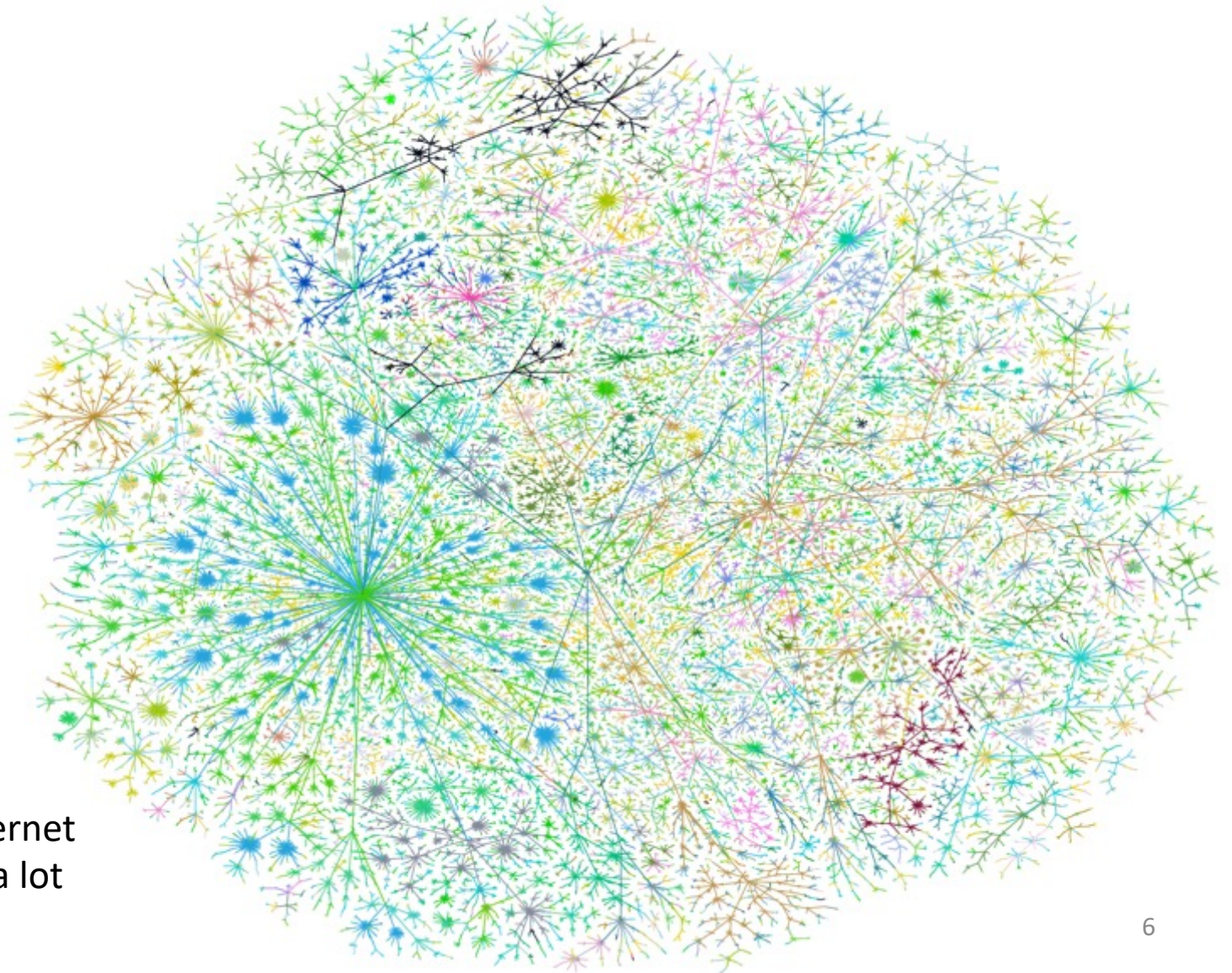


Outline

- Part 0: Graphs and terminology
- Part 1: Depth-first search
 - Application: topological sorting
 - Application: in-order traversal of BSTs
- Part 2: Breadth-first search
 - Application: shortest paths
 - Application (if time): is a graph bipartite?

Part 0: Graphs

Graphs



Graph of the internet
(circa 1999...it's a lot
bigger now...)

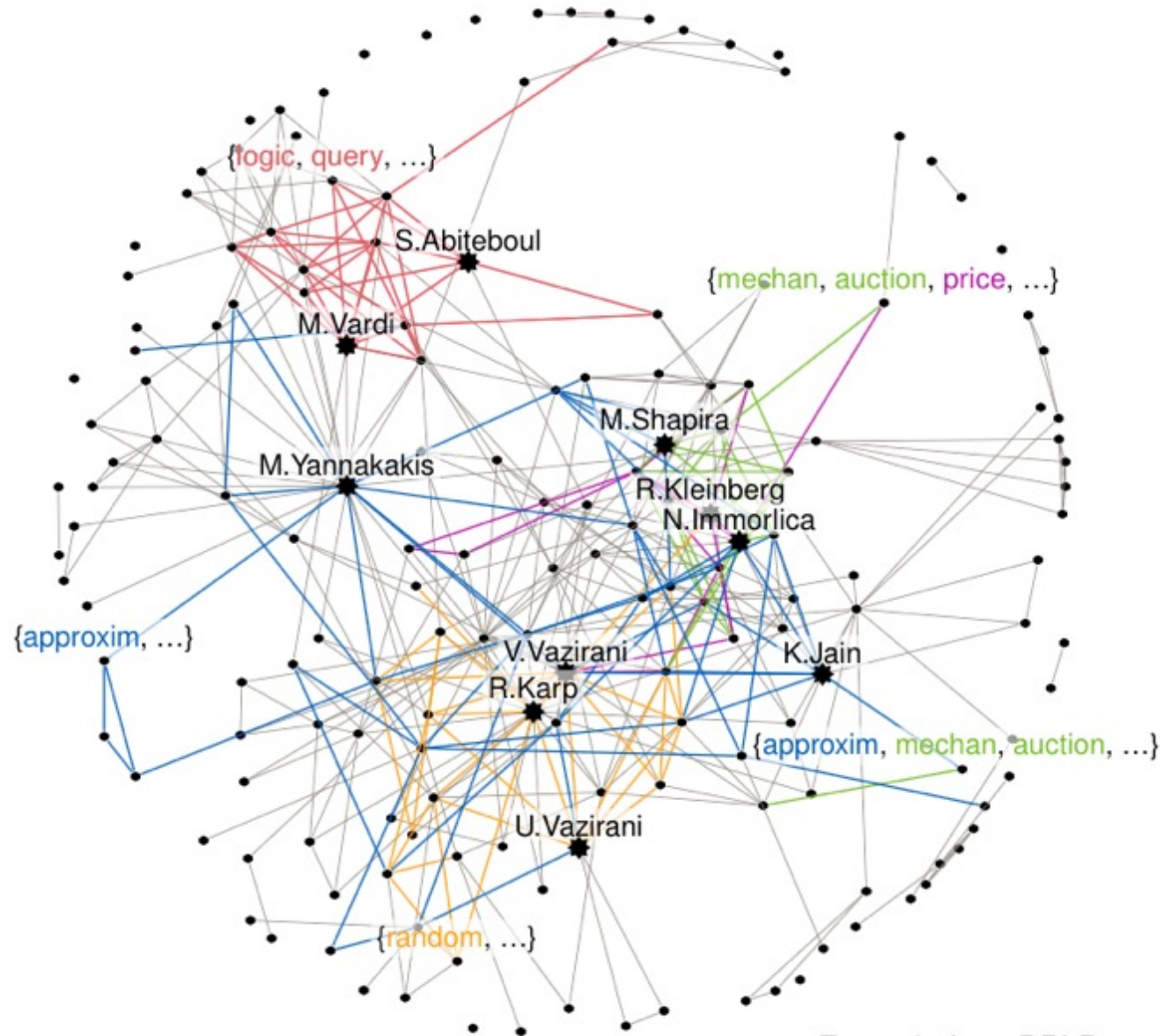
Graphs



Citation graph of literary theory academic papers

Graphs

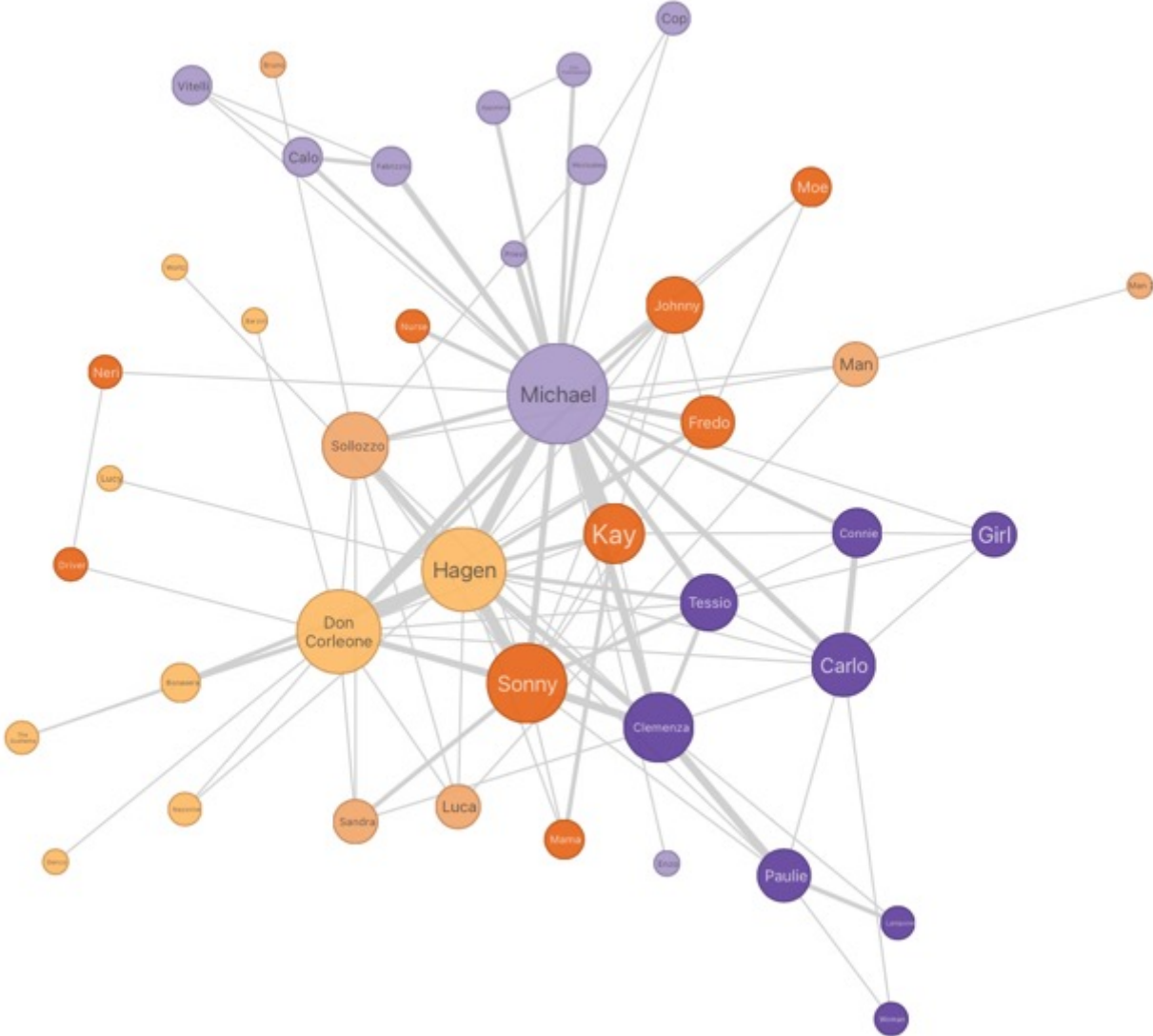
Theoretical Computer
Science academic
communities



Example from DBLP:
Communities within the co-authors of Christos H. Papadimitriou

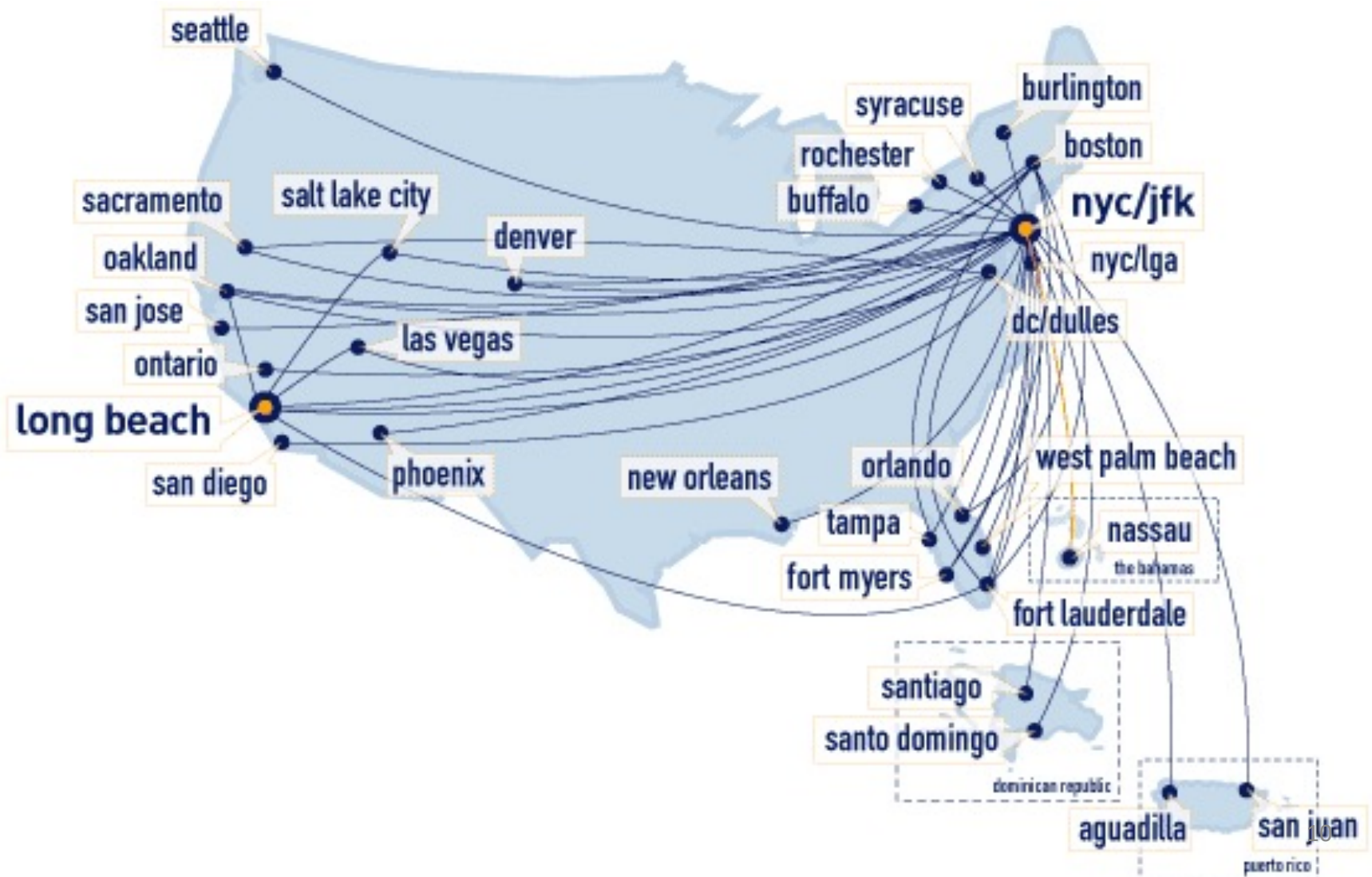
Graphs

The Godfather Characters Interaction Network



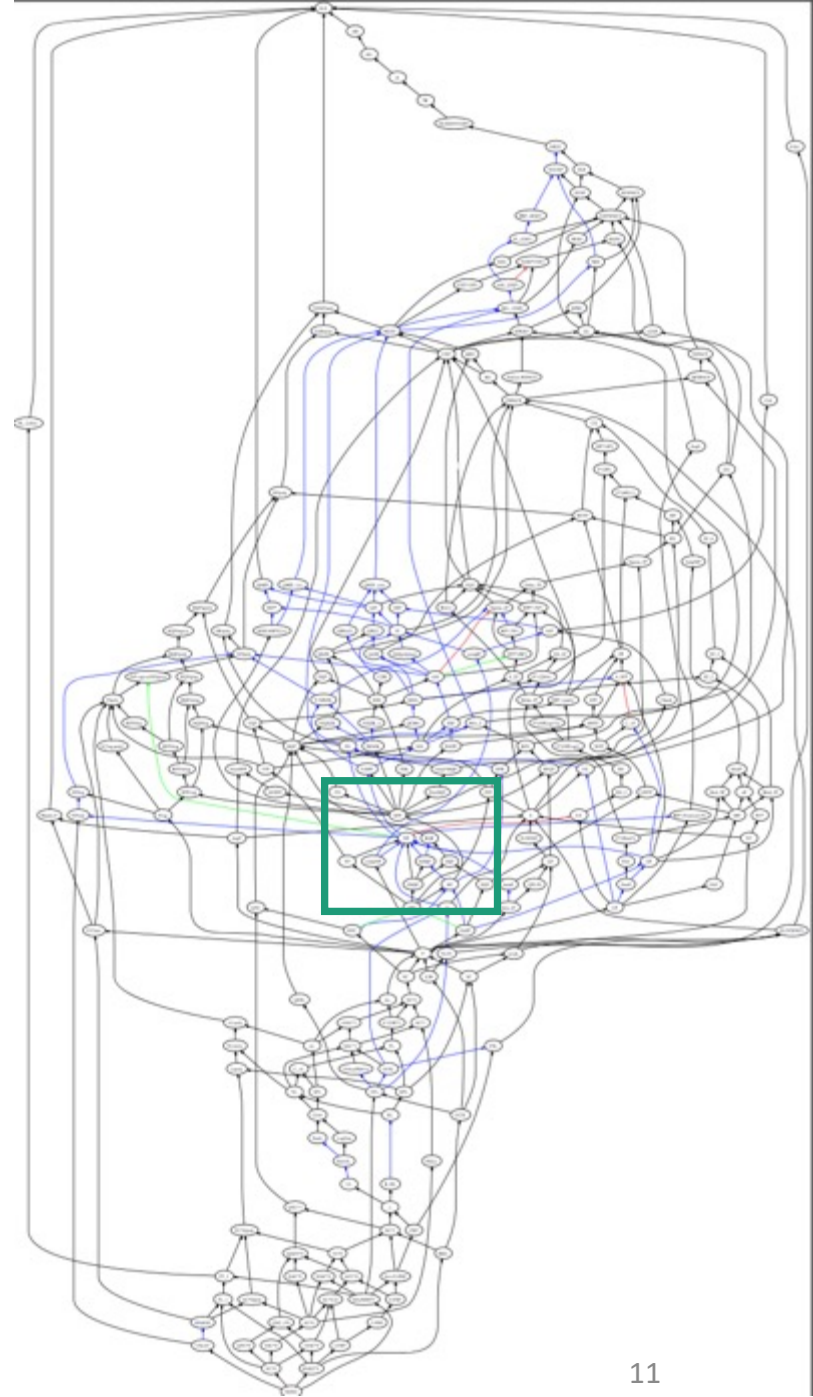
Graphs

jetblue flights



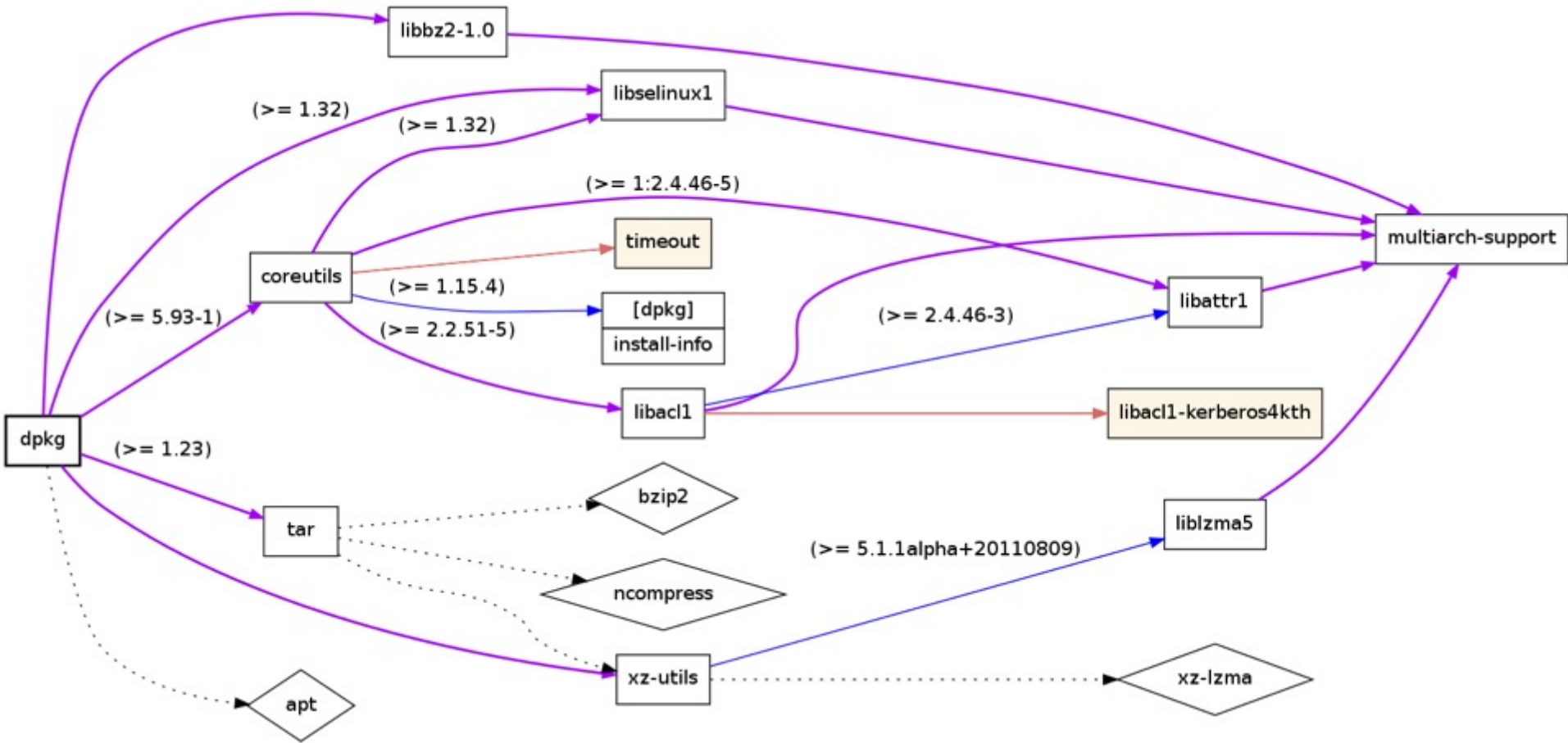
Graphs

Complexity Zoo
containment graph



Graphs

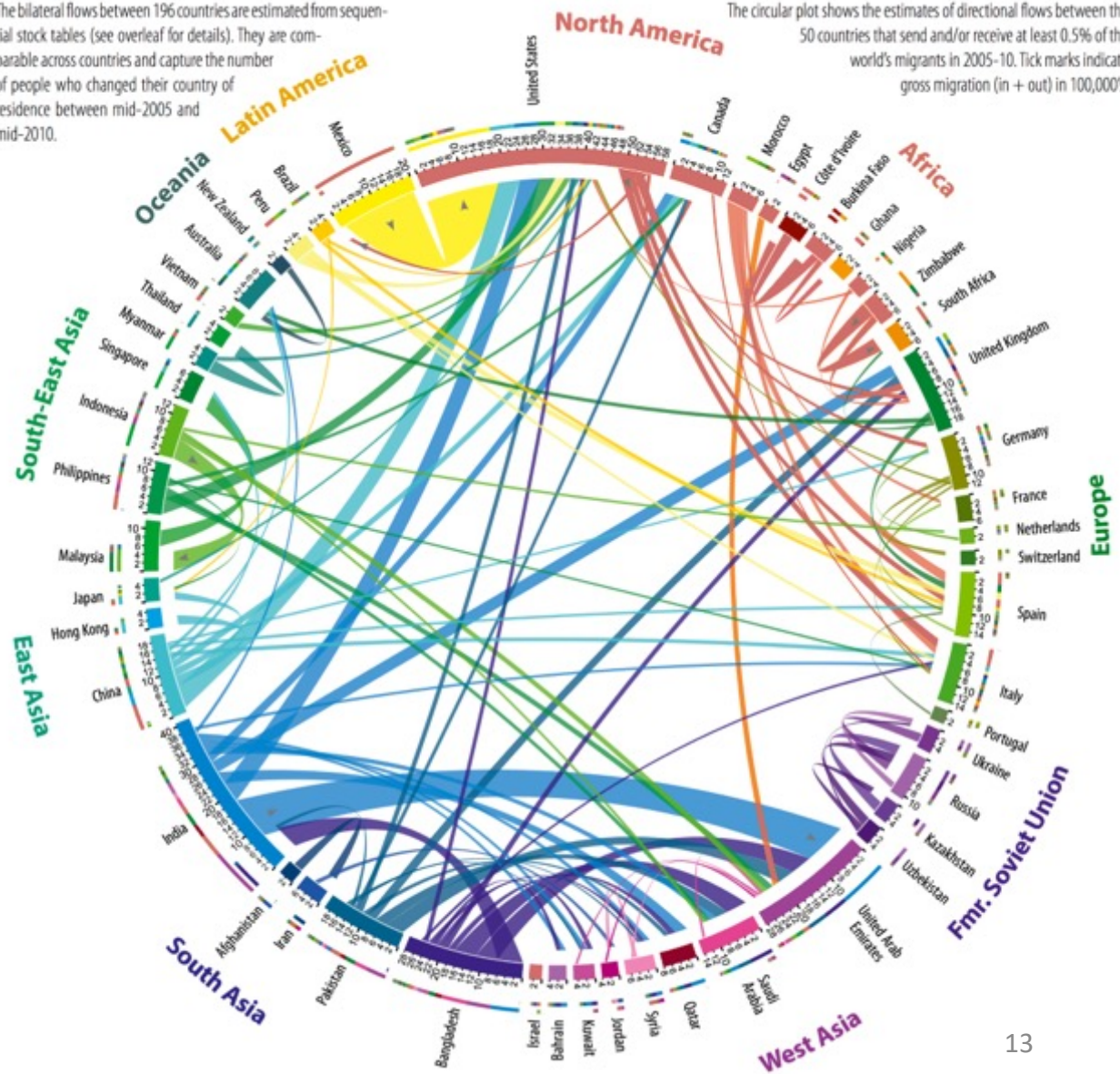
debian dependency (sub)graph



Graphs

The bilateral flows between 196 countries are estimated from sequential stock tables (see overleaf for details). They are comparable across countries and capture the number of people who changed their country of residence between mid-2005 and mid-2010.

The circular plot shows the estimates of directional flows between the 50 countries that send and/or receive at least 0.5% of the world's migrants in 2005-10. Tick marks indicate gross migration (in + out) in 100,000's.

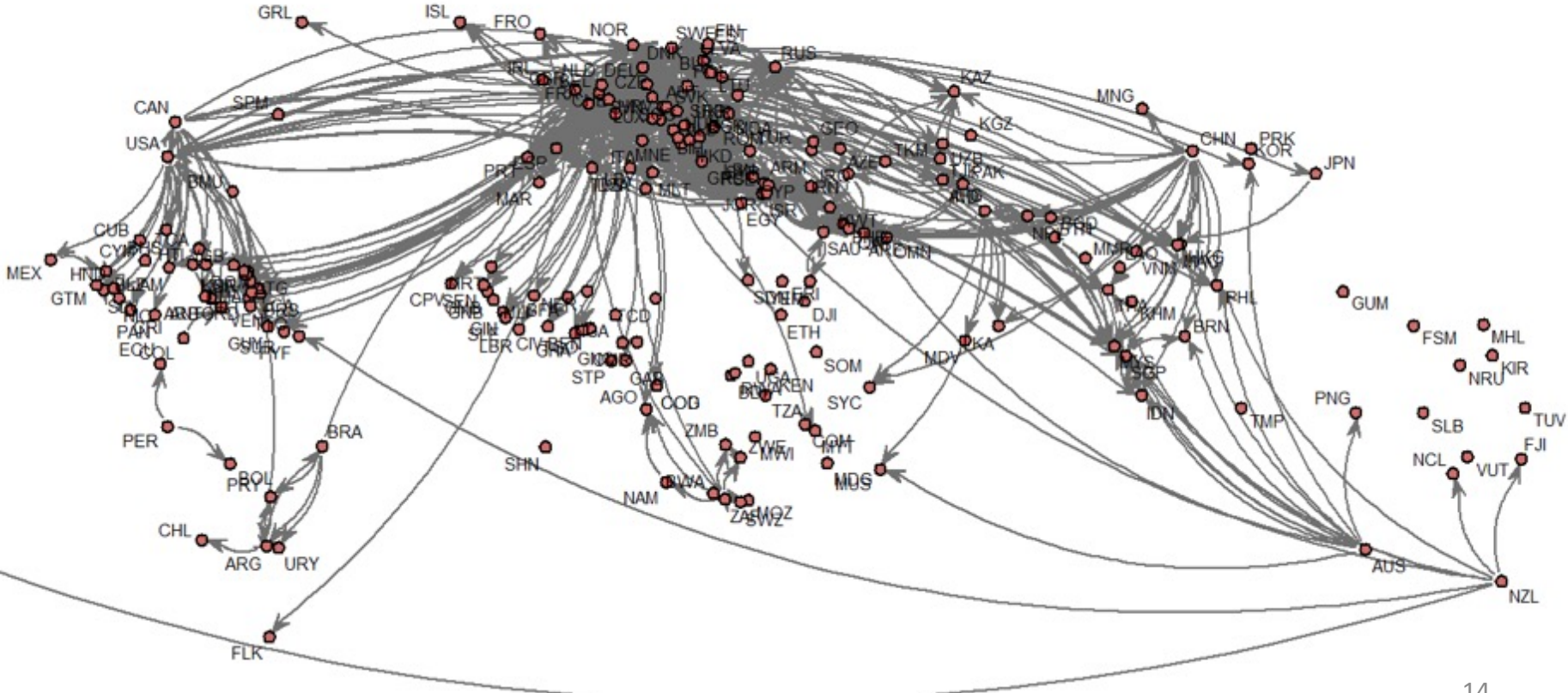


Immigration flows

Graphs

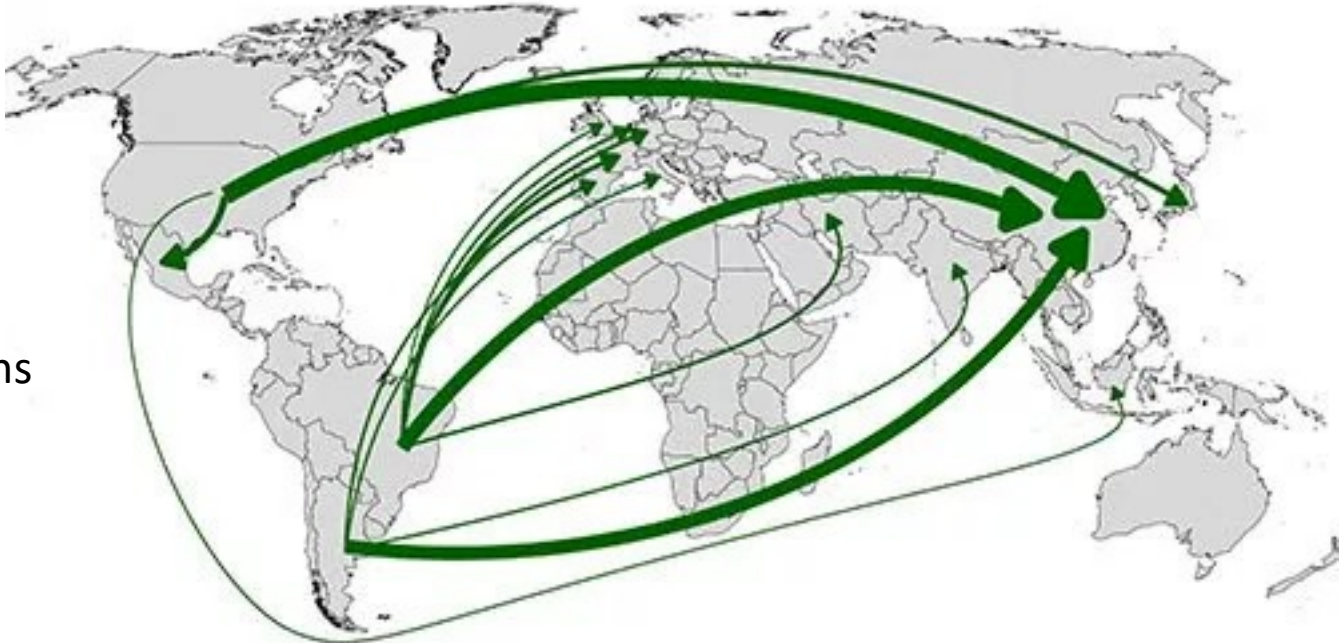
Potato trade

World trade in fresh potatoes, flows over 0.1 m US\$ average 2005-2009

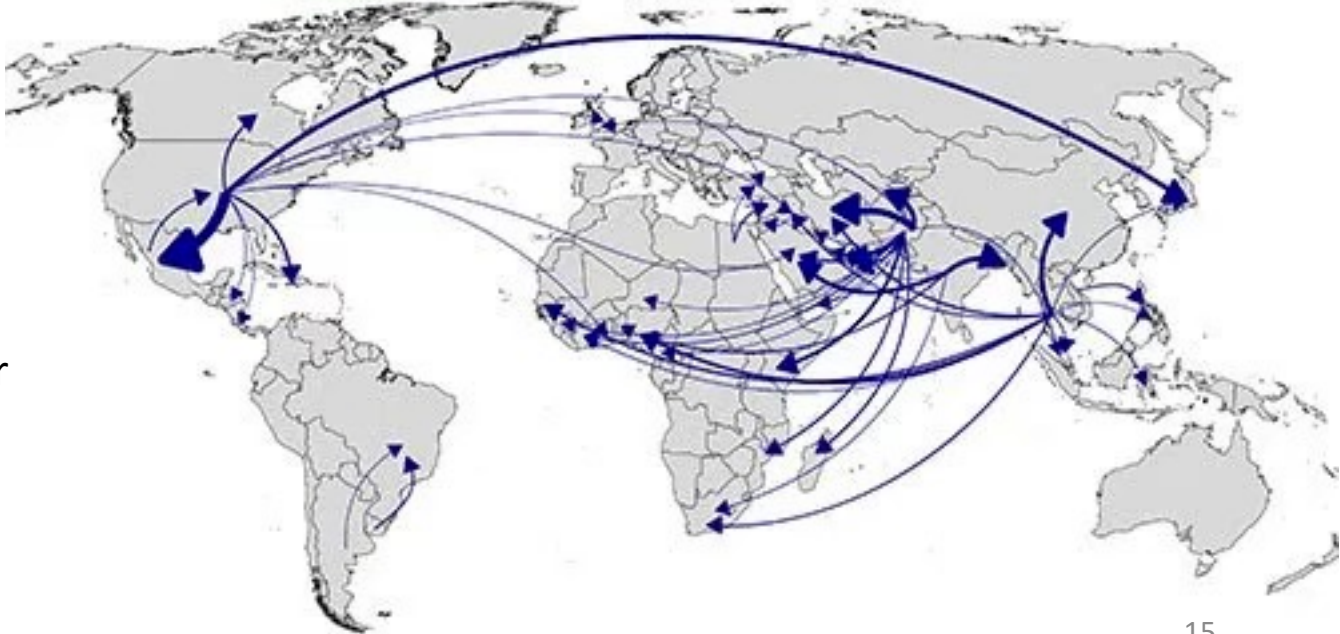


Graphs

Soybeans

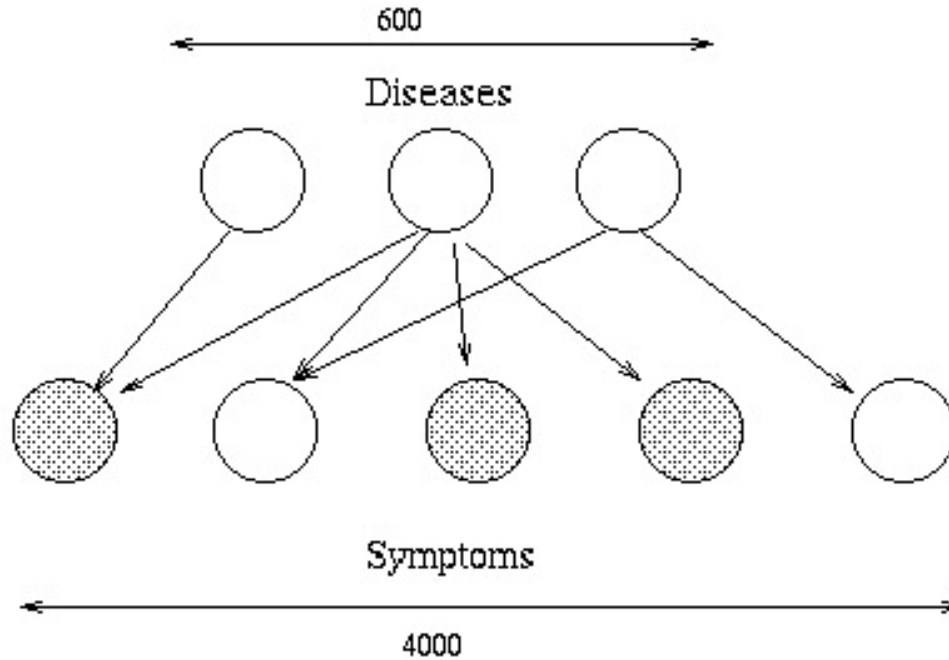


Water



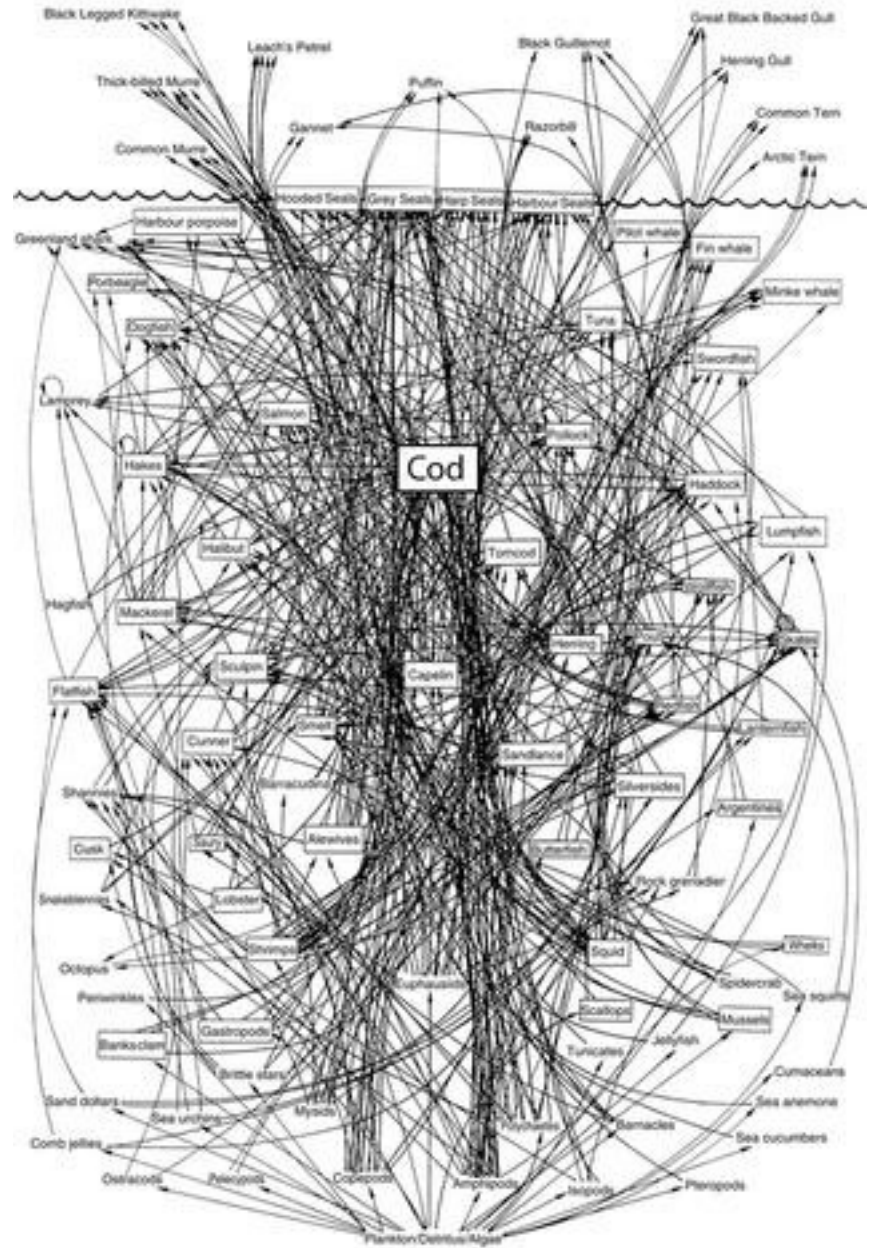
Graphs

Graphical models



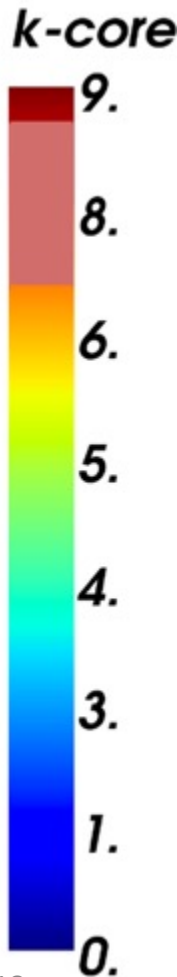
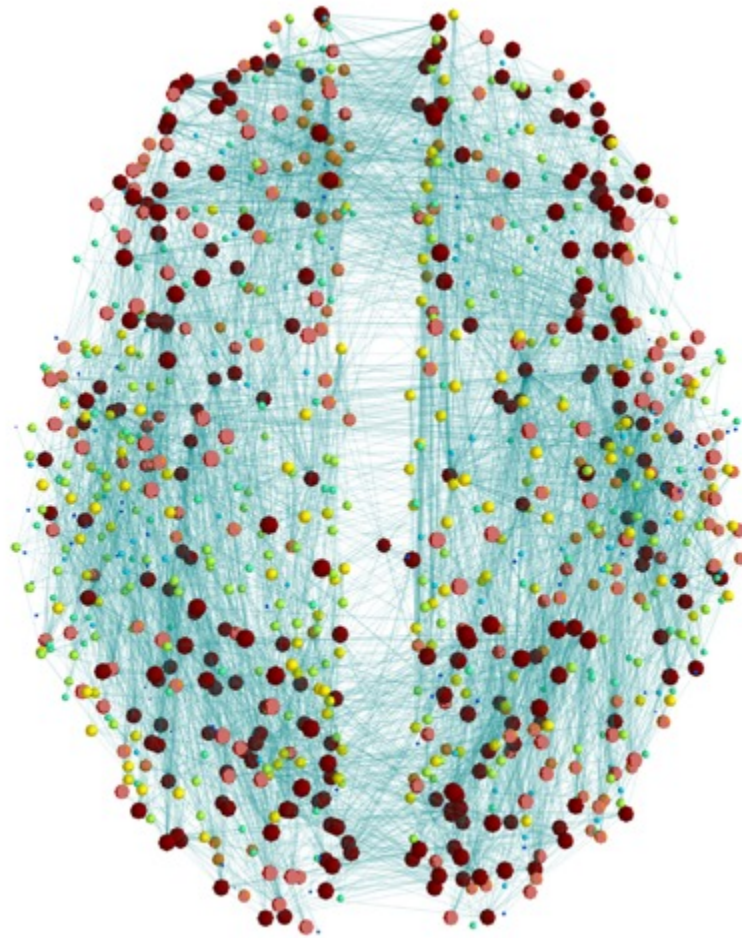
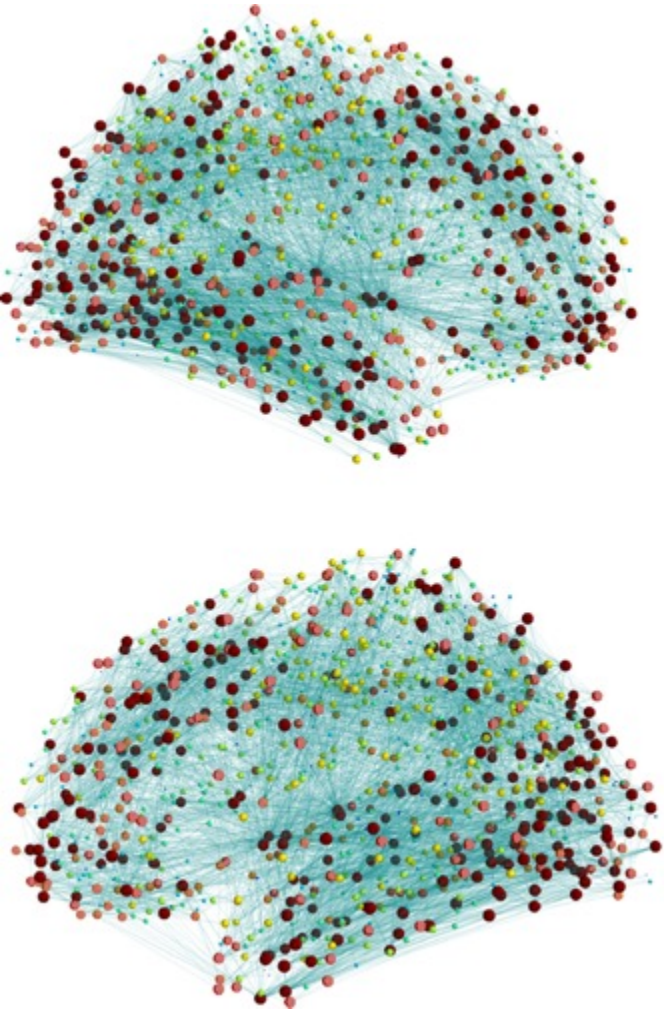
Graphs

What eats what in the Atlantic ocean?



Graphs

Neural connections
in the brain

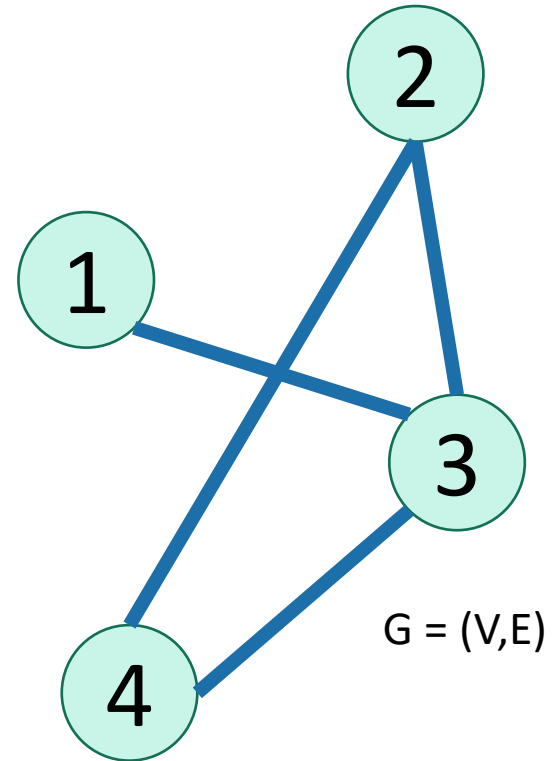


Graphs

- **There are a lot of graphs.**
- We want to answer questions about them.
 - Efficient routing?
 - Community detection/clustering?
 - From pre-lecture exercise:
 - Computing Bacon numbers
 - Signing up for classes without violating pre-req constraints
 - How to distribute fish in tanks so that none of them will fight.
- This is what we'll do for the next several lectures.

Undirected Graphs

- Has vertices and edges
 - V is the set of vertices
 - E is the set of edges
 - Formally, a graph is $G = (V,E)$
- Example
 - $V = \{1,2,3,4\}$
 - $E = \{ \{1,3\}, \{2,4\}, \{3,4\}, \{2,3\} \}$



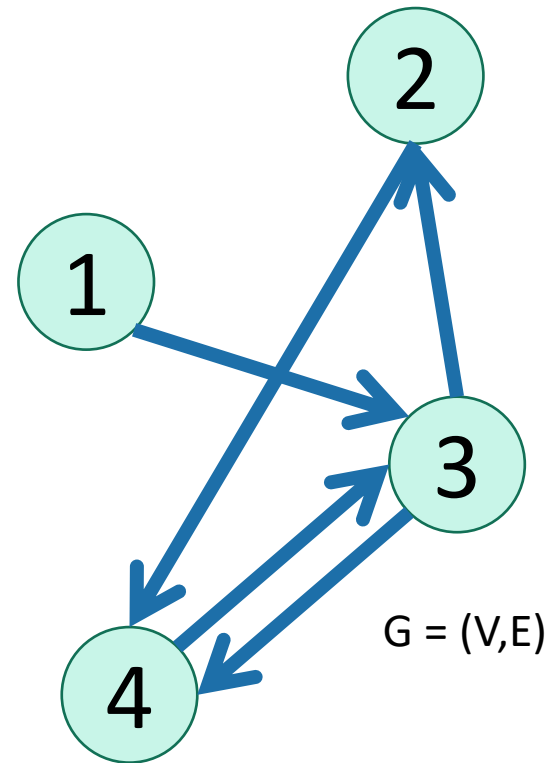
- The **degree** of vertex 4 is 2.
 - There are 2 edges coming out
- Vertex 4's **neighbors** are 2 and 3

Directed Graphs

- Has vertices and edges
 - V is the set of vertices
 - E is the set of **DIRECTED** edges
 - Formally, a graph is $G = (V,E)$

- Example

- $V = \{1,2,3,4\}$
- $E = \{ (1,3), (2,4), (3,4), (4,3), (3,2) \}$

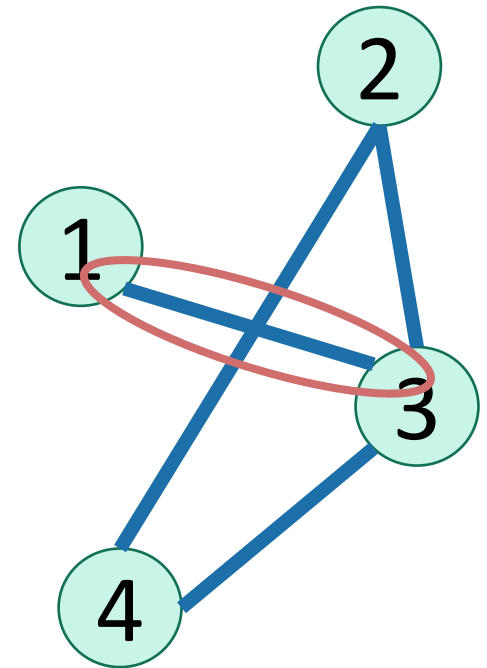


- The **in-degree** of vertex 4 is 2.
- The **out-degree** of vertex 4 is 1.
- Vertex 4's **incoming neighbors** are 2,3
- Vertex 4's **outgoing neighbor** is 3.

How do we represent graphs?

- Option 1: adjacency matrix

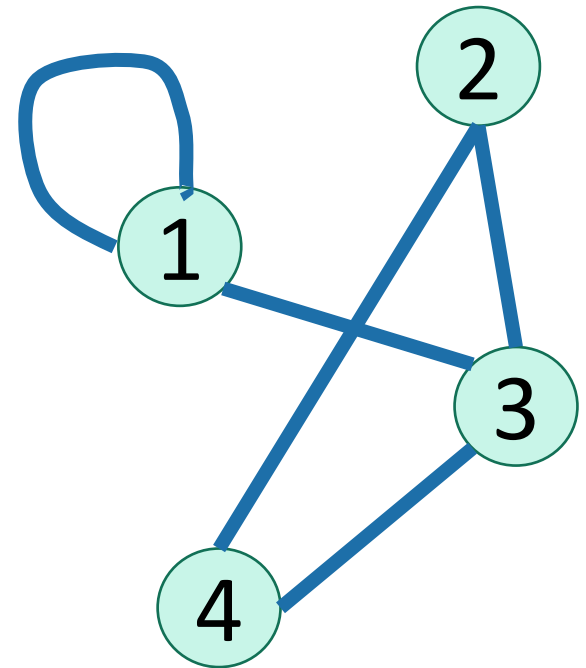
	1	2	3	4
1	0	0	1	0
2	0	0	1	1
3	1	1	0	1
4	0	1	1	0



How do we represent graphs?

- Option 1: adjacency matrix

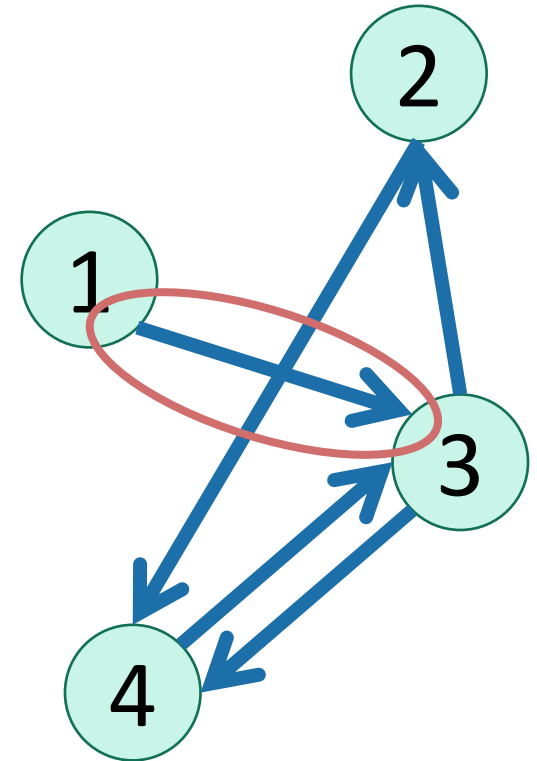
$$\begin{array}{c} 1 \\ 2 \\ 3 \\ 4 \end{array} \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$



How do we represent graphs?

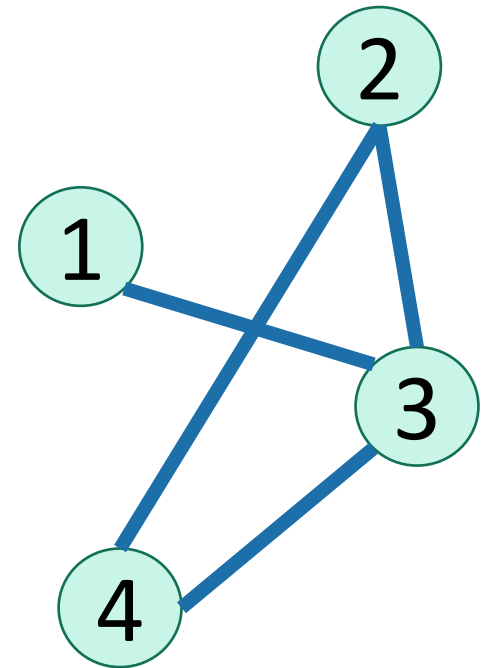
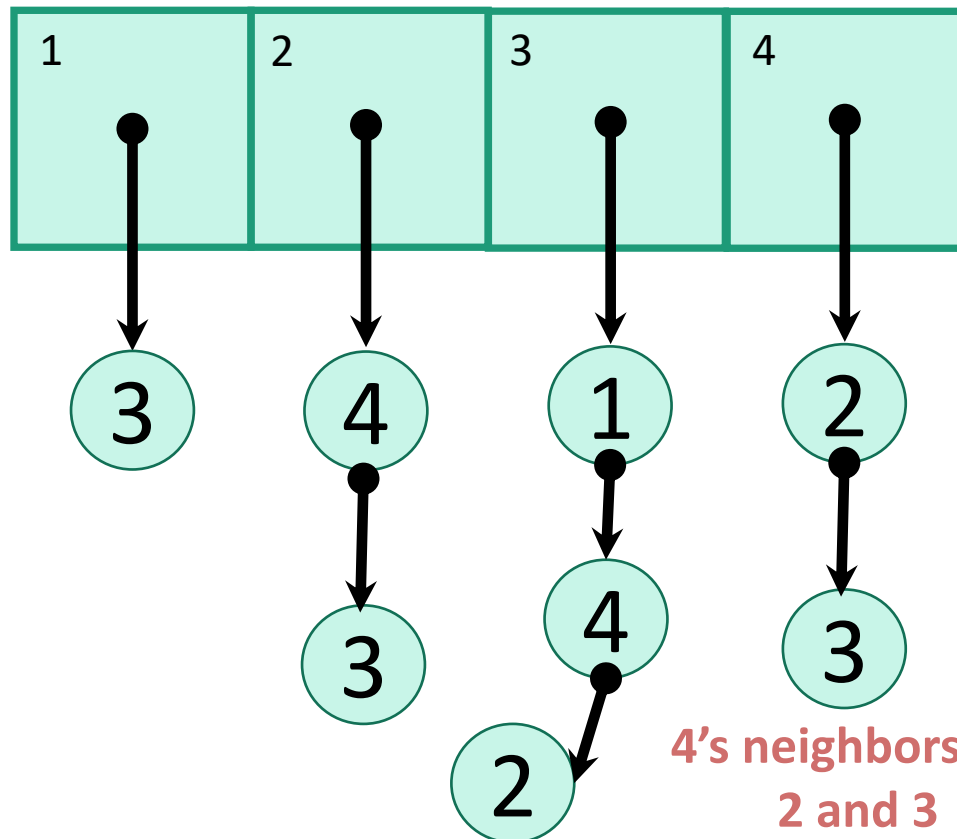
- Option 1: adjacency matrix

		Destination			
		1	2	3	4
Source	1	0	0	1	0
	2	0	0	0	1
	3	0	1	0	1
	4	0	0	1	0



How do we represent graphs?

- Option 2: adjacency lists.



How would you modify this for directed graphs?



In either case

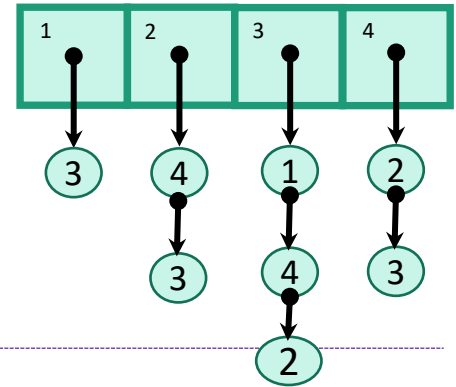
- Vertices can store other information
 - Attributes (name, IP address, ...)
 - Helper info for algorithms that we will perform on the graph
- Want to be able to do the following operations:
 - **Edge Membership**: Is edge e in E ?
 - **Neighbor Query**: What are the neighbors of vertex v ?

Trade-offs

Say there are n vertices and m edges.

$$\begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

Generally better for **sparse** graphs (where $m \ll n^2$)



Edge membership
Is $e = \{v, w\}$ in E ?

$O(1)$

$O(\deg(v))$ or
 $O(\deg(w))$

Neighbor query
Give me a list of v 's neighbors.

$O(n)$

$O(\deg(v))$

Space requirements

$O(n^2)$

$O(n + m)$

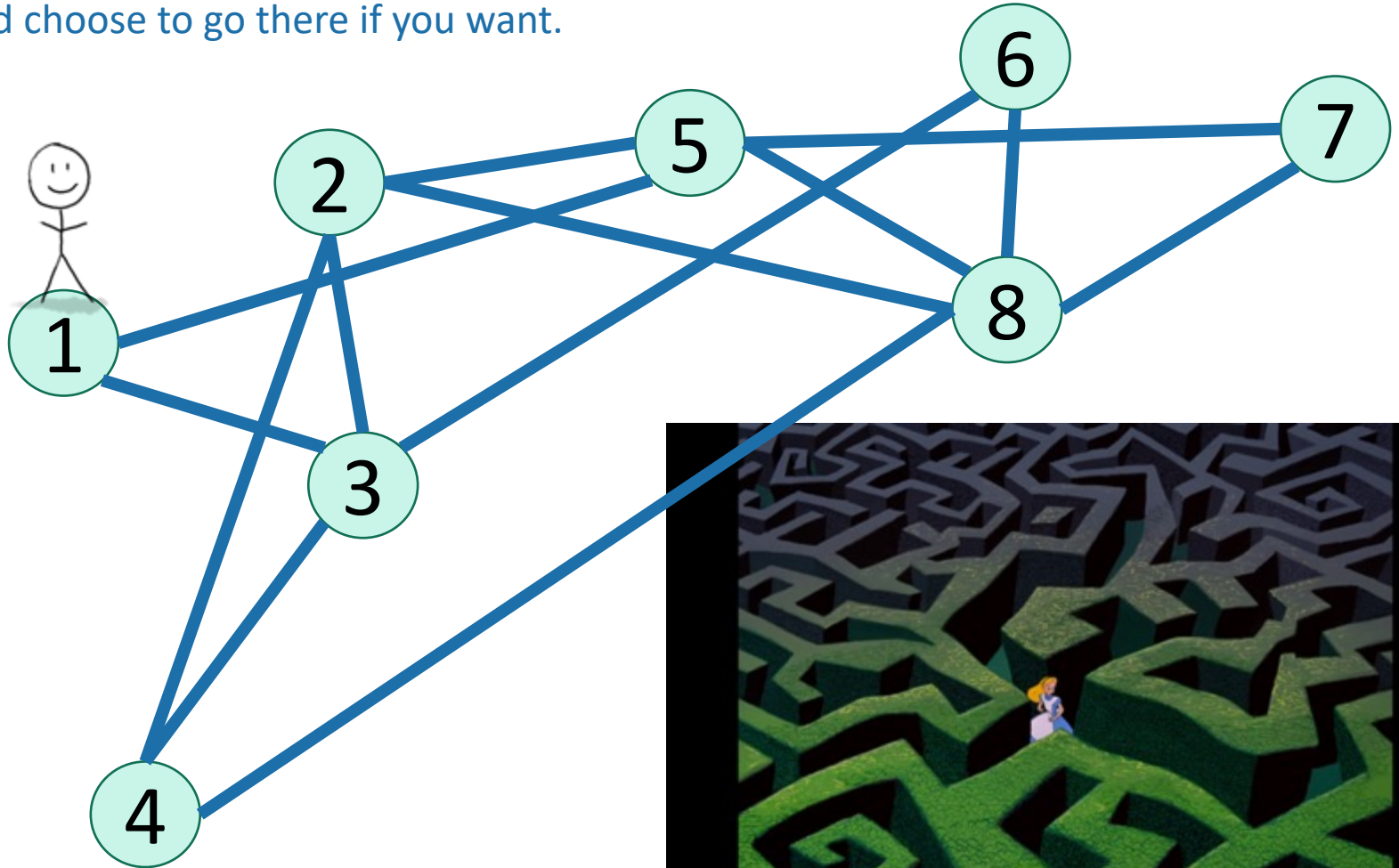
See Lecture 9 Python notebook for an actual implementation!

We'll assume this representation for the rest of the class

Part 1: Depth-first search

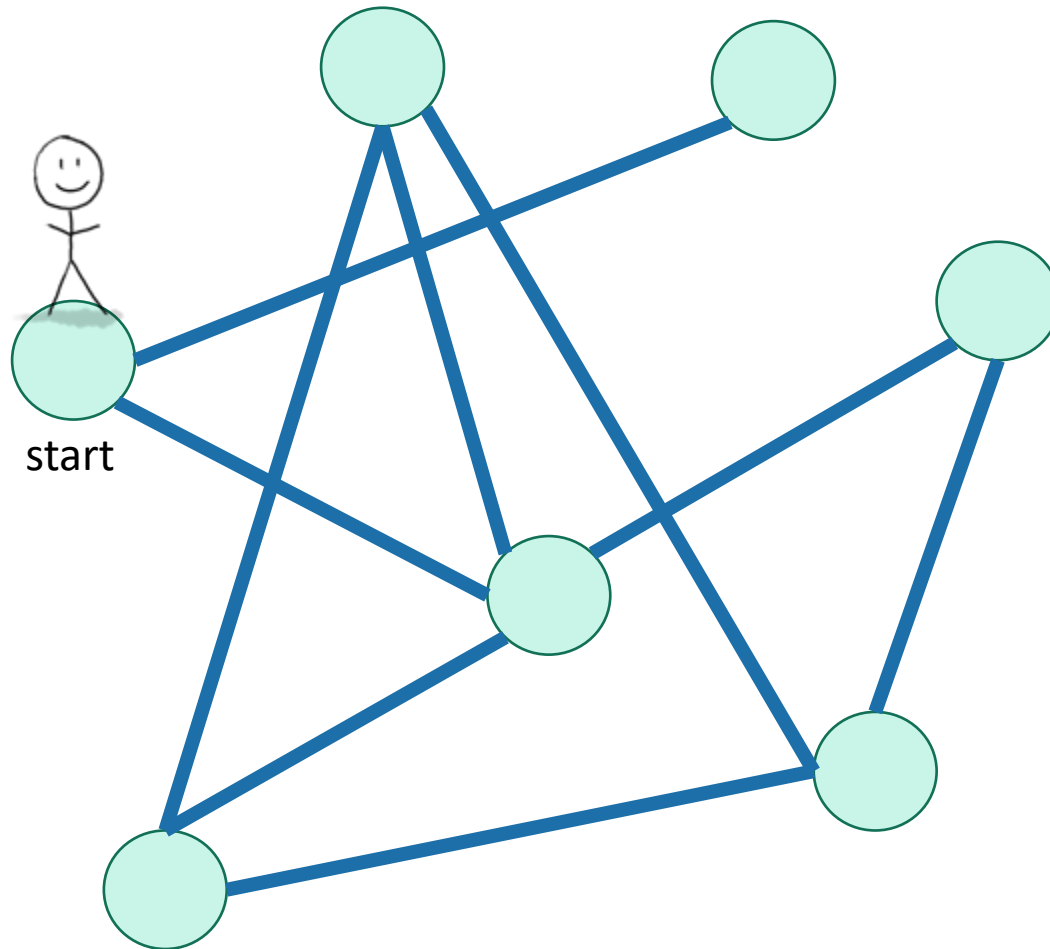
How do we explore a graph?




At each node, you can get a list of neighbors, and choose to go there if you want.



Depth First Search

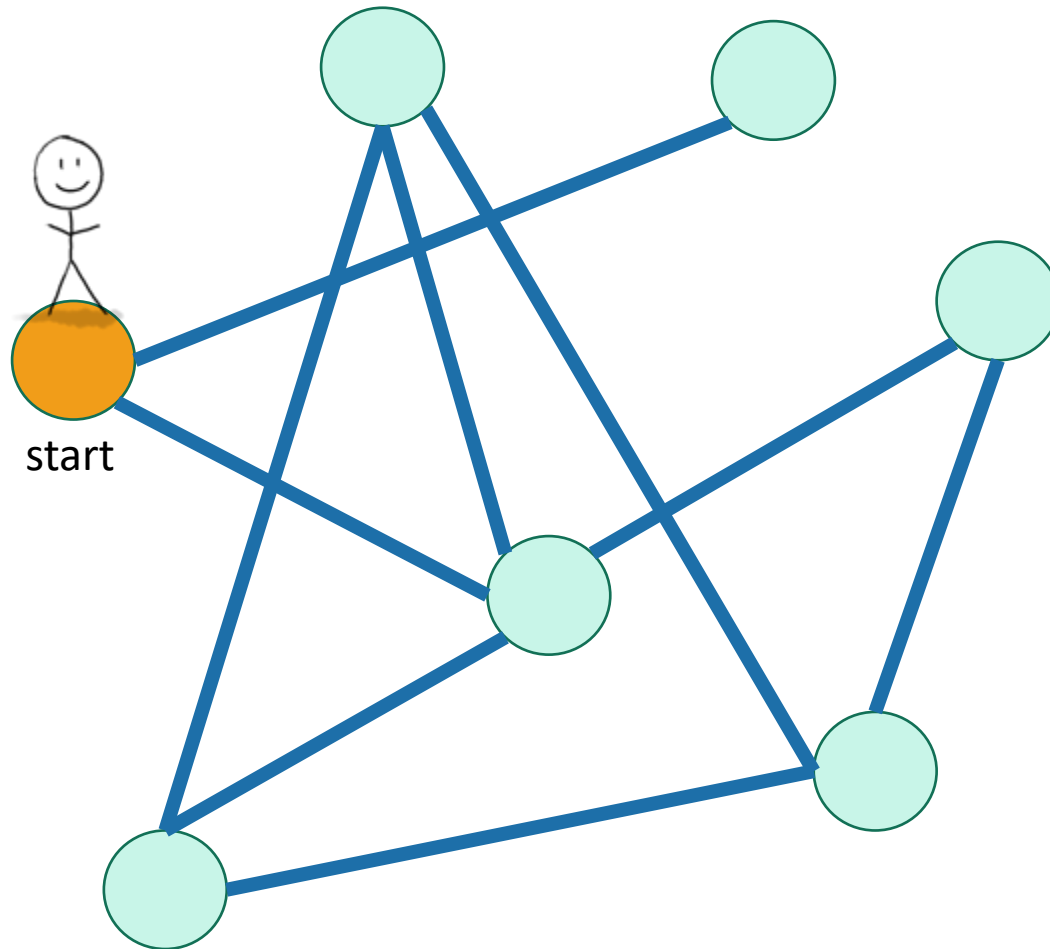
Exploring a labyrinth with chalk and a piece of string






-  Not been there yet
-  Been there, haven't explored all the paths out.
-  Been there, have explored all the paths out.

Depth First Search

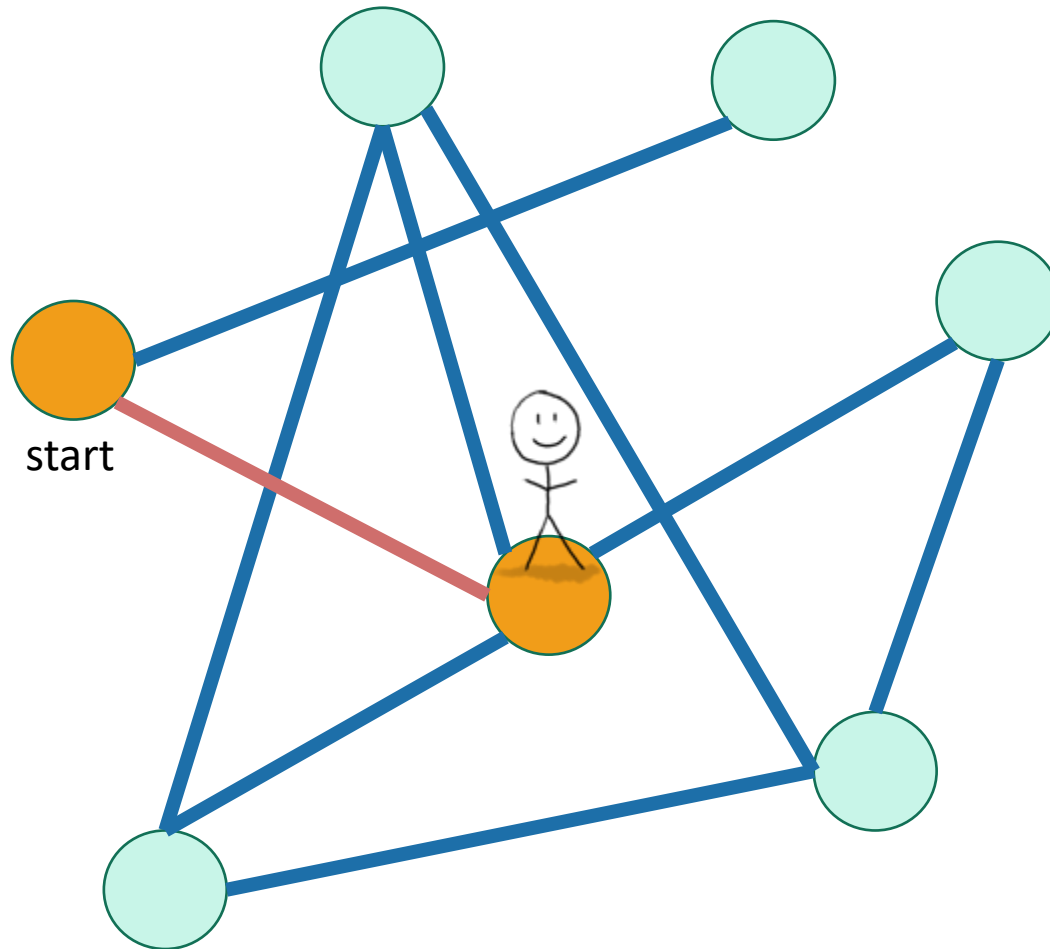
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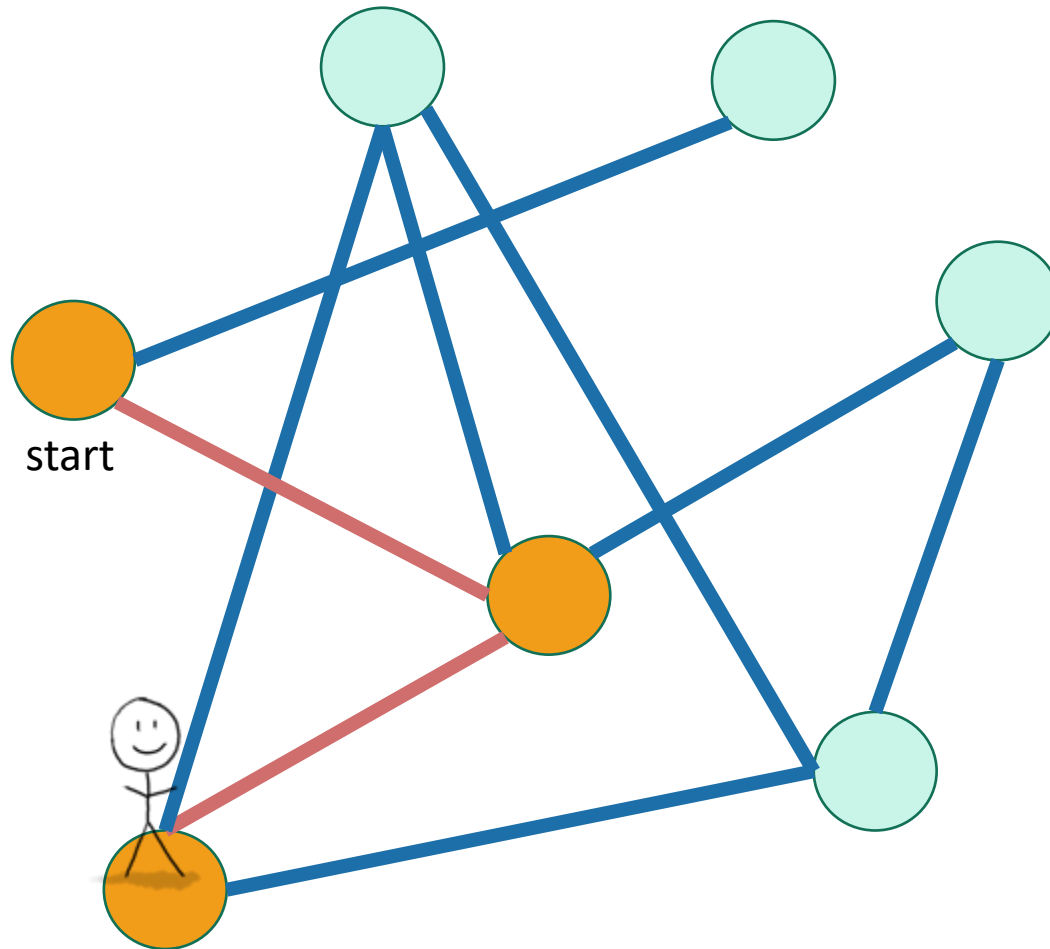
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Depth First Search

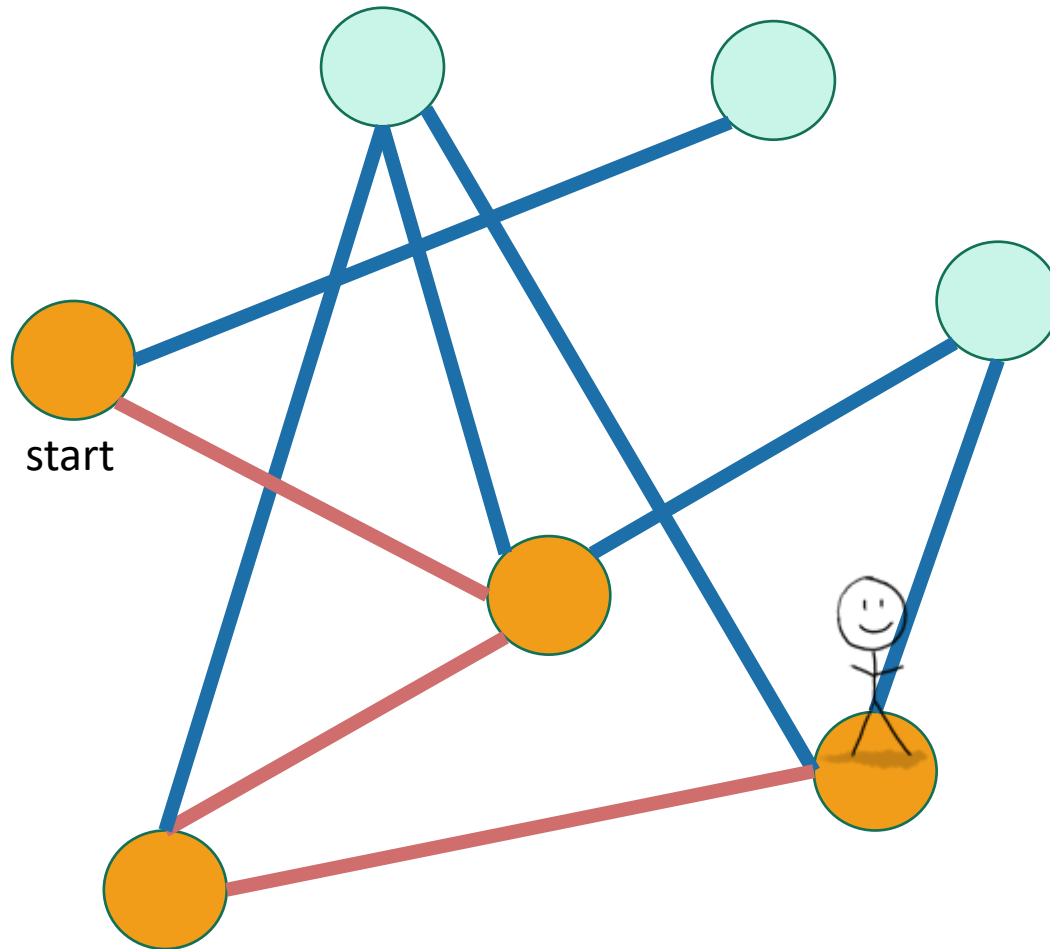
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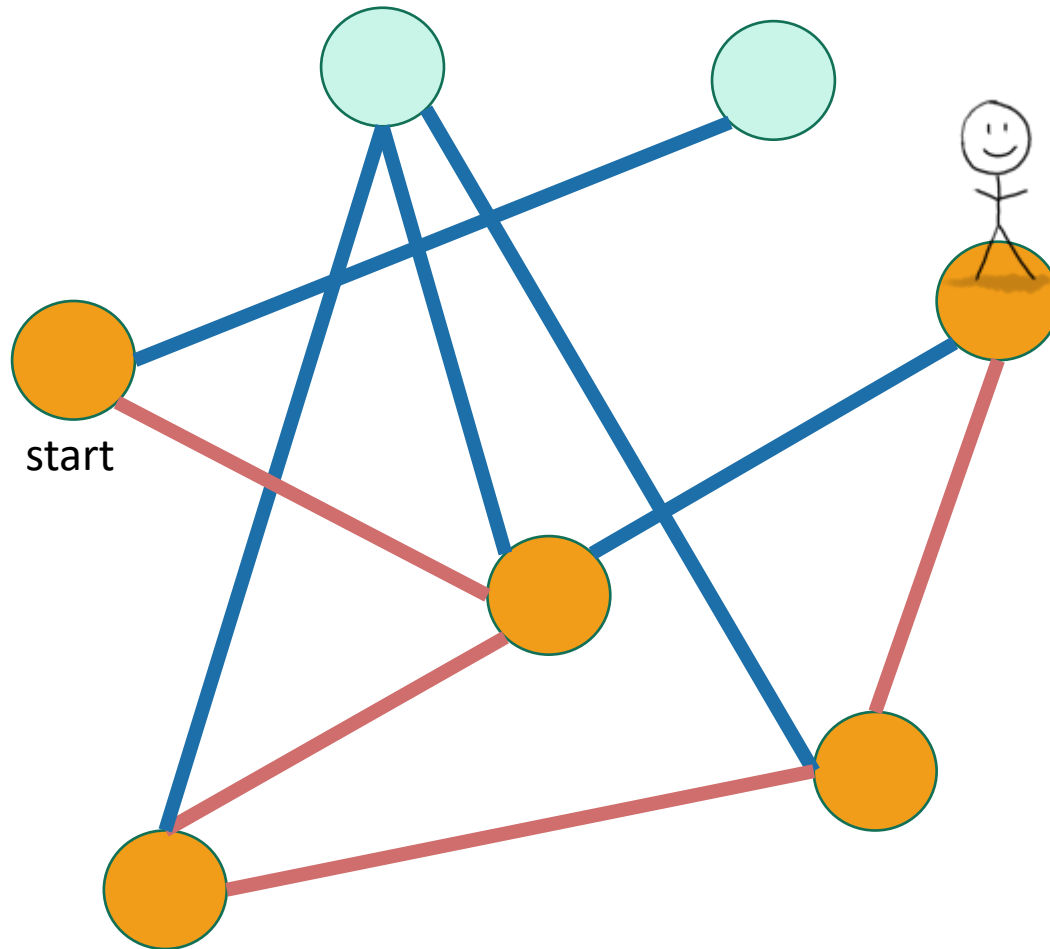
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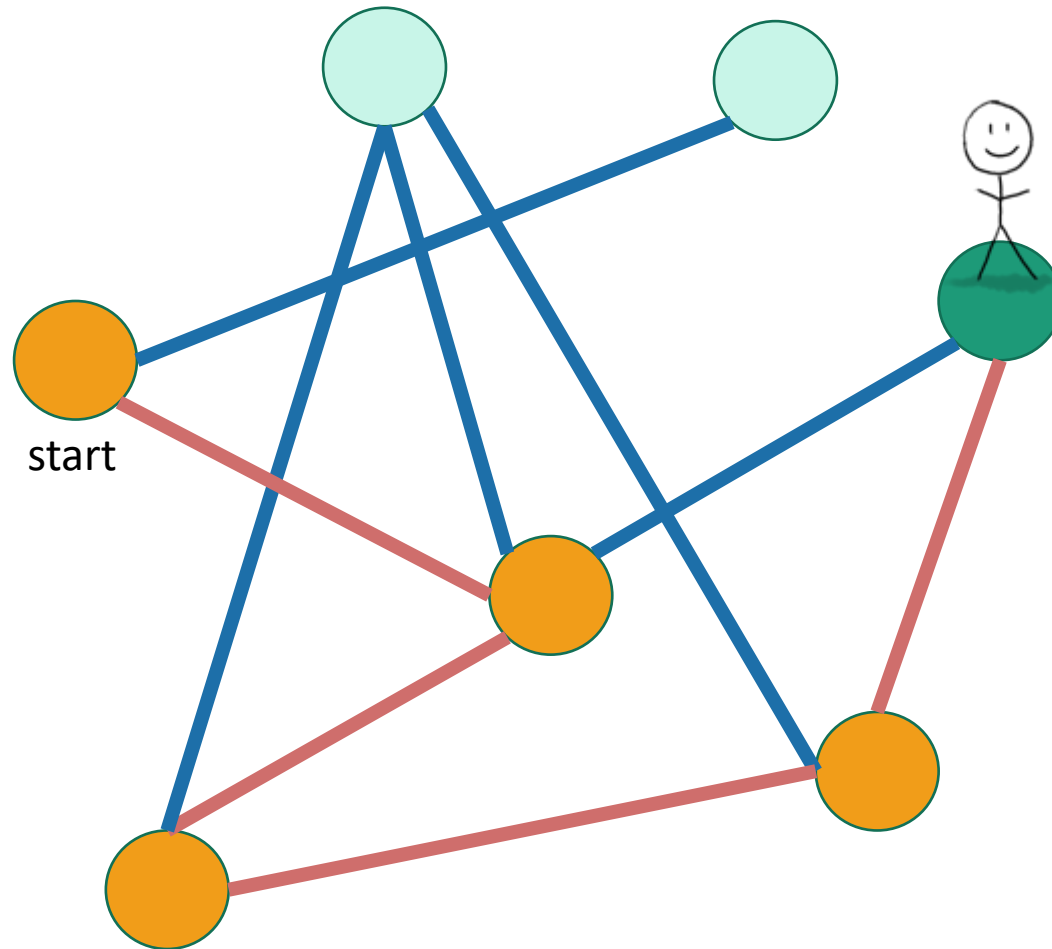
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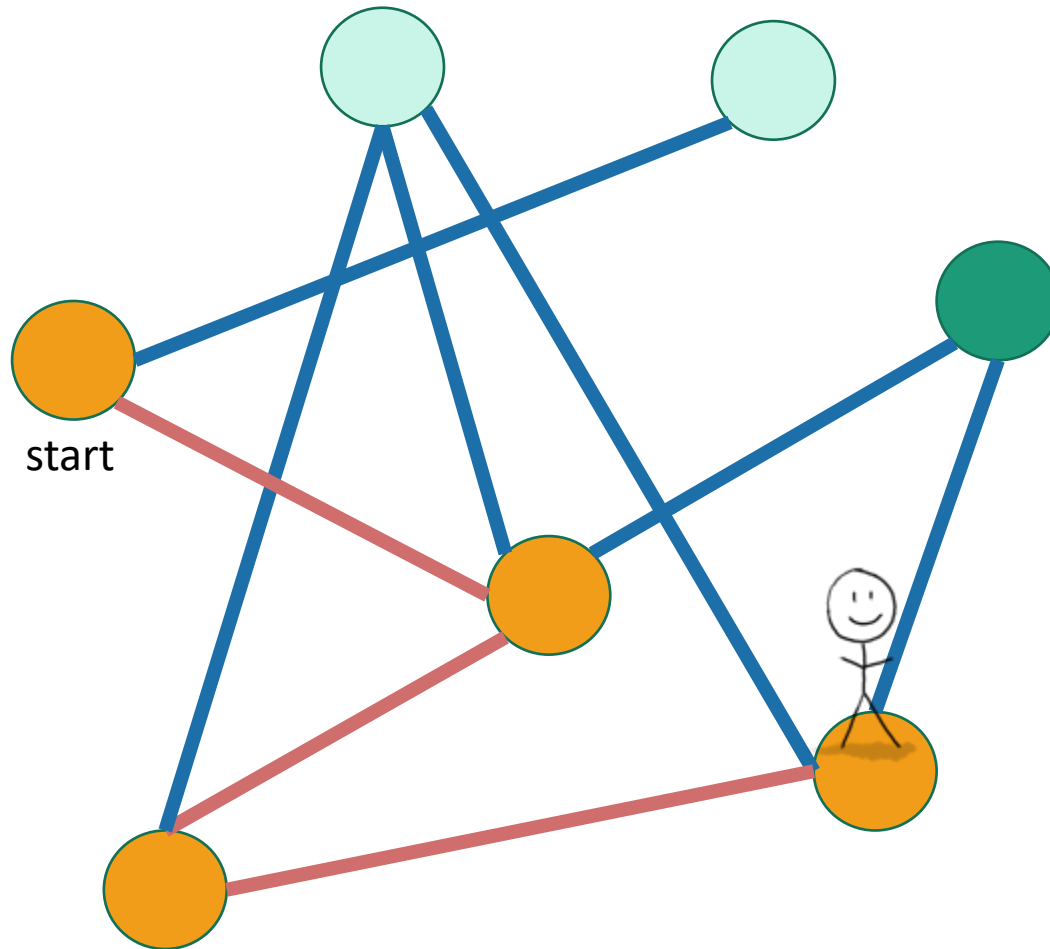
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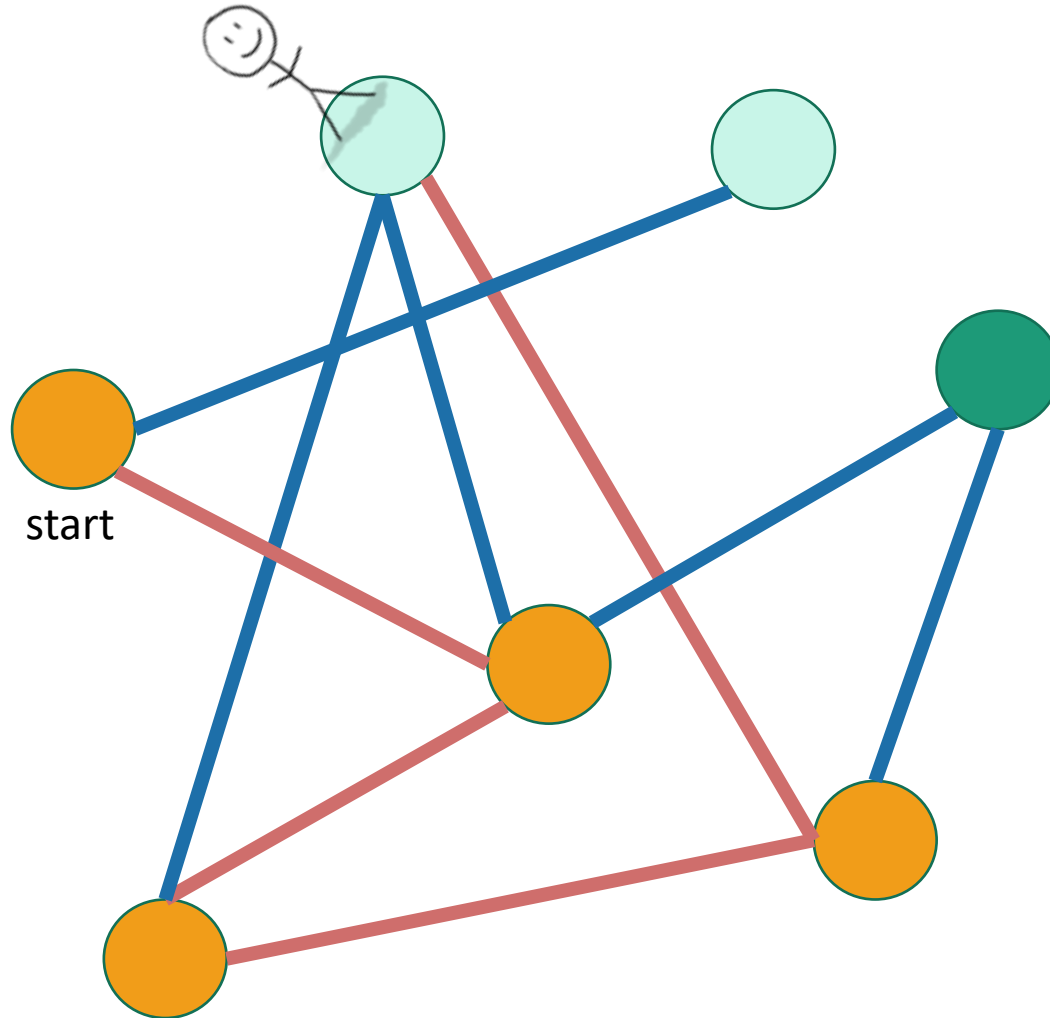
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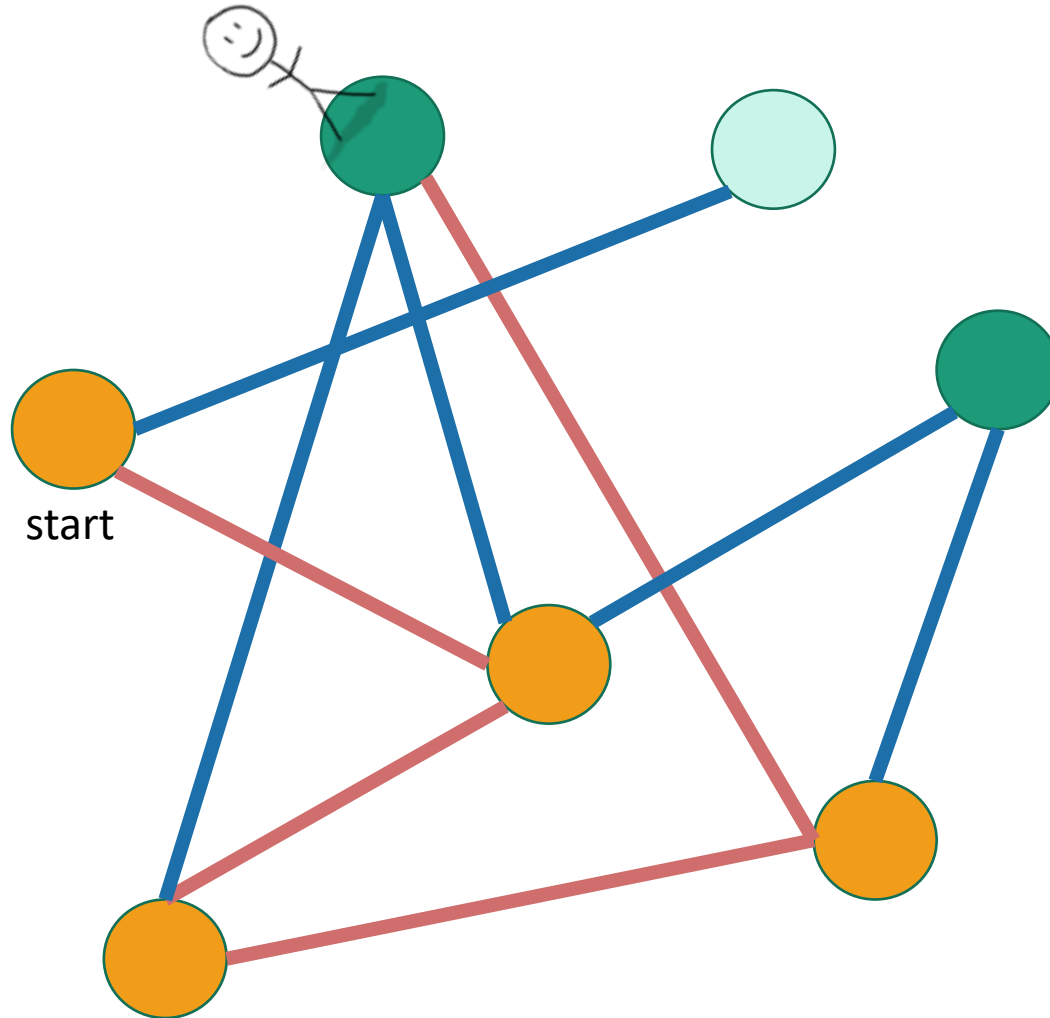
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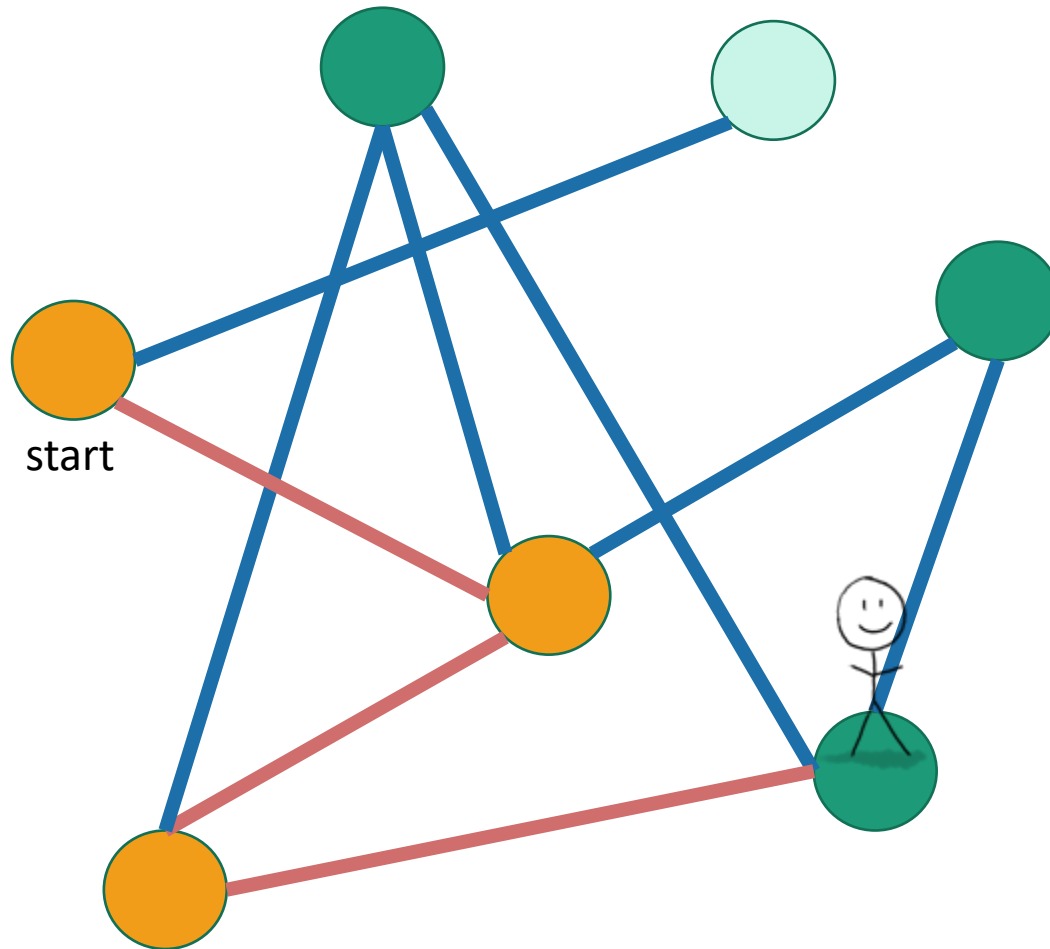
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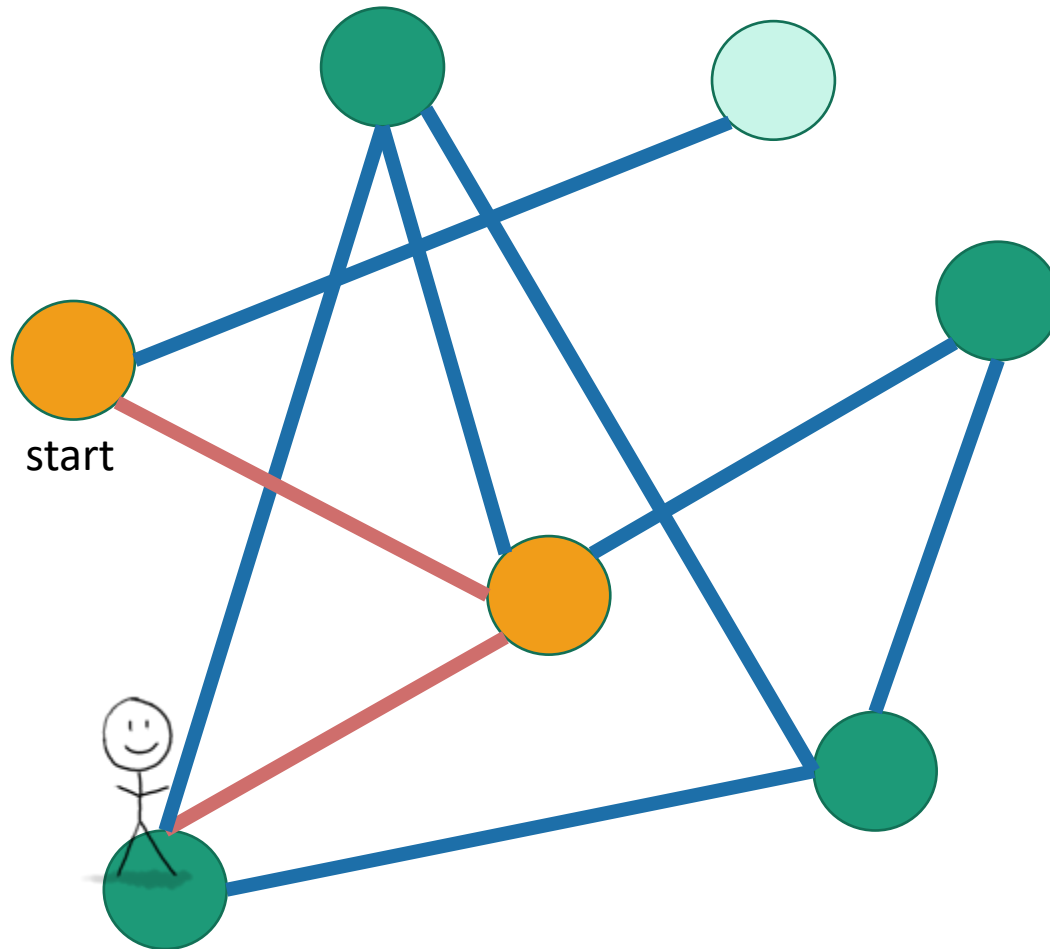
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Depth First Search

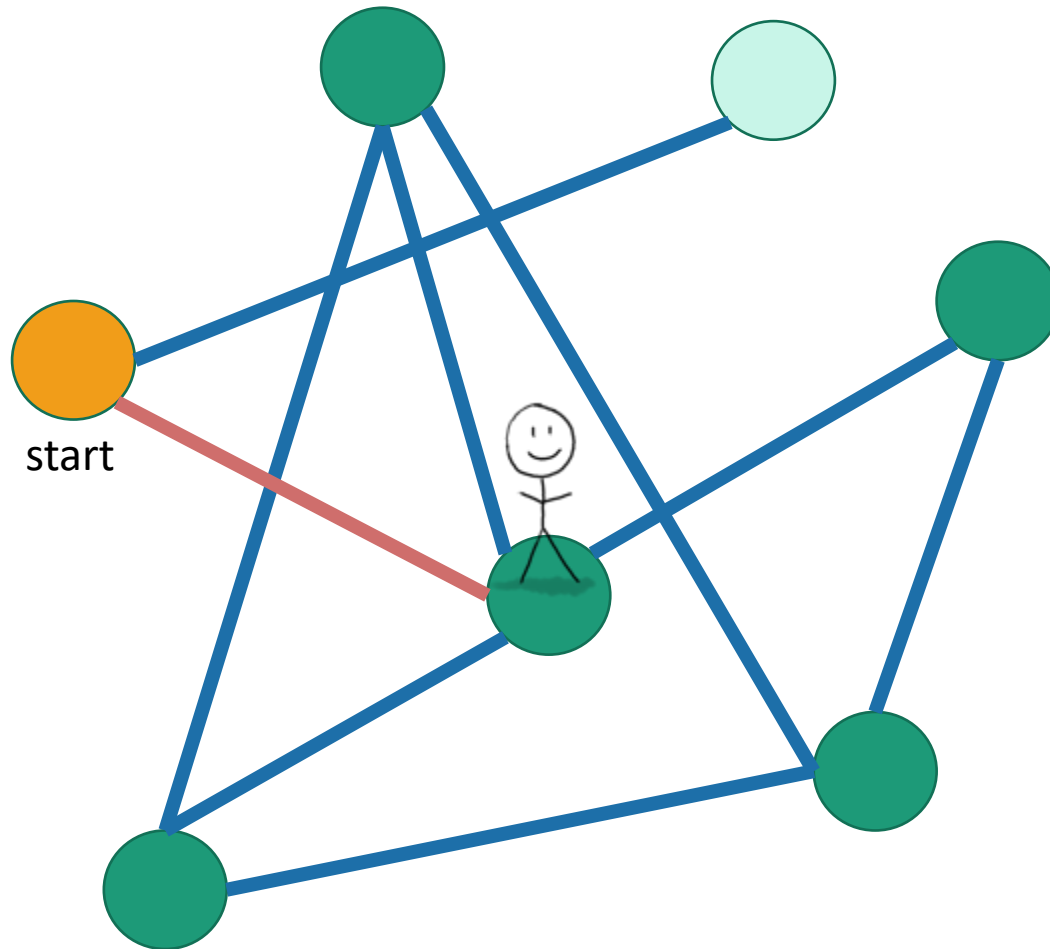
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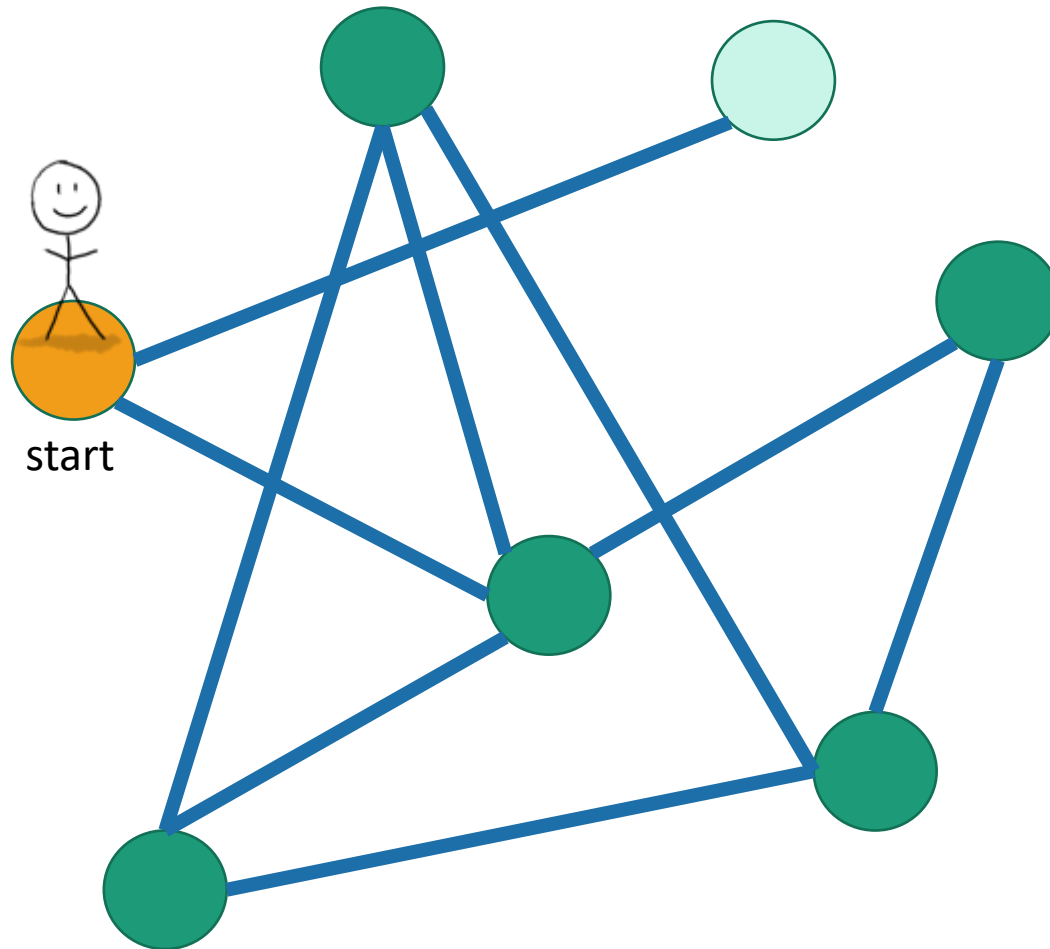
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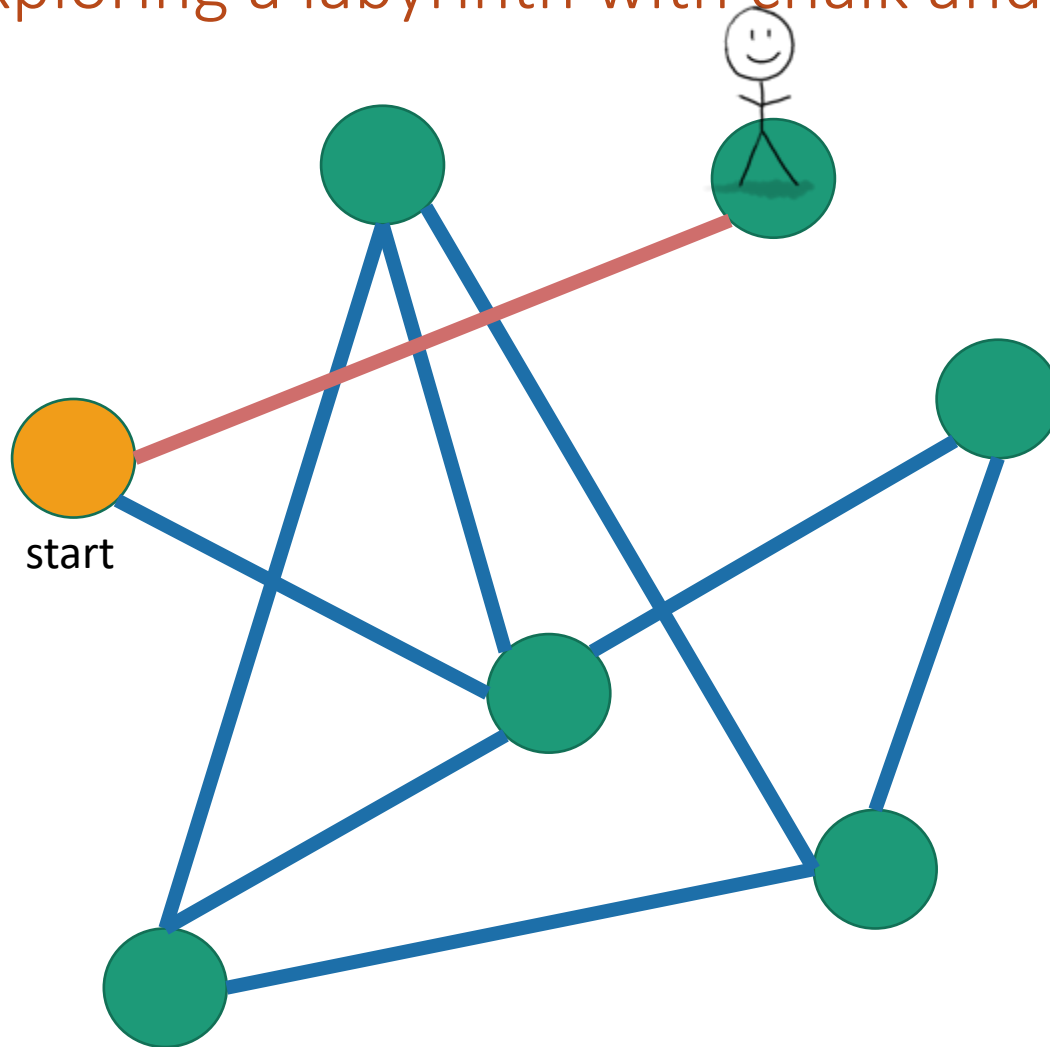
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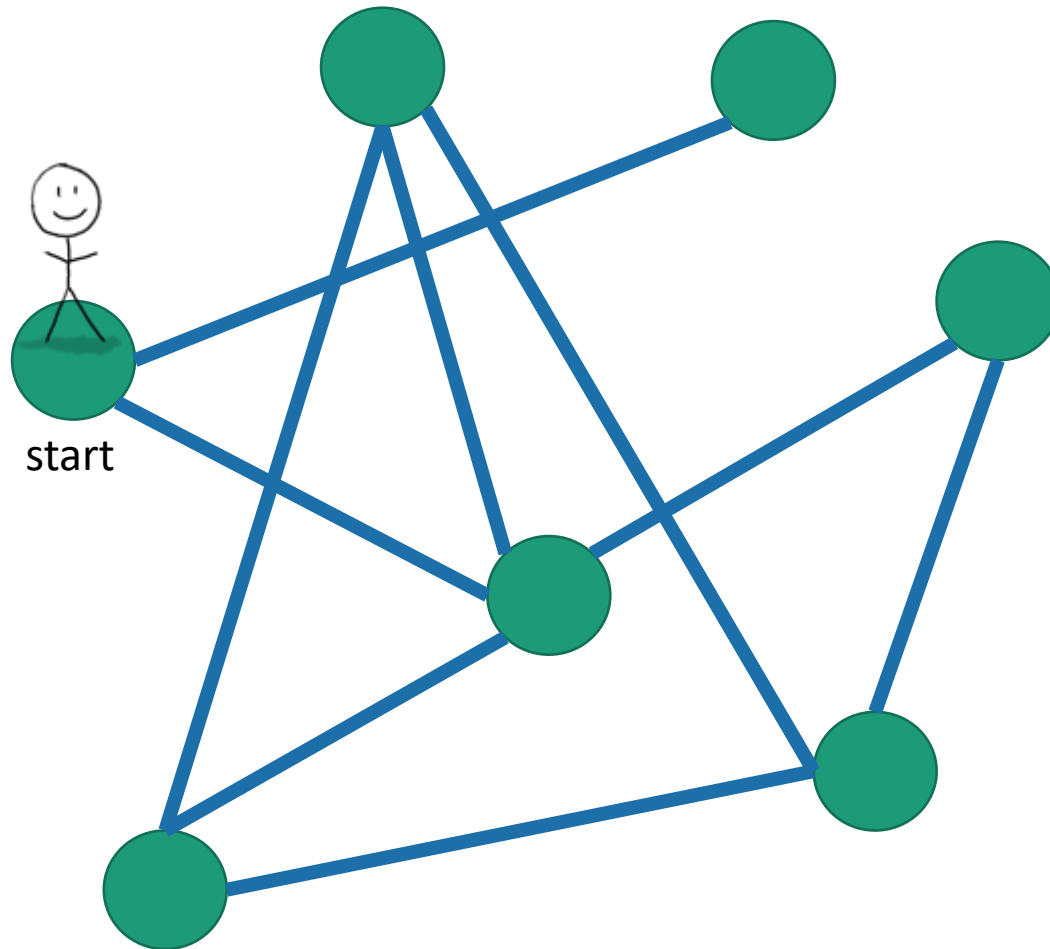
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Depth First Search

Exploring a labyrinth with chalk and a piece of string






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Labyrinth:
EXPLORED!

Depth First Search

Exploring a labyrinth with pseudocode

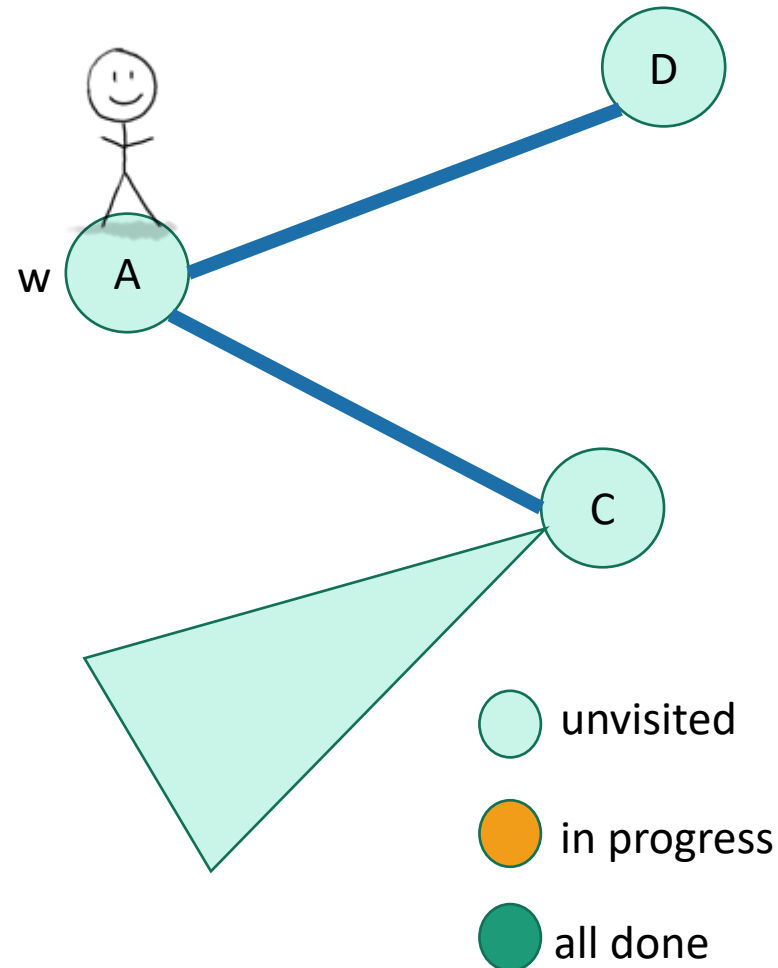
- Each vertex keeps track of whether it is:
 - Unvisited 
 - In progress 
 - All done 
- Each vertex will also keep track of:
 - The time we **first enter it**.
 - The time we finish with it and mark it **all done**.



You might have seen other ways to implement DFS than what we are about to go through. This way has more bookkeeping – the bookkeeping will be useful later!

Depth First Search

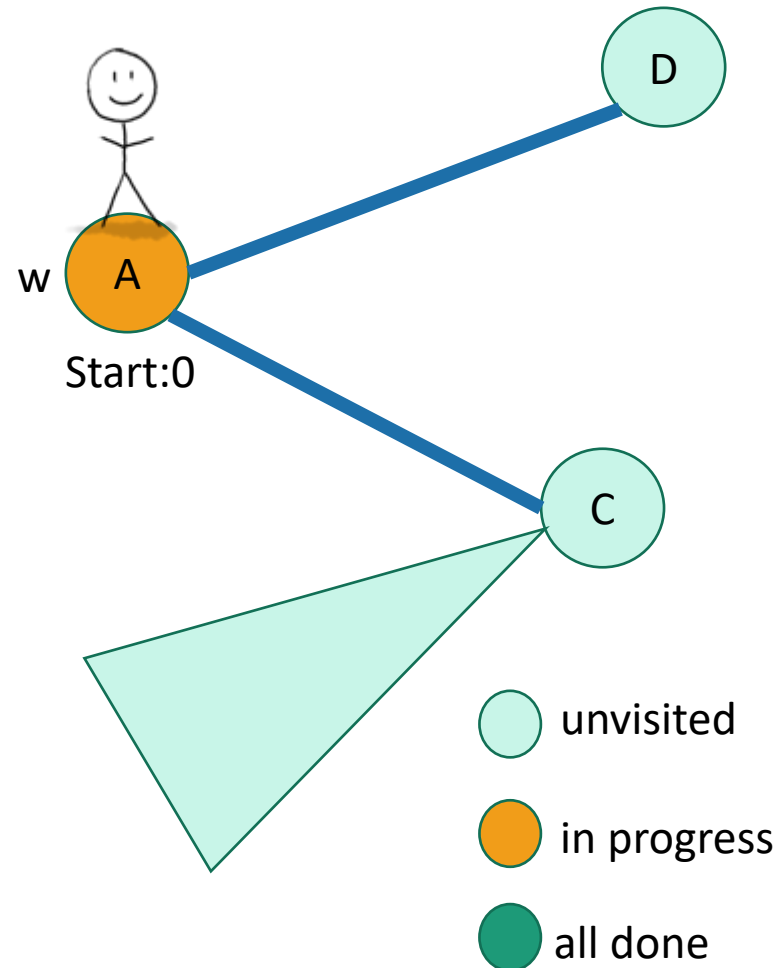
currentTime = 0



- **DFS**(w, currentTime):
 - w.startTime = currentTime
 - currentTime += 1
 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS**(v, currentTime)
 - currentTime += 1
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

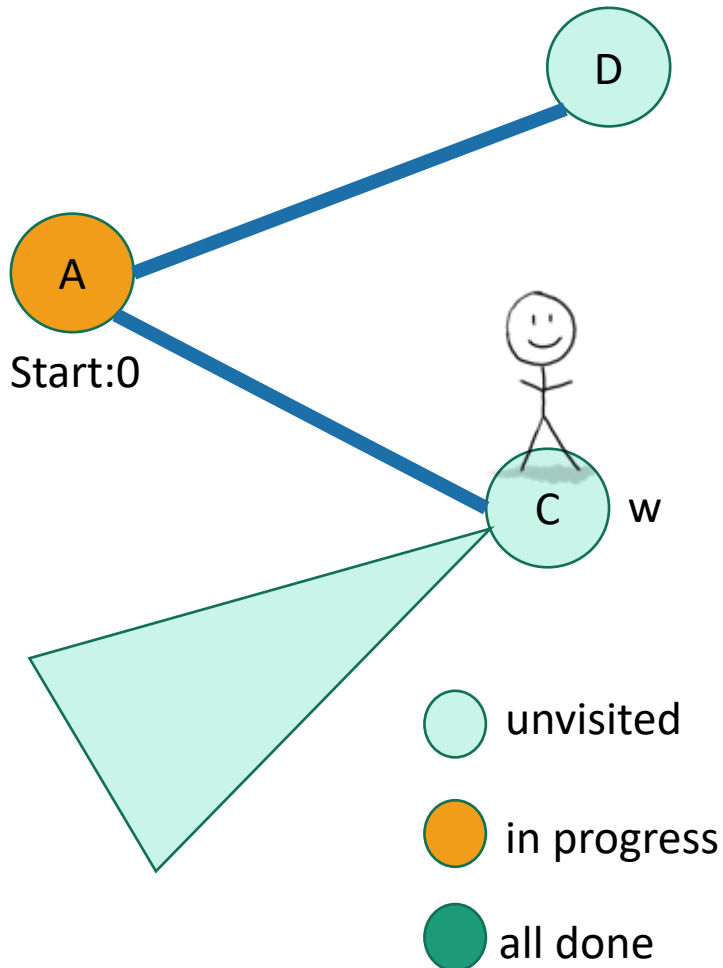
currentTime = 1



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Depth First Search

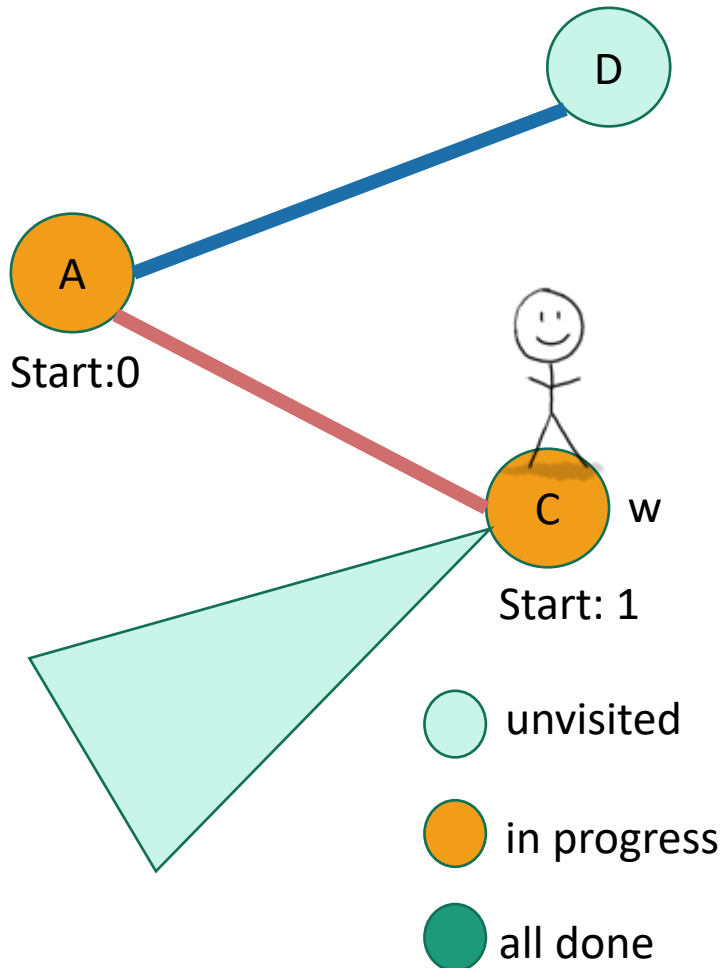
currentTime = 1



- **DFS**(w, currentTime):
 - w.startTime = currentTime
 - currentTime += 1
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 - **for** v in w.neighbors:
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Depth First Search

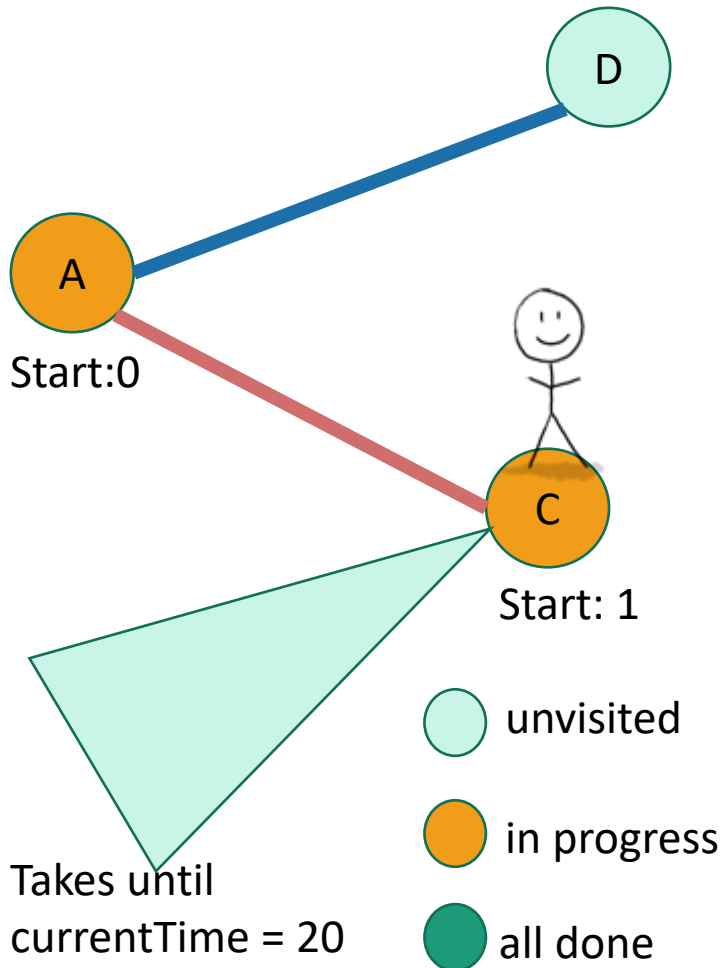
currentTime = 2



- **DFS**(w, currentTime):
 - w.startTime = currentTime
 - currentTime += 1
 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS**(v, currentTime)
 - currentTime += 1
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

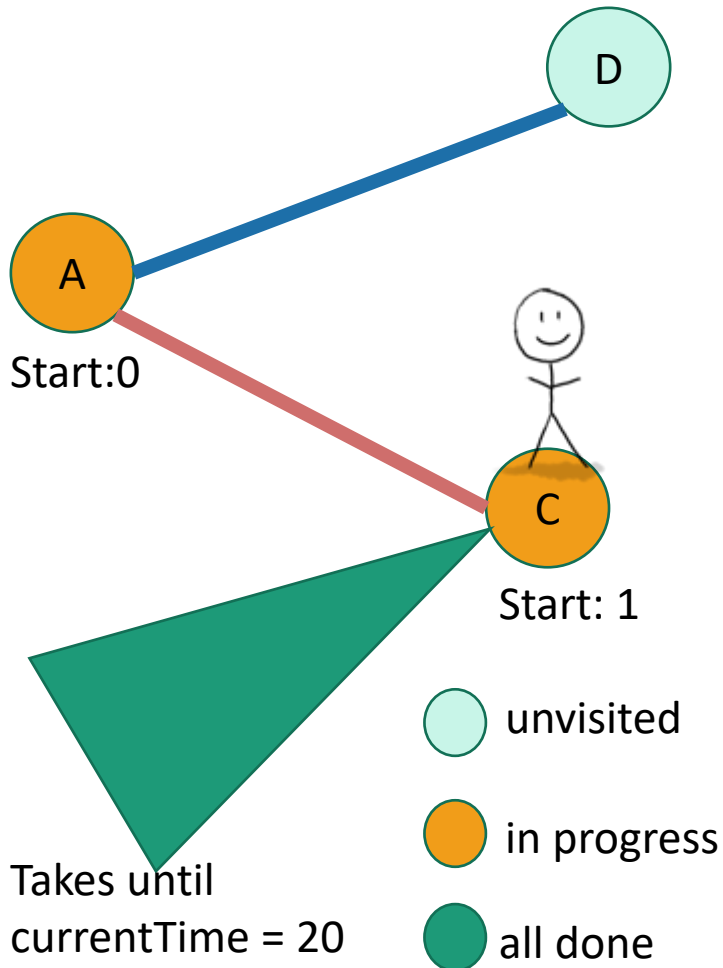
currentTime = 20



- **DFS**(w, currentTime):
 - w.startTime = currentTime
 - currentTime += 1
 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS**(v, currentTime)
 - currentTime += 1
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

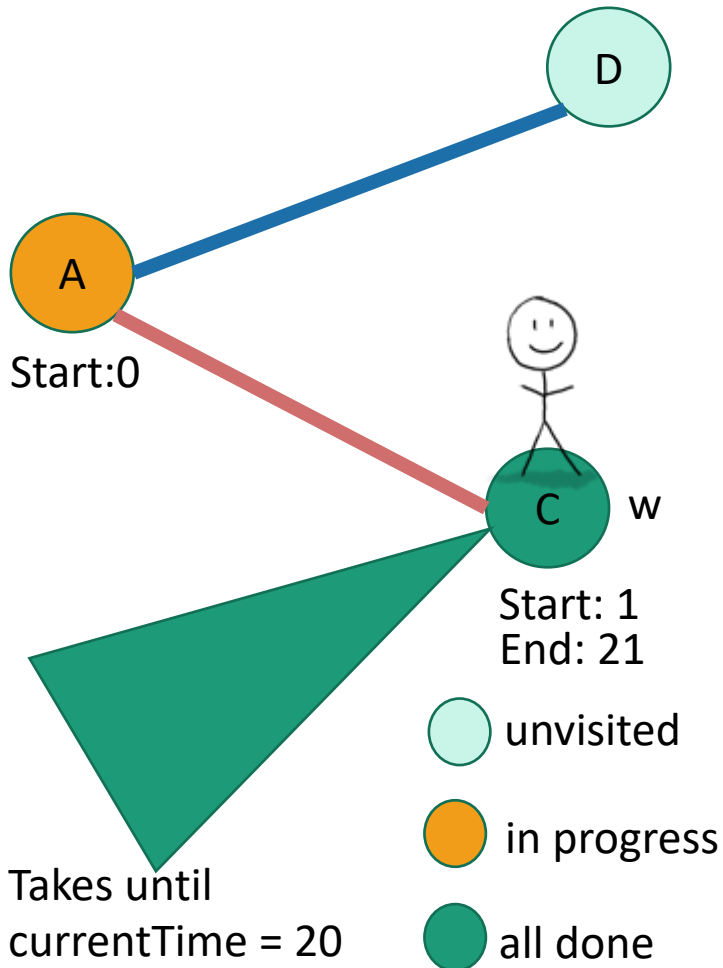
currentTime = 21



- **DFS**(w, currentTime):
 - w.startTime = currentTime
 - currentTime += 1
 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS**(v, currentTime)
 - currentTime += 1
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

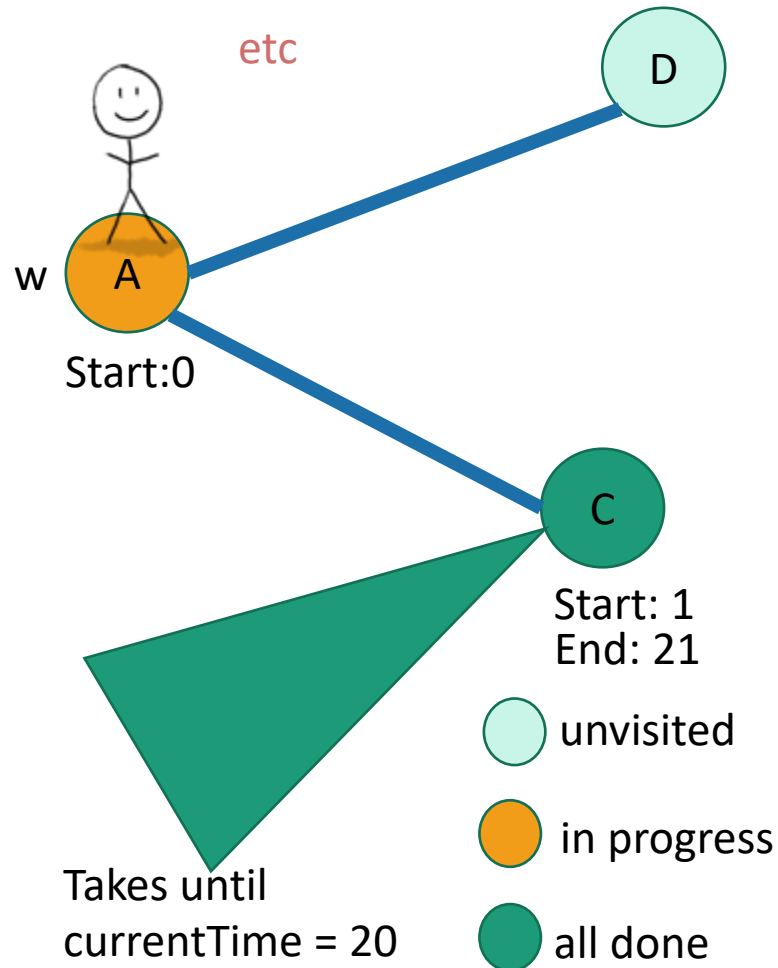
currentTime = 21



- **DFS**(w, currentTime):
 - w.startTime = currentTime
 - currentTime += 1
 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS**(v, currentTime)
 - currentTime += 1
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

currentTime = 22



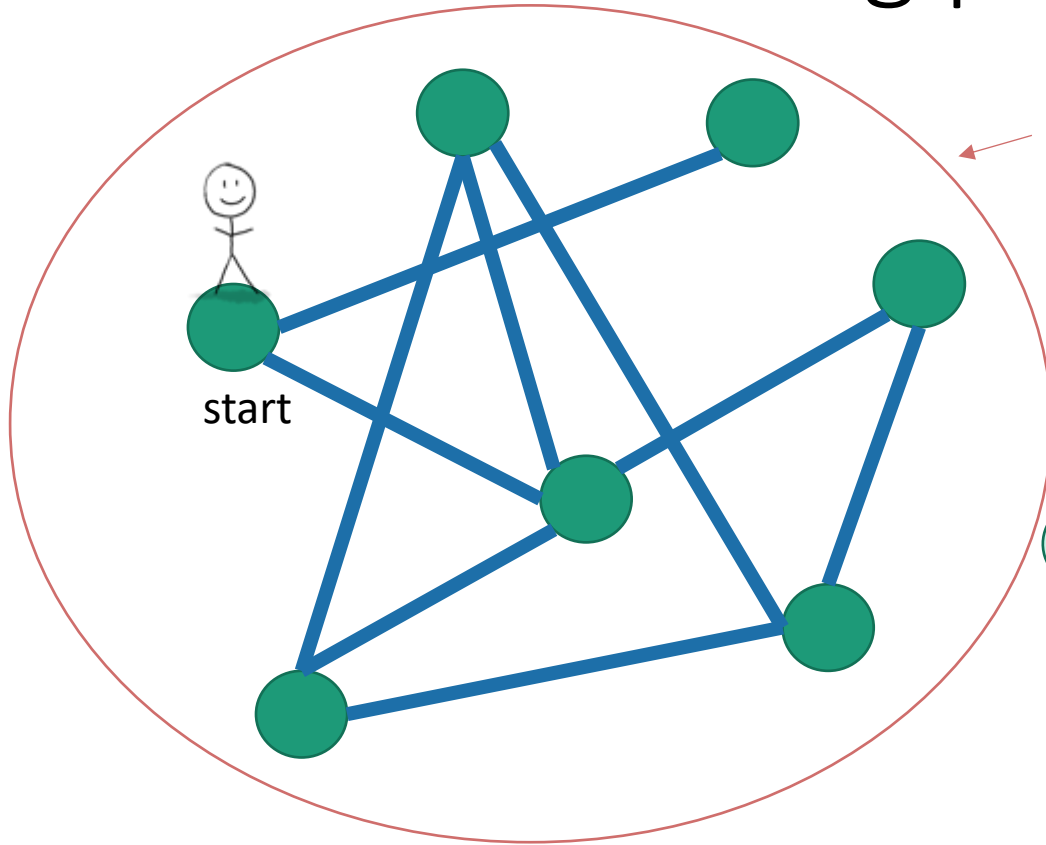
- **DFS**(w, currentTime):
 - w.startTime = currentTime
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 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS**(v, currentTime)
 - currentTime += 1
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

This is not the only way to write DFS!

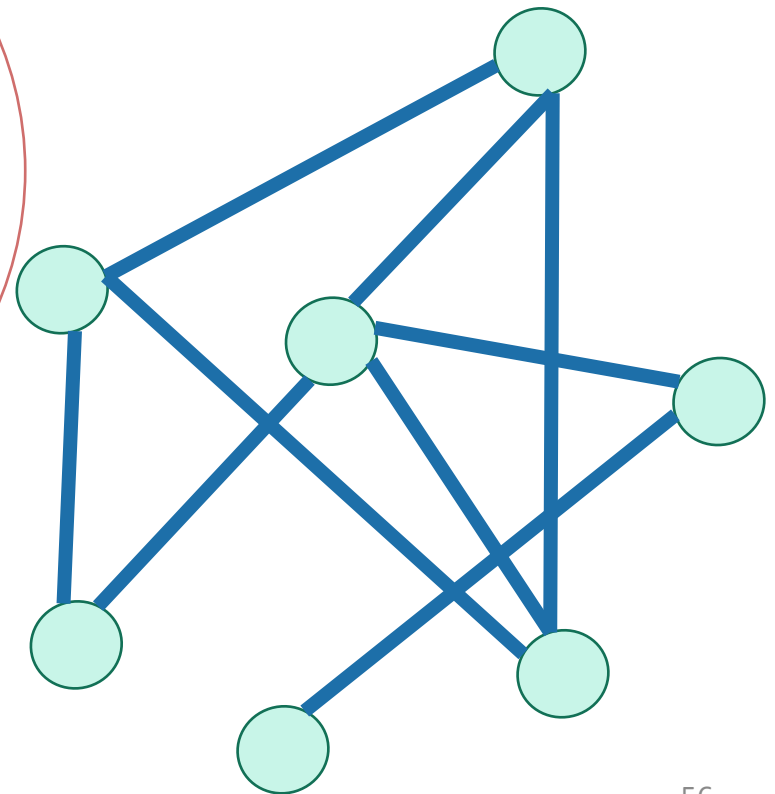
- See the lecture notes for an iterative version (using stacks)! If your graph is large and stack overflow a concern, use this version.
- (Or figure out how to do it yourself!)



DFS finds all the nodes reachable from the starting point



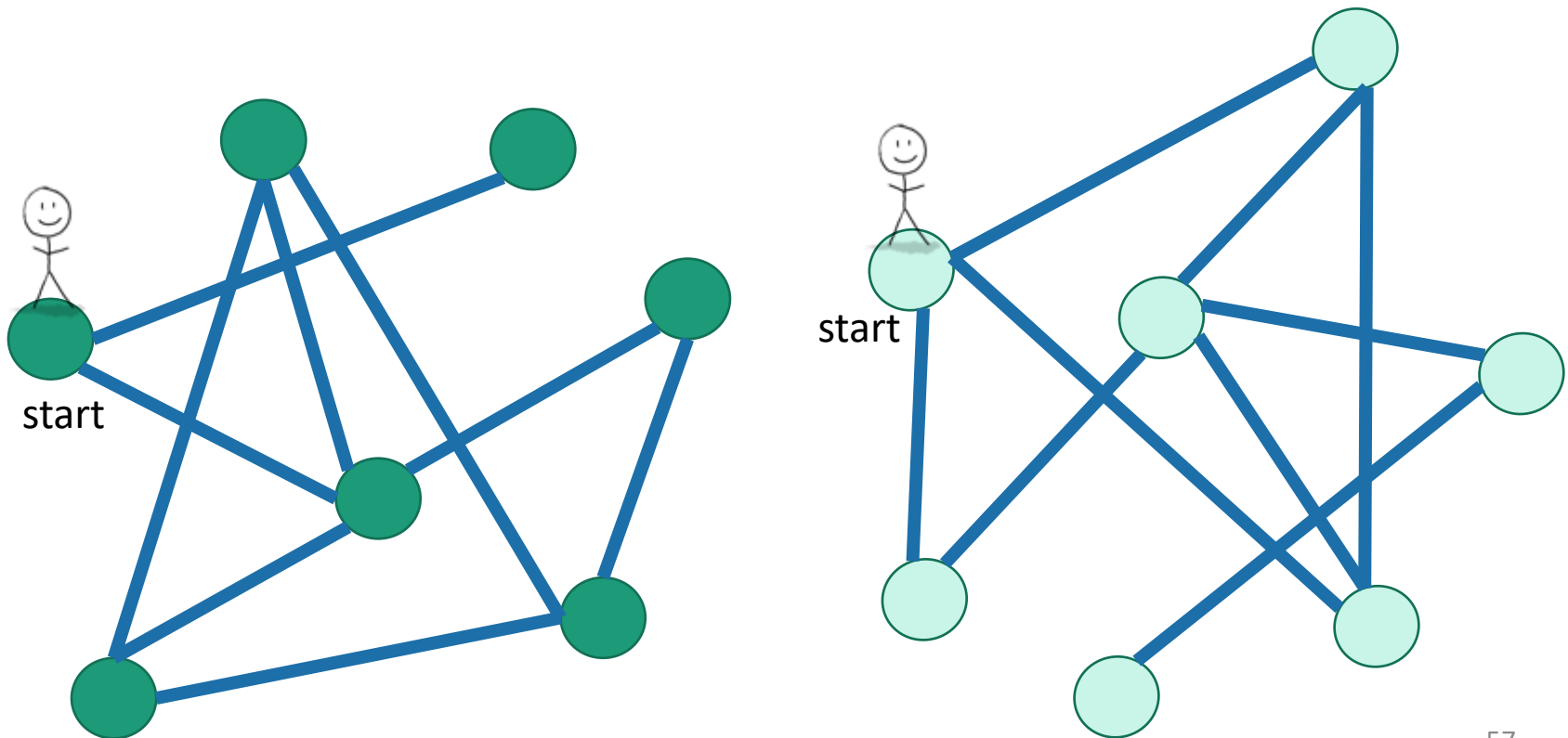
In an undirected graph, this is called a **connected component**.



One application of DFS: finding connected components.

To explore the whole graph

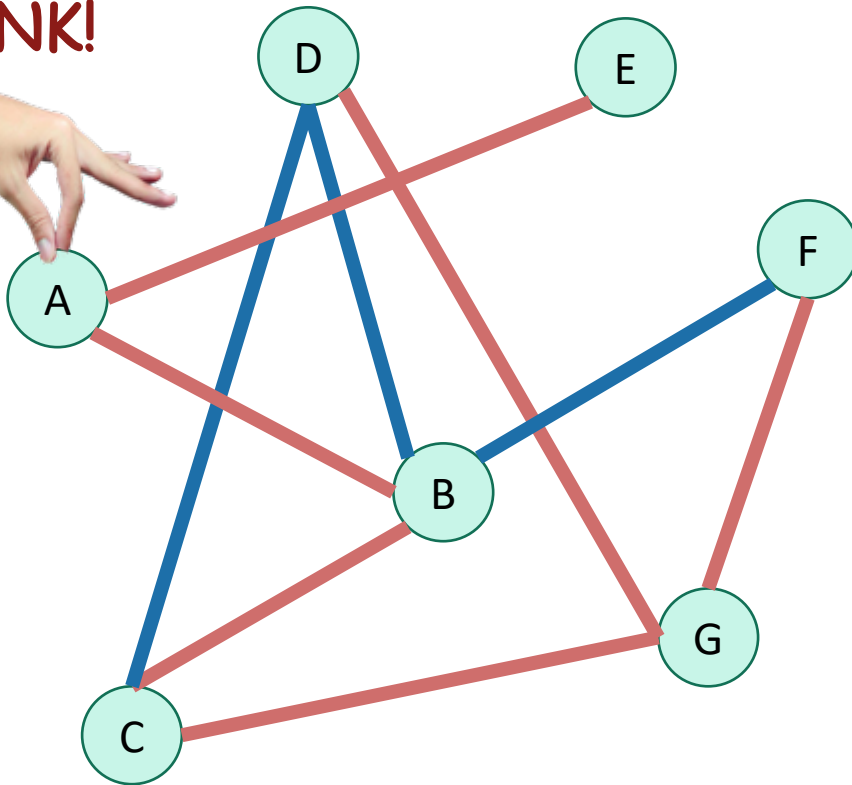
- Do it repeatedly!



Why is it called depth-first?

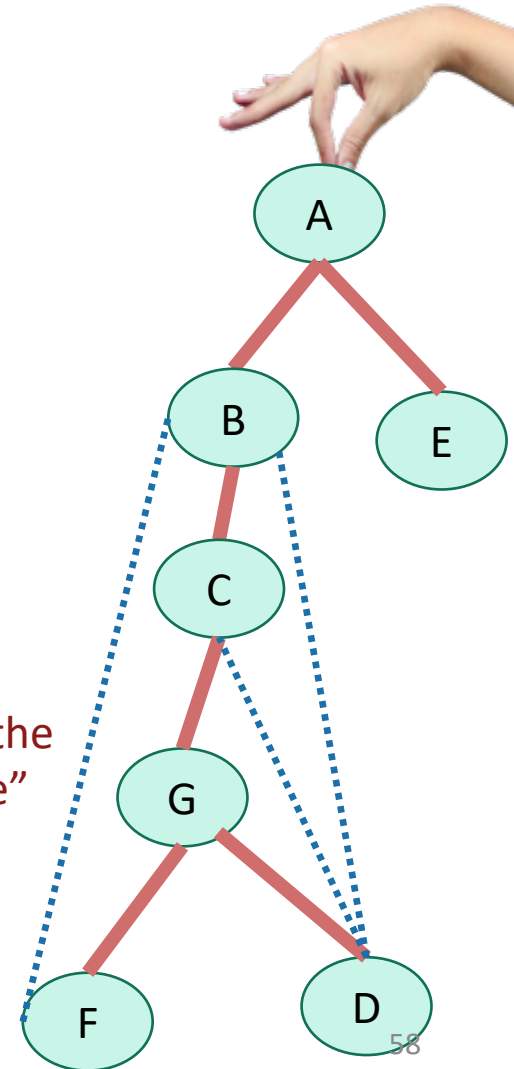
- We are implicitly building a tree:

YOINK!



- First, we go as deep as we can.

Call this the
"DFS tree"



Running time

To explore just the connected component we started in

- We look at each edge at most twice.
 - Once from each of its endpoints
- And basically, we don't do anything else.
- So...



$O(m)$

Running time

To explore just the connected component we started in

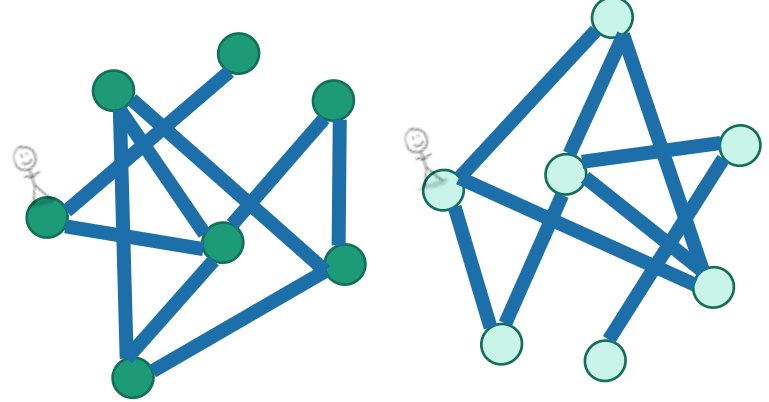
- Assume we are using the linked-list format for G .
- Say $C = (V', E')$ is a connected component.
- We visit each vertex in V' exactly once.
 - Here, “visit” means “call DFS on”
- At each vertex w , we:
 - Do some book-keeping: $O(1)$
 - Loop over w 's neighbors and check if they are visited (and then potentially make a recursive call): $O(1)$ per neighbor or $O(\deg(w))$ total.
- Total time:
 - $\sum_{w \in V'} (O(\deg(w)) + O(1))$
 - $= O(|E'| + |V'|)$
 - $= O(|E'|)$ ←



In a connected graph,
 $|V'| \leq |E'| + 1$.

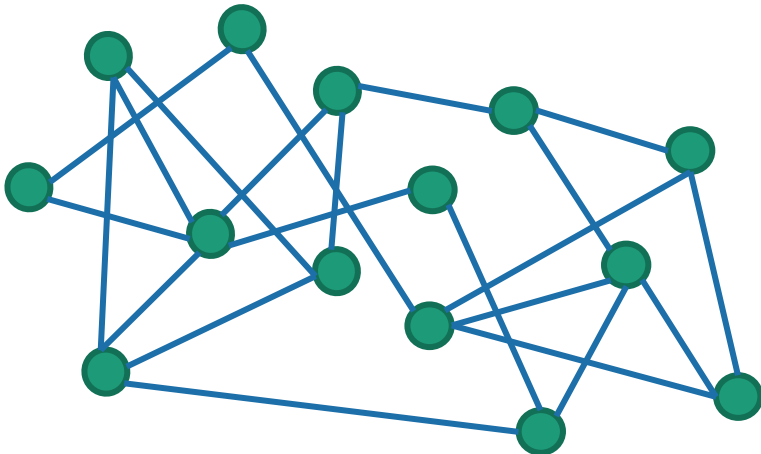
Running time

To explore **the whole graph**



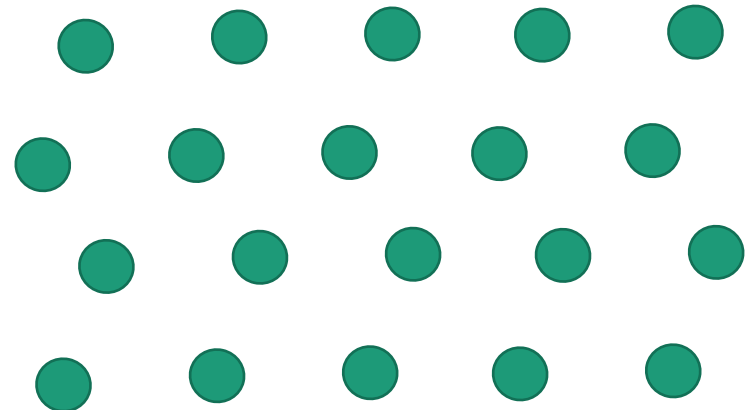
- Explore the connected components one-by-one.
- This takes time $O(n + m)$
 - Same computation as before:

$$\sum_{w \in V} (O(\deg(w)) + O(1)) = O(|E| + |V|) = O(n + m)$$



Here the running time is $O(m)$ like before

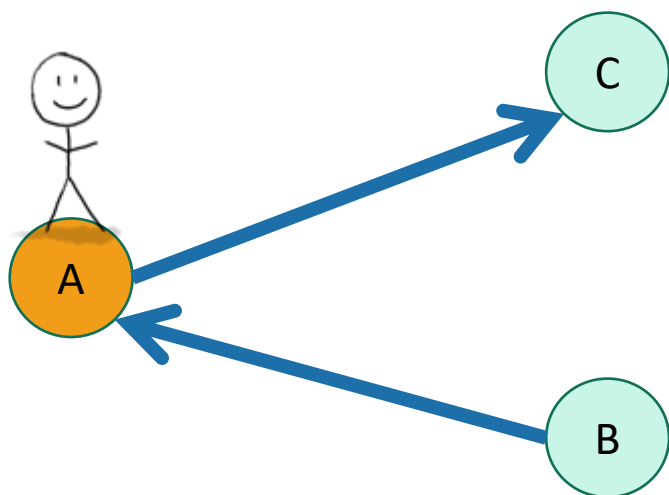
or



Here $m=0$ but it still takes time $O(n)$ to explore the graph.

You check:

DFS works fine on directed graphs too!



Only walk to C, not to B.



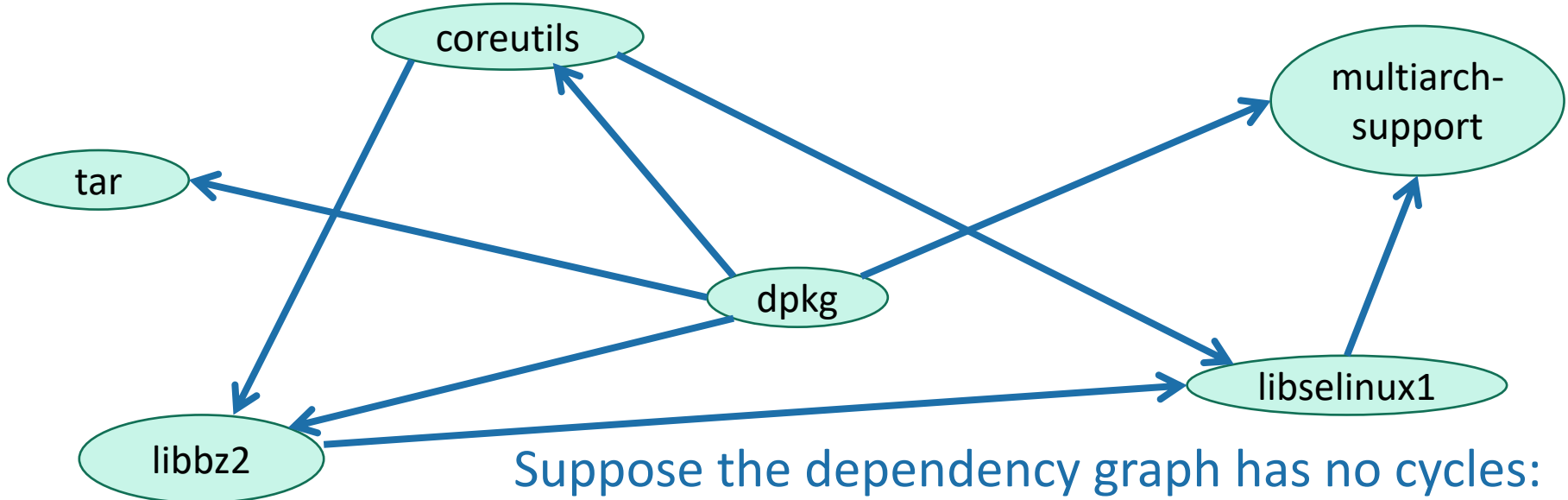
Siggi the studios stork

Pre-lecture exercise

- How can you sign up for classes so that you never violate the pre-req requirements?
- More practically, how can you install packages without violating dependency requirements?

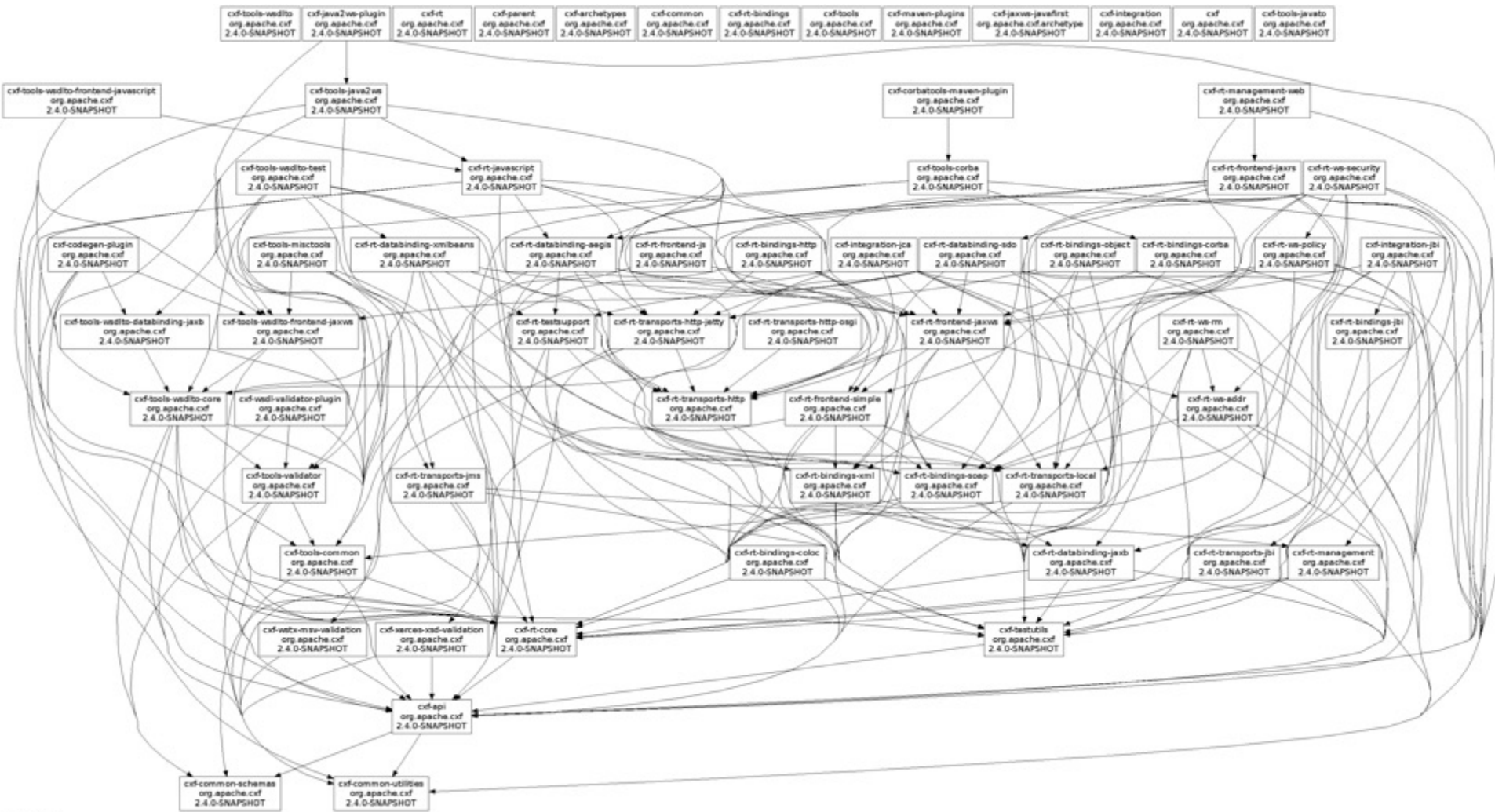
Application of DFS: topological sorting

- Find an ordering of vertices so that all of the dependency requirements are met.
 - Aka, if v comes before w in the ordering, there is not an edge from w to v .



Suppose the dependency graph has no cycles:
it is a **Directed Acyclic Graph (DAG)**

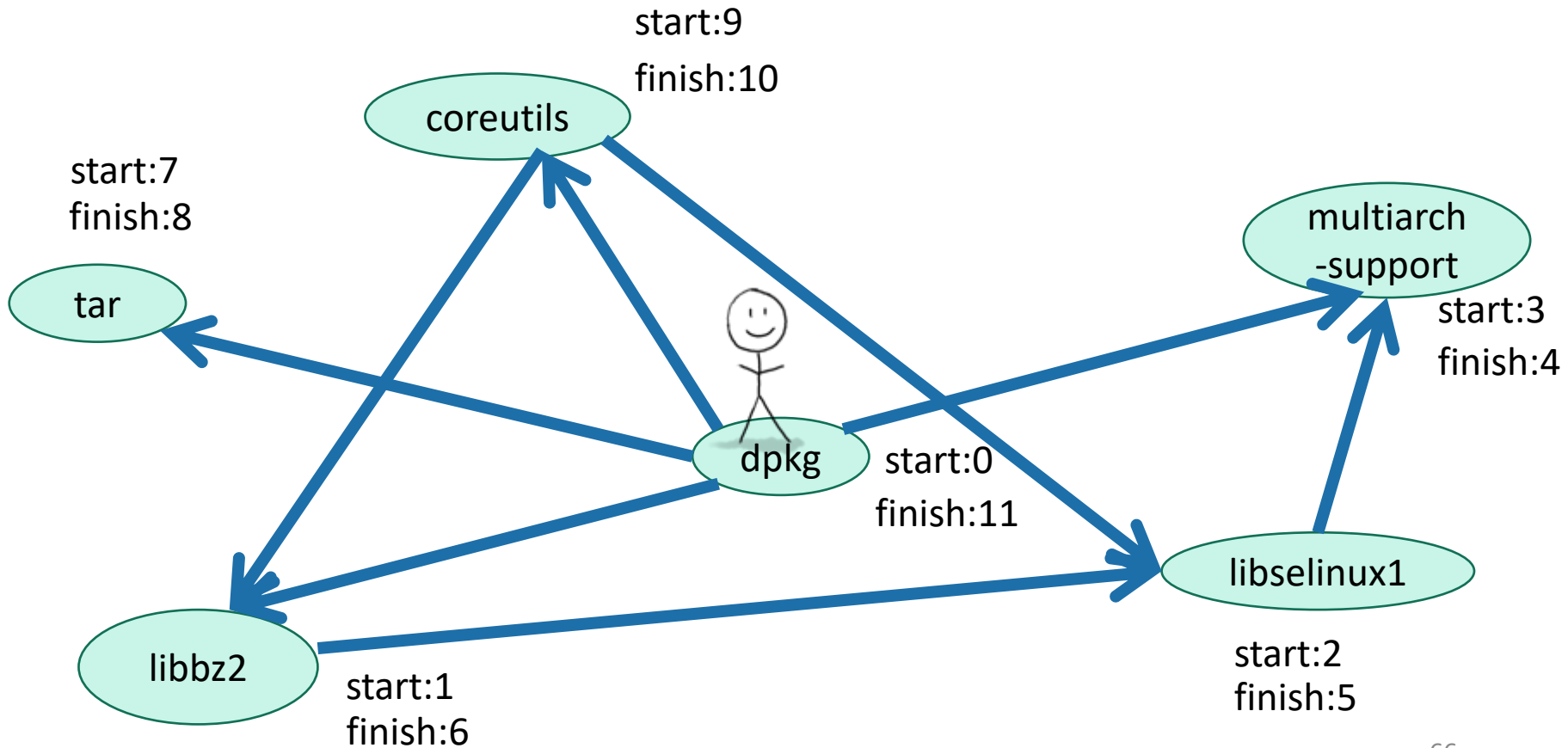
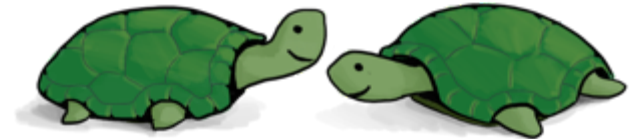
Can't always eyeball it.



Let's do DFS

What do you notice about the finish times? Any ideas for how we should do topological sort?

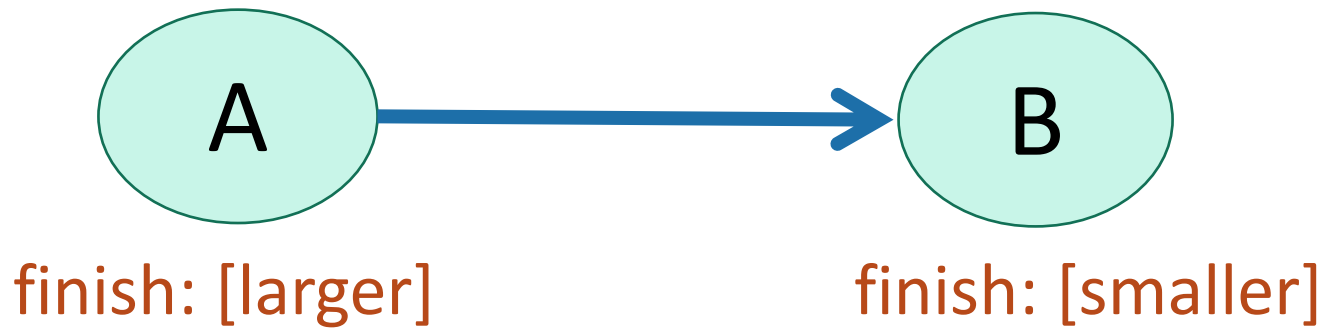
1 minute think
(wait) 1 minute share



Suppose the underlying graph has no cycles

Finish times seem useful

Claim: In general, we'll always have:



To understand why, let's go back to that DFS tree.

A more general statement (this holds even if there are cycles)

(check this statement carefully!)



- If v is a descendant of w in this tree:



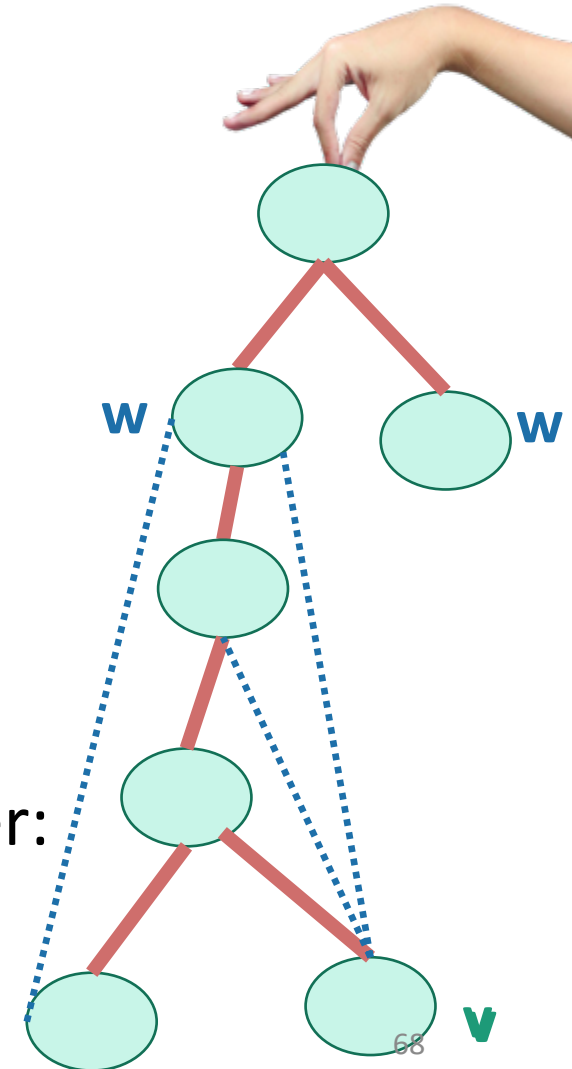
- If w is a descendant of v in this tree:



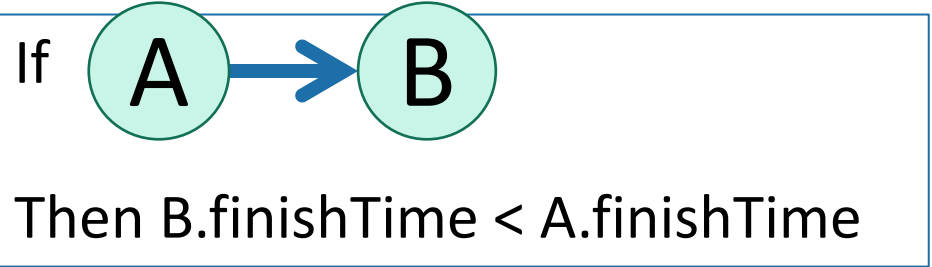
- If neither are descendants of each other:



(or the other way around)



So to prove this →



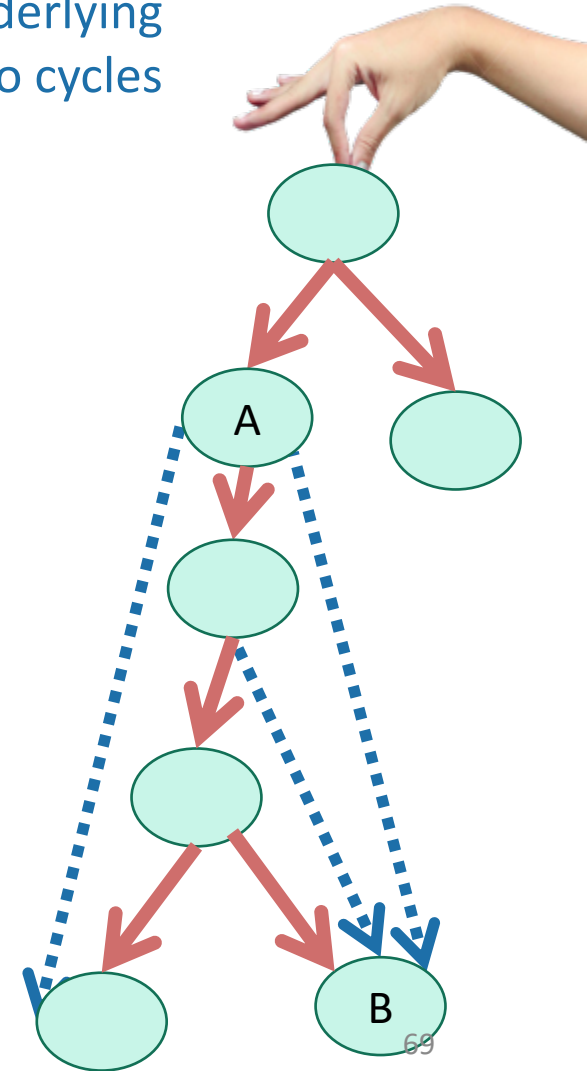
Suppose the underlying graph has no cycles

- **Case 1:** B is a descendant of A in the DFS tree.

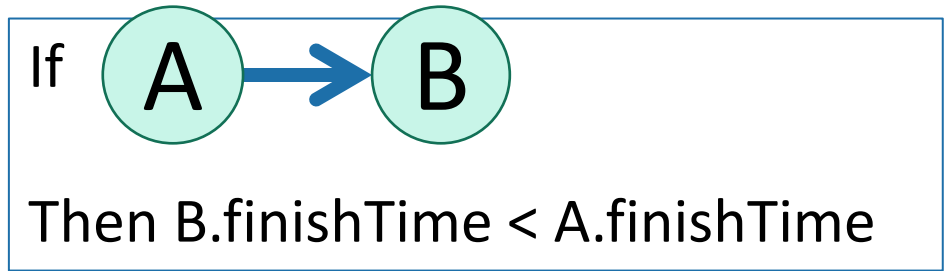
- Then



- aka, $B.\text{finishTime} < A.\text{finishTime}$.



So to prove this →



Suppose the underlying graph has no cycles

- **Case 2:** B is a **NOT** descendant of A in the DFS tree.

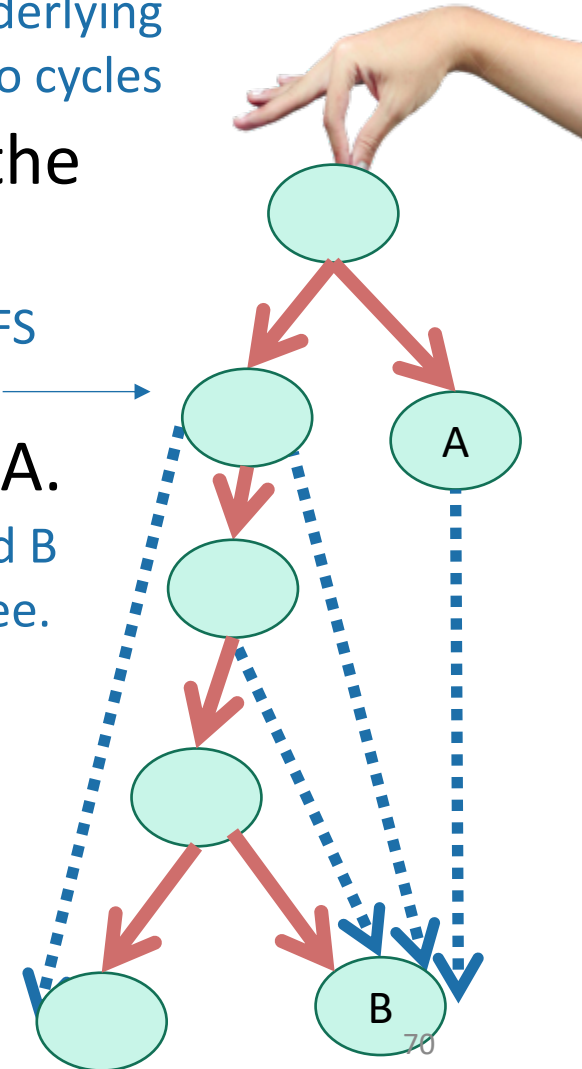
- Notice that A can't be a descendant of B in the DFS tree or else there'd be a cycle; so it looks like this →

- Then we must have explored B before A.
 - Otherwise we would have gotten to B from A, and B would have been a descendant of A in the DFS tree.

- Then

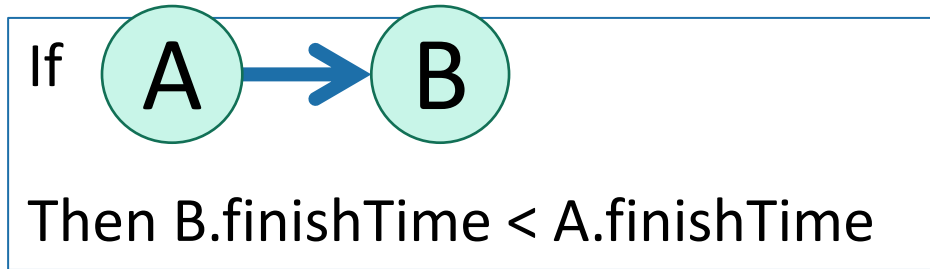


- aka, $B.\text{finishTime} < A.\text{finishTime}$.

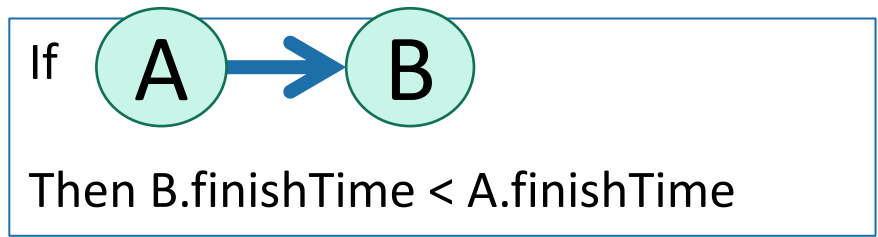


Theorem

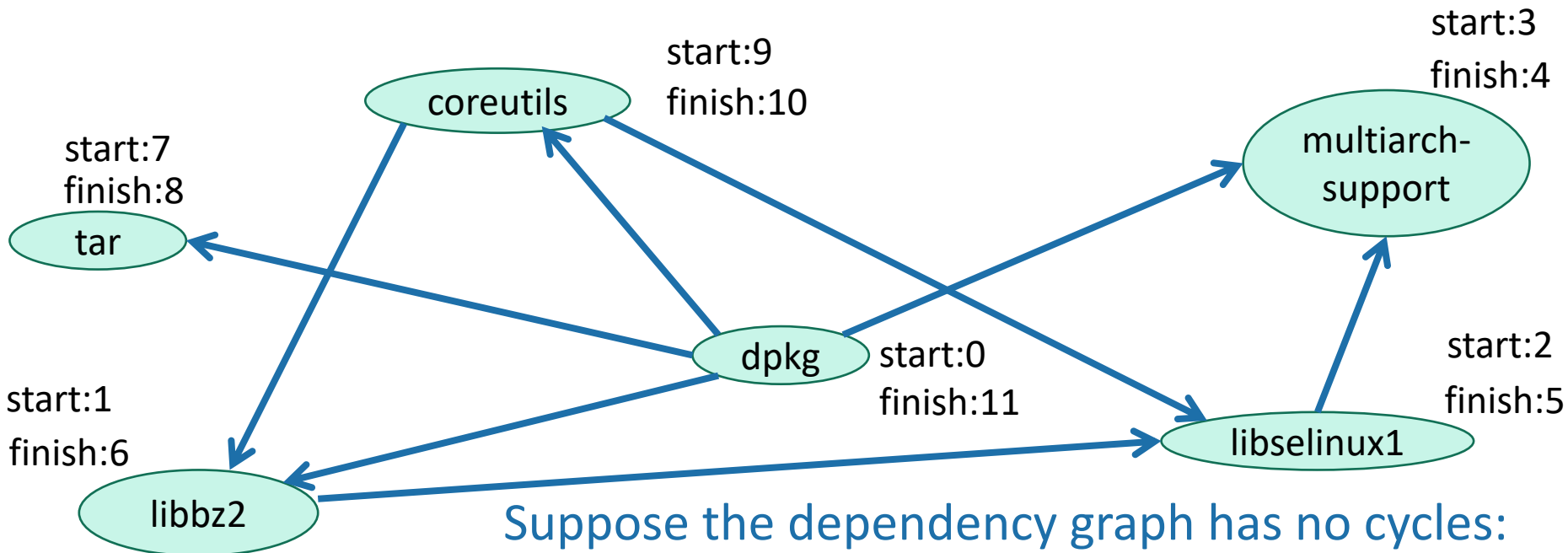
- If we run DFS on a directed acyclic graph,



Back to topological sorting



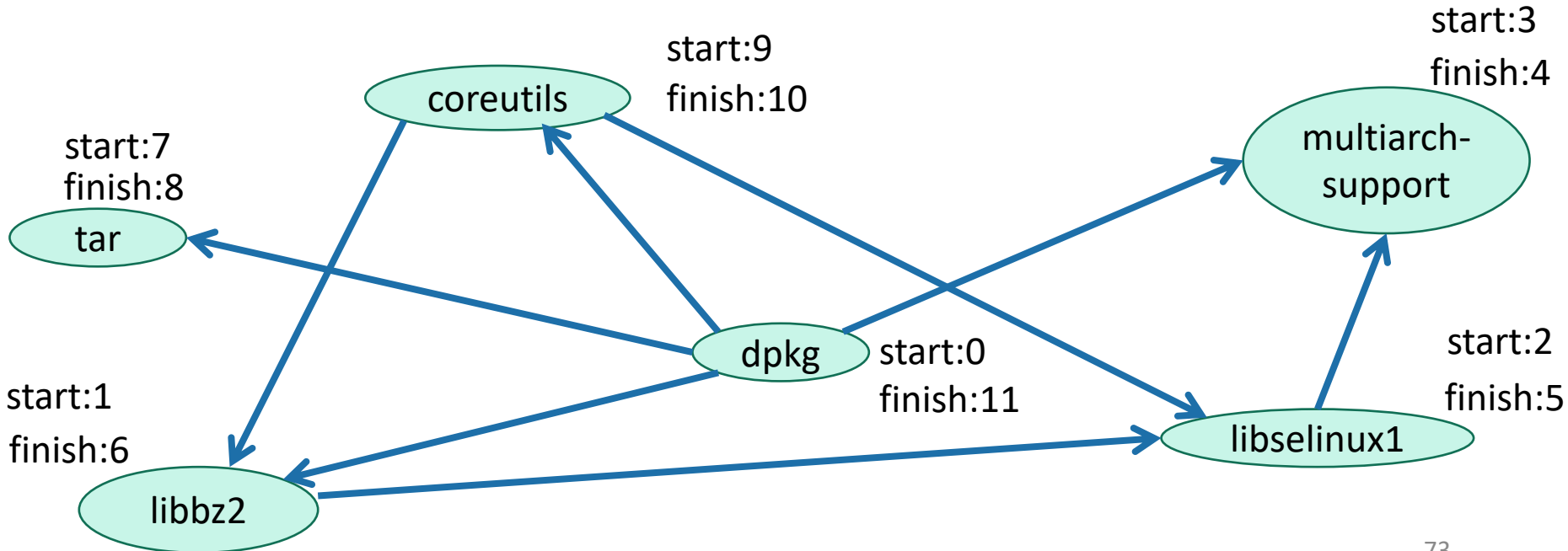
- In what order should I install packages?
- In reverse order of finishing time in DFS!



Suppose the dependency graph has no cycles:
it is a **Directed Acyclic Graph (DAG)**

Topological Sorting (on a DAG)

- Do DFS
 - When you mark a vertex as **all done**, put it at the **beginning** of the list.
- dpkg
 - coreutils
 - tar
 - libbz2
 - libselinux1
 - multiarch_support



For implementation, see Python notebook

```
In [69]: print(G)
```

```
CS161Graph with:  
  Vertices:  
    dkpg,coreutils,multiarch_support,libselinux1,libbz2,tar,  
  Edges:  
    (dkpg,multiarch_support) (dkpg,coreutils) (dkpg,tar) (dkpg,libbz2  
 ) (coreutils,libbz2) (coreutils,libselinux1) (libselinux1,multiarch_suppo  
rt) (libbz2,libselinux1)
```

```
In [71]: V = topoSORT(G)  
for v in V:  
    print(v)
```

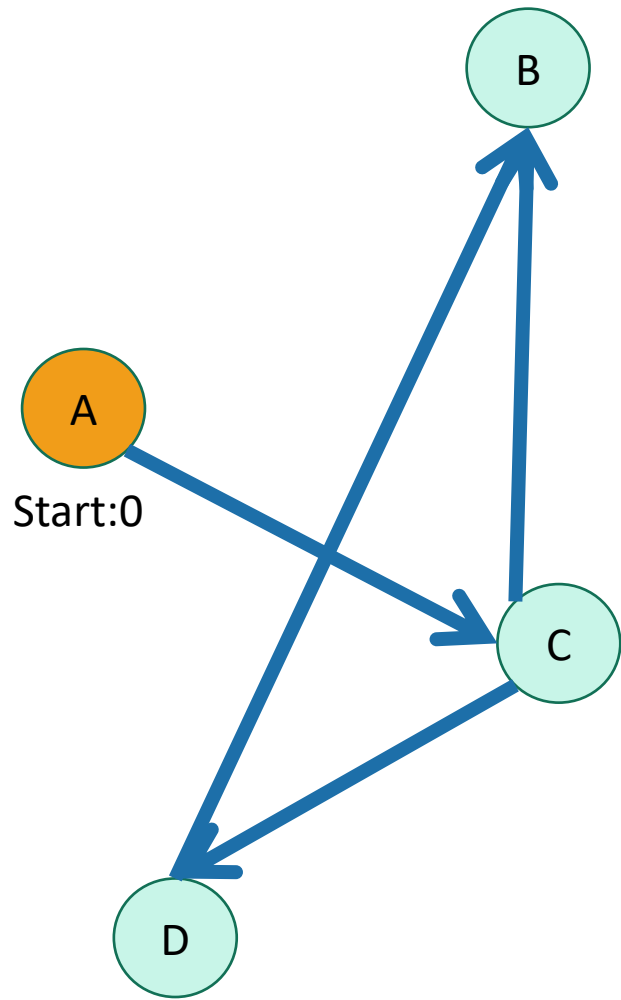
```
dkpg  
tar  
coreutils  
libbz2  
libselinux1  
multiarch_support
```

What did we just learn?

- DFS can help you solve the **topological sorting problem**
 - That's the fancy name for the problem of finding an ordering that respects all the dependencies
- Thinking about the DFS tree is helpful.

Example:

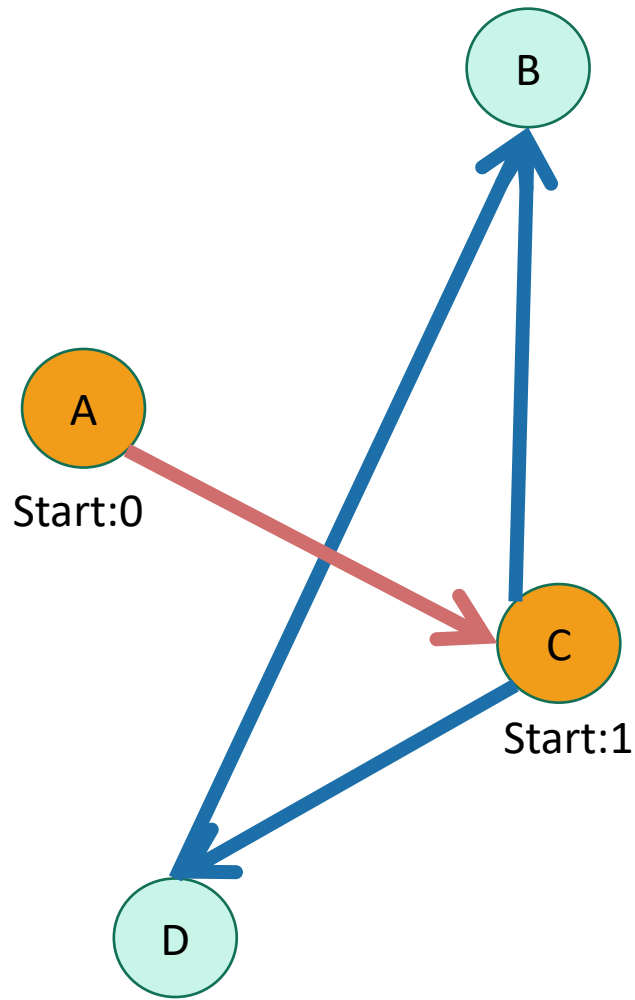
This example skipped in class – here for reference.



- Unvisited
- In progress
- All done

Example

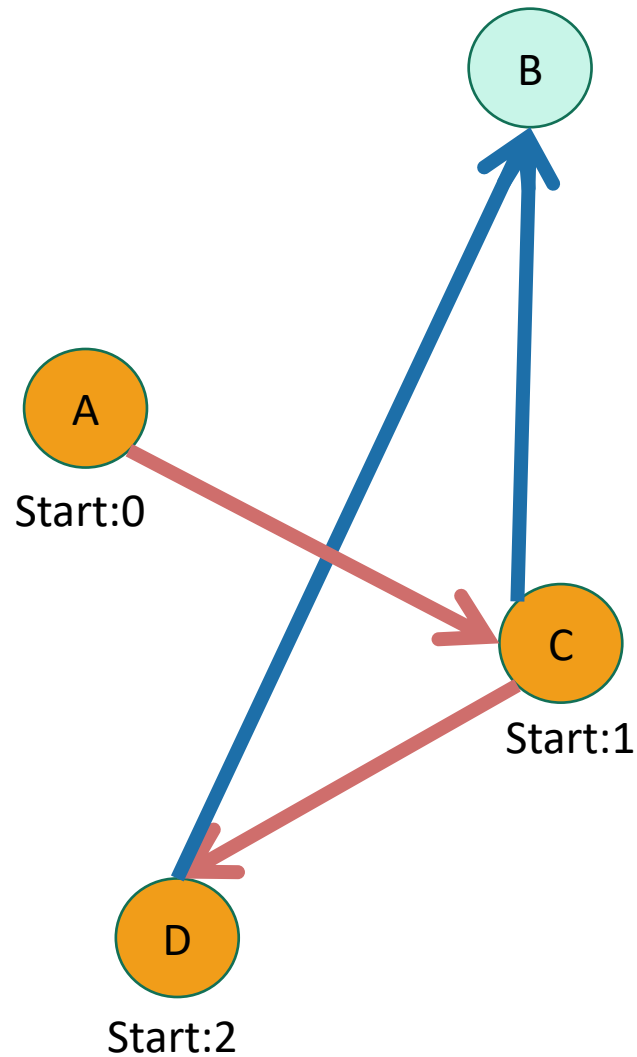
This example skipped in class – here for reference.



- Unvisited
- In progress
- All done

Example

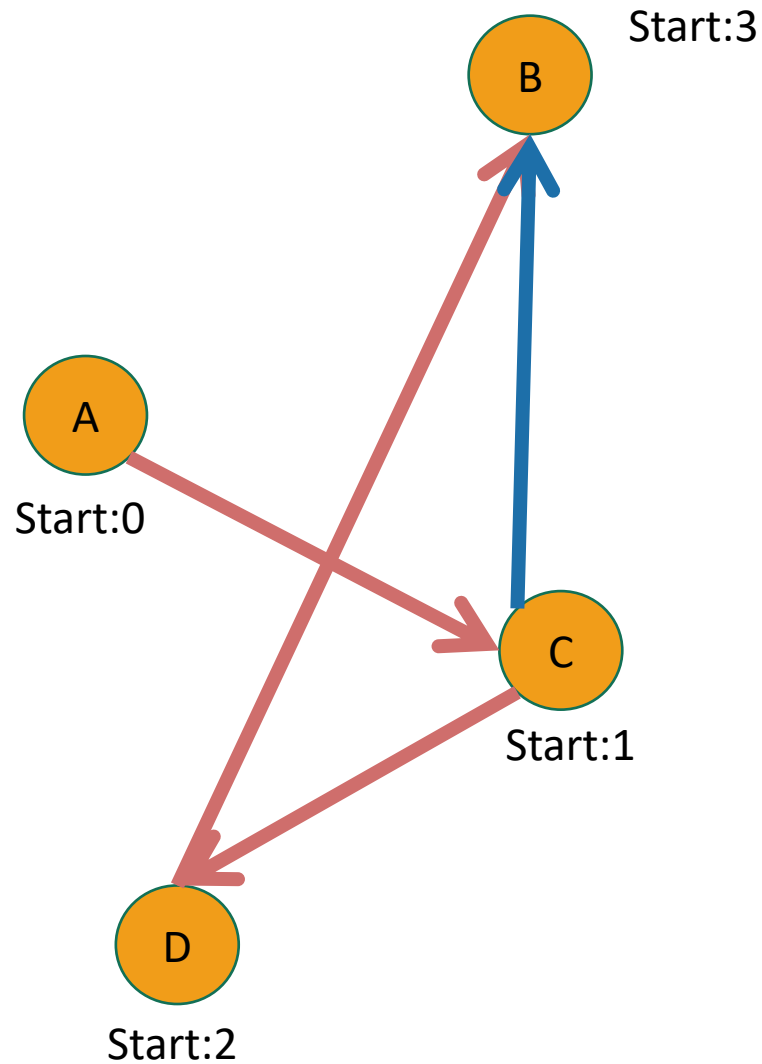
This example skipped in class – here for reference.



- Unvisited
- In progress
- All done

Example

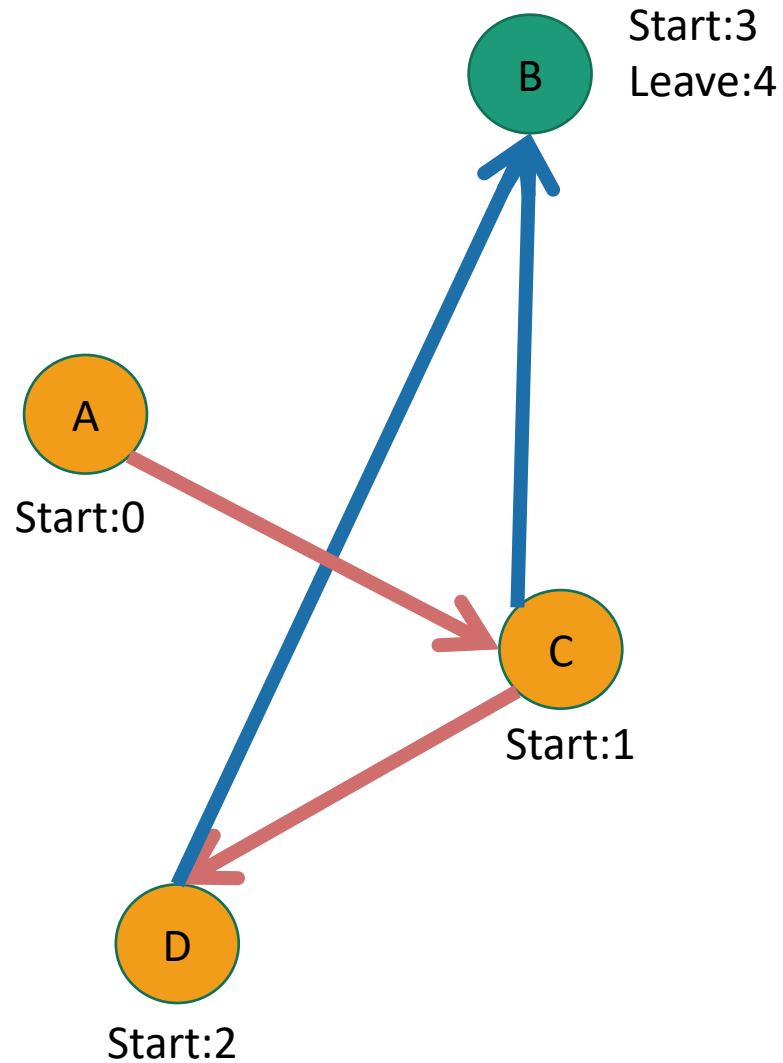
This example skipped in class – here for reference.



- Unvisited
- In progress
- All done

Example

This example skipped in class – here for reference.

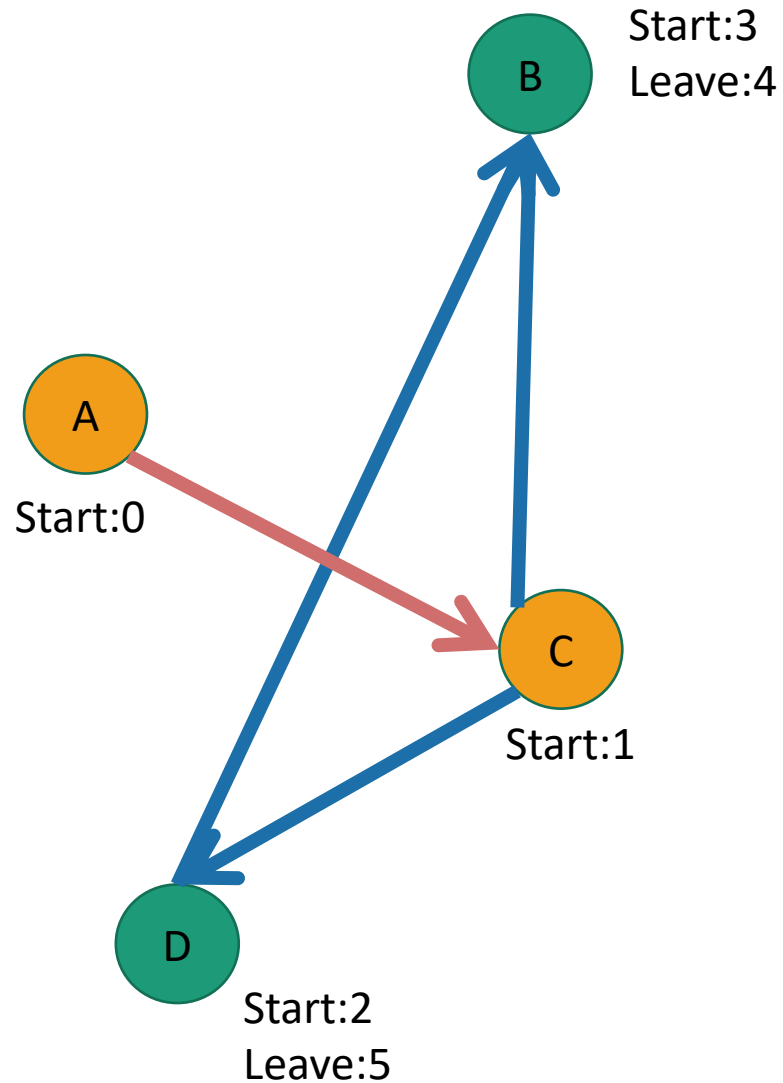


- Unvisited
- In progress
- All done



Example

This example skipped in class – here for reference.

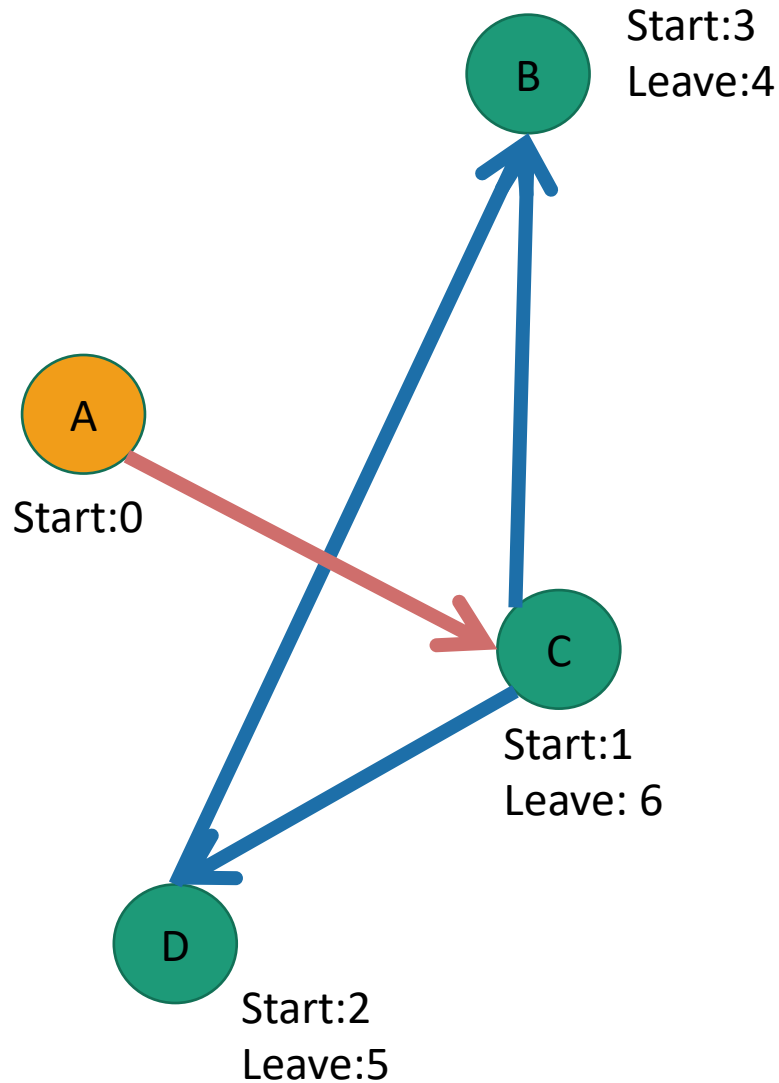


- Unvisited
- In progress
- All done



Example

This example skipped in class – here for reference.

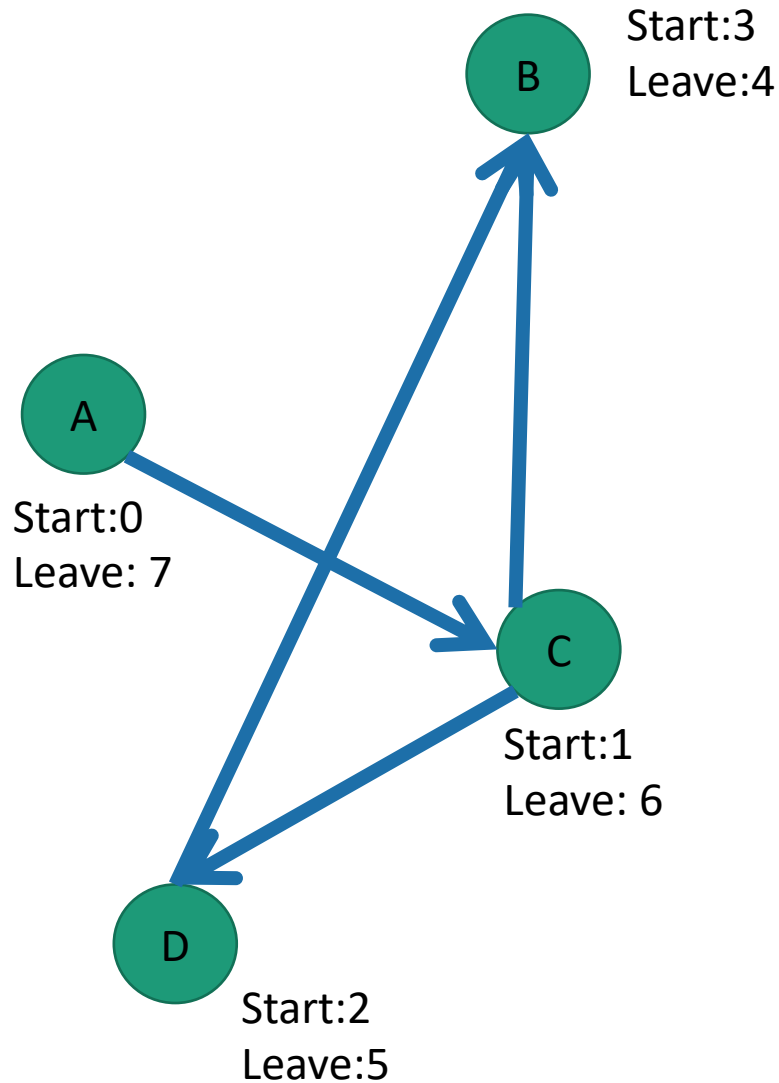


- Unvisited
- In progress
- All done



Example

This example skipped in class – here for reference.



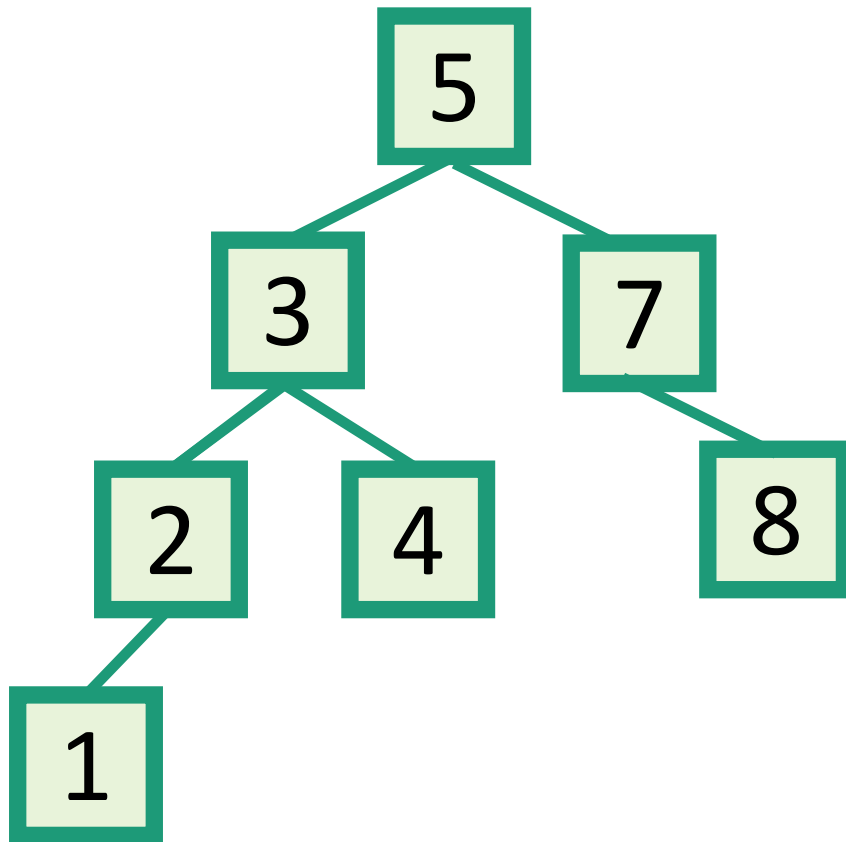
- Unvisited
- In progress
- All done

Do them in this order:



Another use of DFS that we've already seen

- In-order enumeration of binary search trees

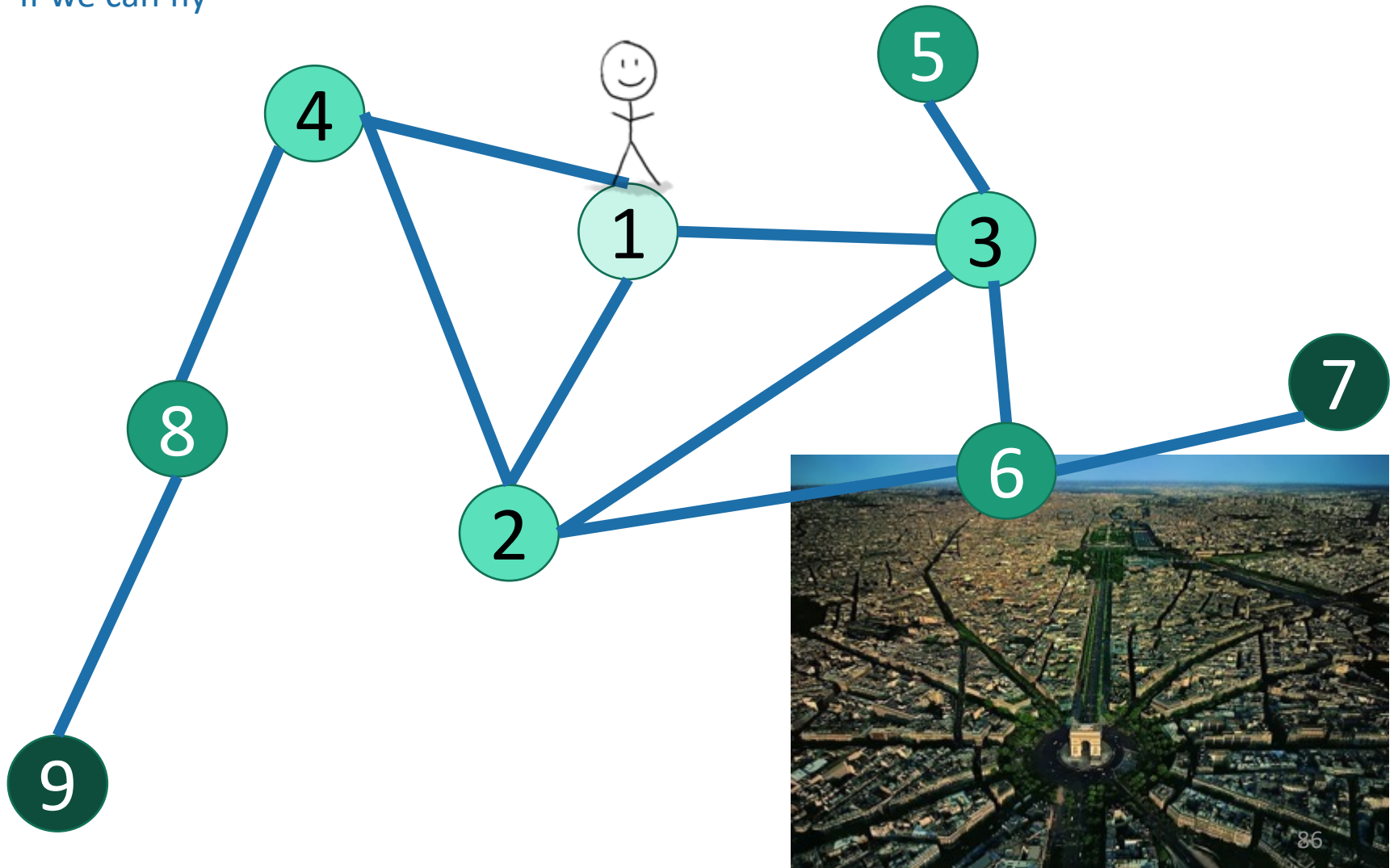


Do DFS and print a node's label when you are done with the left child and before you begin the right child.

Part 2: breadth-first search

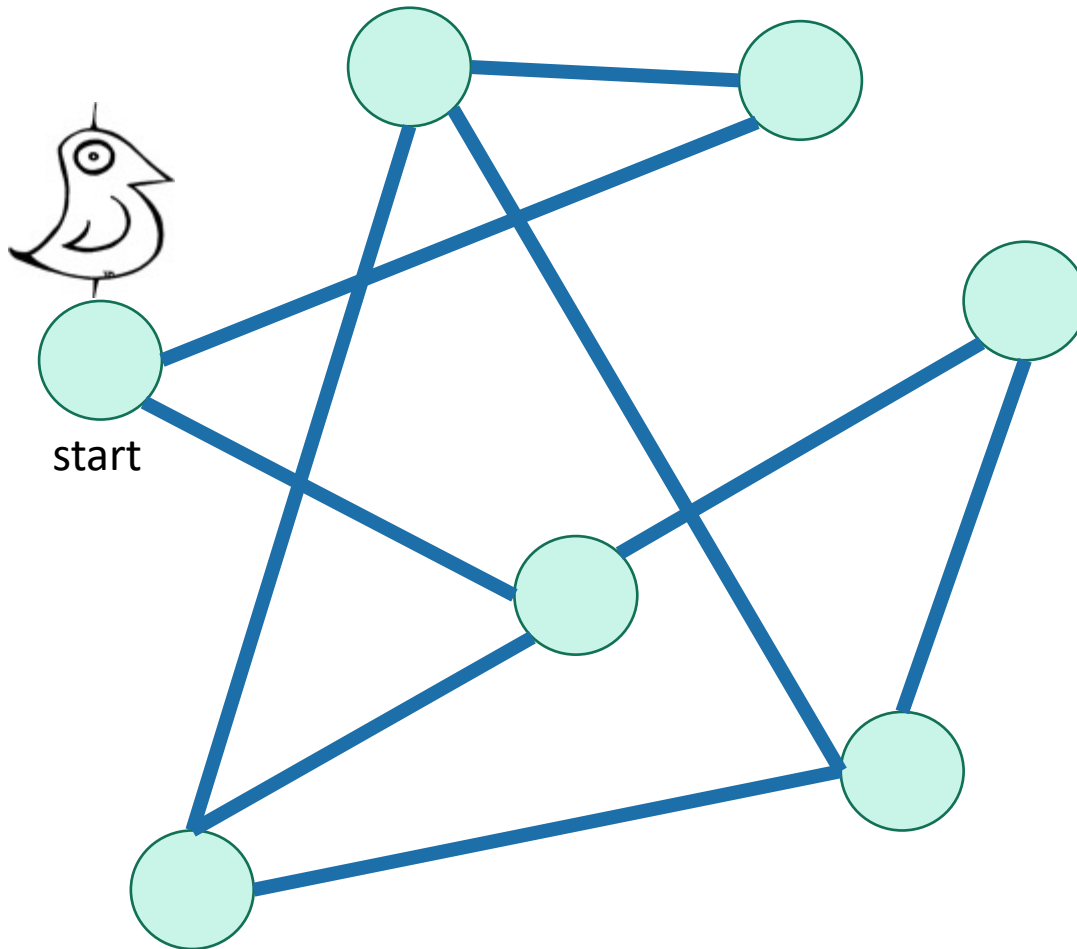
How do we explore a graph?

If we can fly



Breadth-First Search

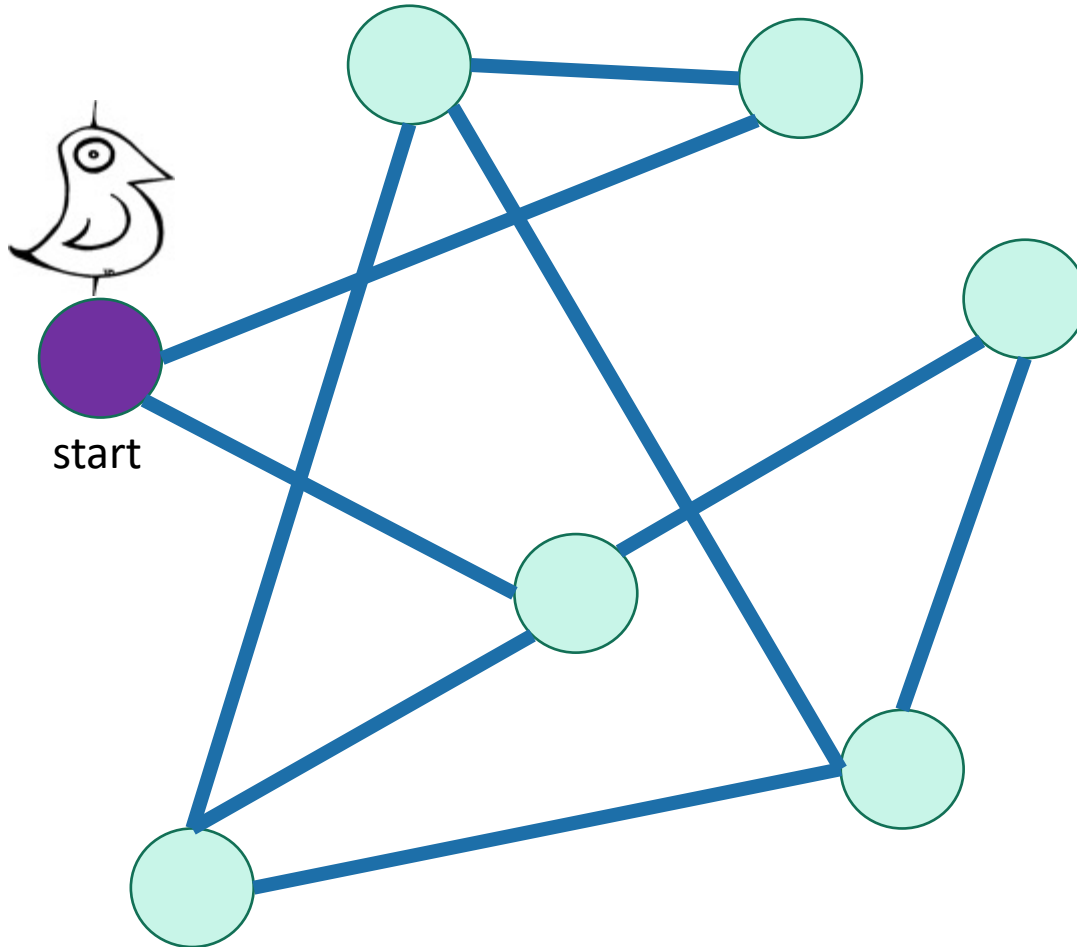
Exploring the world with a bird's-eye view








- Not been there yet
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

Breadth-First Search

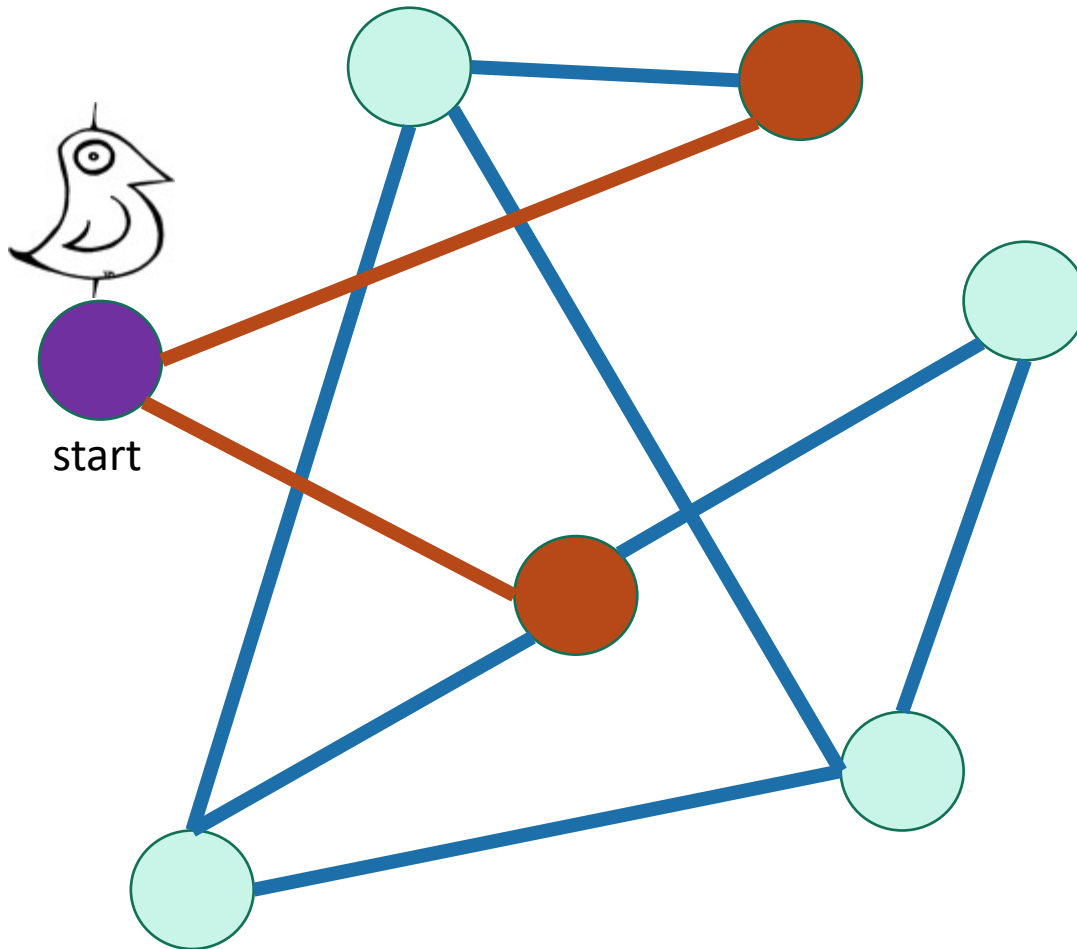
Exploring the world with a bird's-eye view








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Breadth-First Search

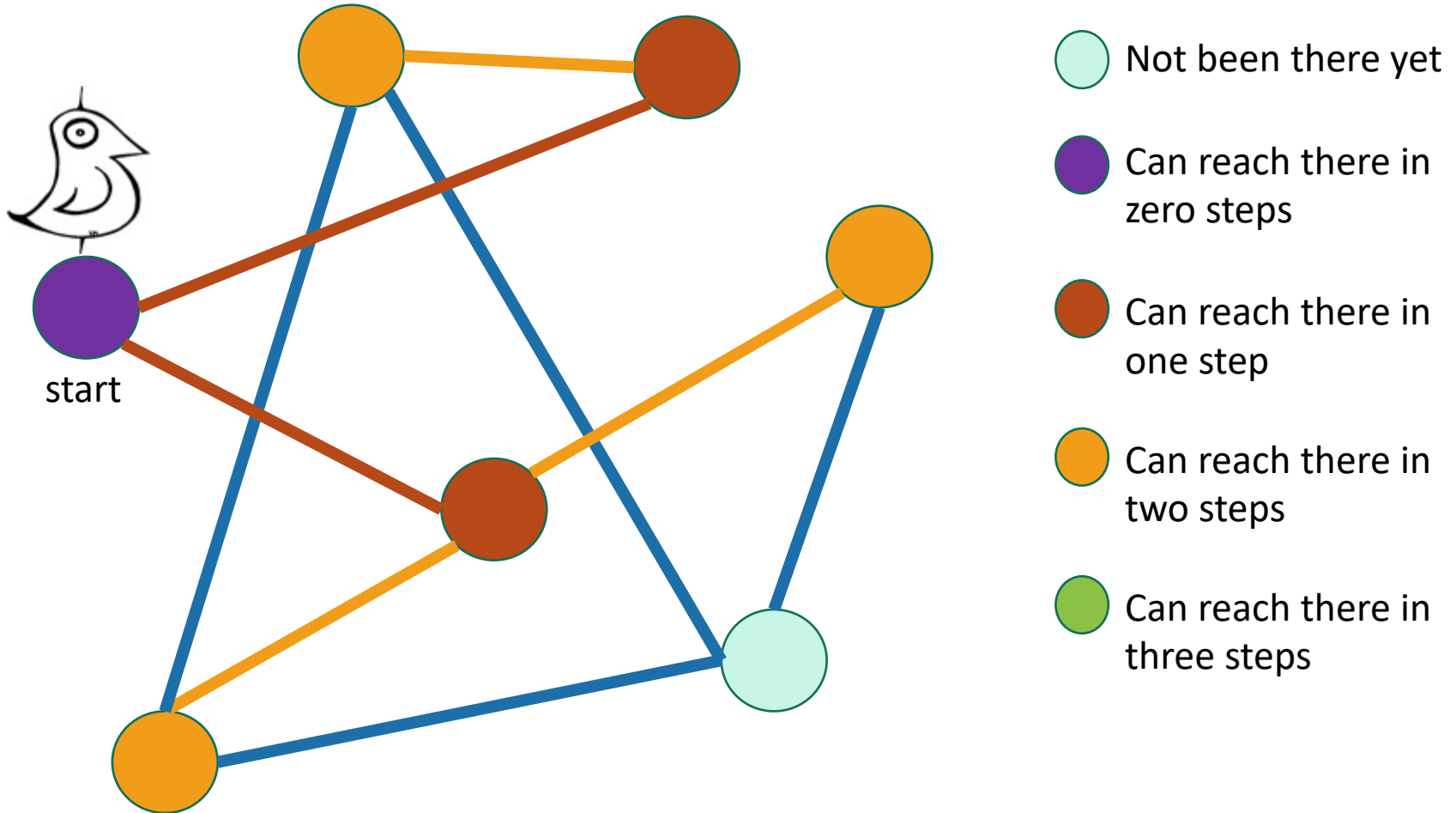
Exploring the world with a bird's-eye view



-  Not been there yet
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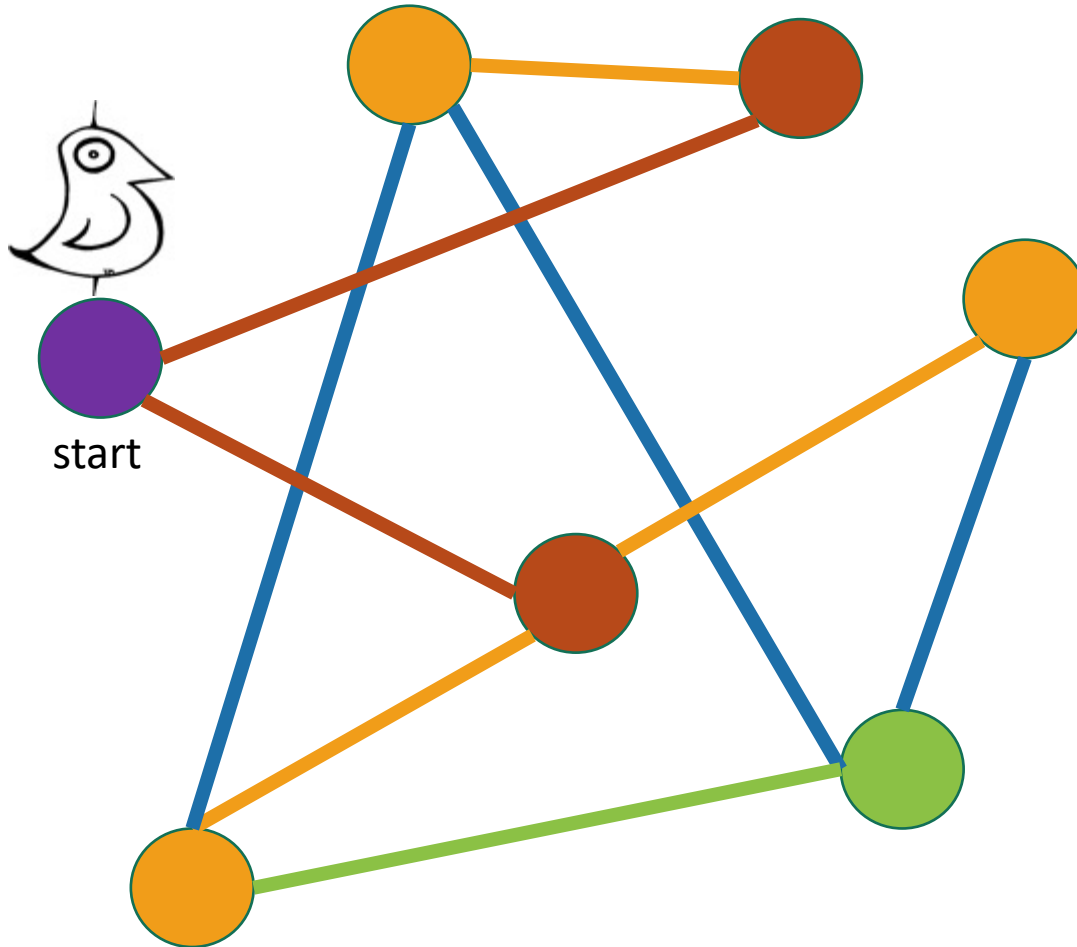
Breadth-First Search






Exploring the world with a bird's-eye view



Breadth-First Search

Exploring the world with a bird's-eye view



-  Not been there yet
-  Can reach there in zero steps
-  Can reach there in one step
-  Can reach there in two steps
-  Can reach there in three steps

World:
EXPLORED!

Same disclaimer as for DFS: you may have seen other ways to implement this, this will be convenient for us.

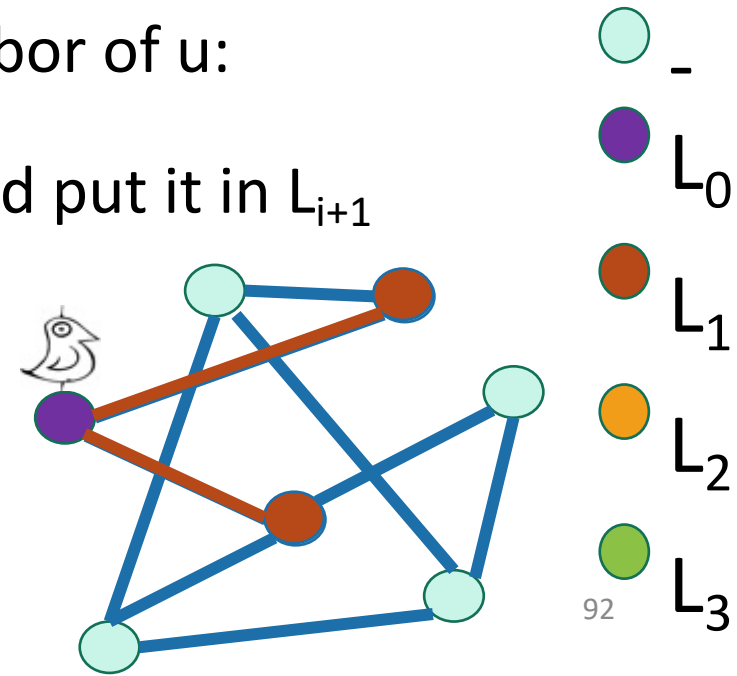
Breadth-First Search

Exploring the world with pseudocode

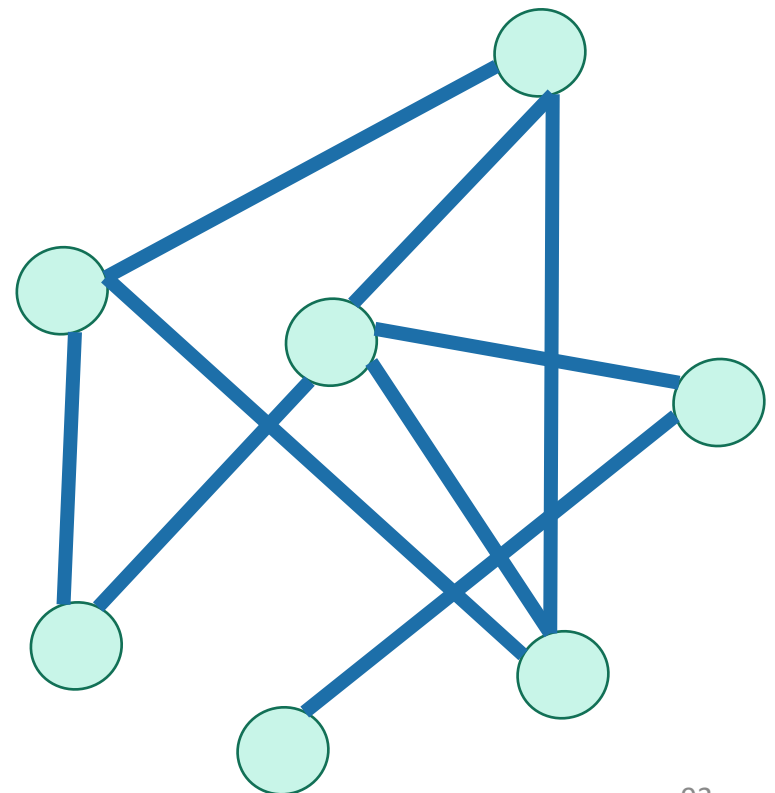
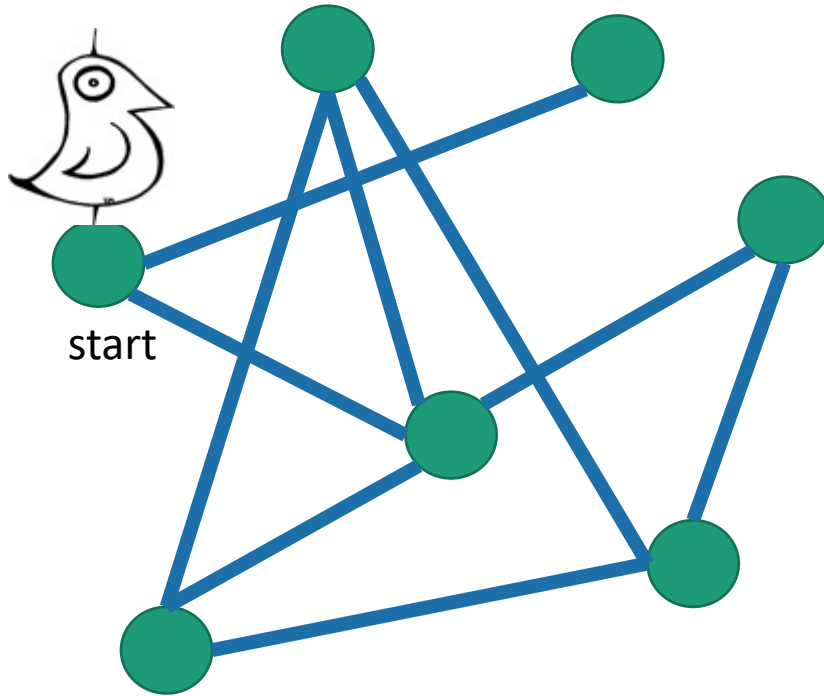
- Set $L_i = []$ for $i=1, \dots, n$
- $L_0 = [w]$, where w is the start node
- Mark w as visited
- **For** $i = 0, \dots, n-1$:
 - **For** u in L_i :
 - **For** each v which is a neighbor of u :
 - **If** v isn't yet visited:
 - mark v as visited, and put it in L_{i+1}

L_i is the set of nodes we can reach in i steps from w

Go through all the nodes in L_i and add their unvisited neighbors to L_{i+1}



BFS also finds all the nodes reachable from the starting point



It is also a good way to find all the **connected components**.

Running time and extension to directed graphs

- To explore the whole graph, explore the connected components one-by-one.
 - Same argument as DFS: BFS running time is $O(n + m)$
- Like DFS, BFS also works fine on directed graphs.

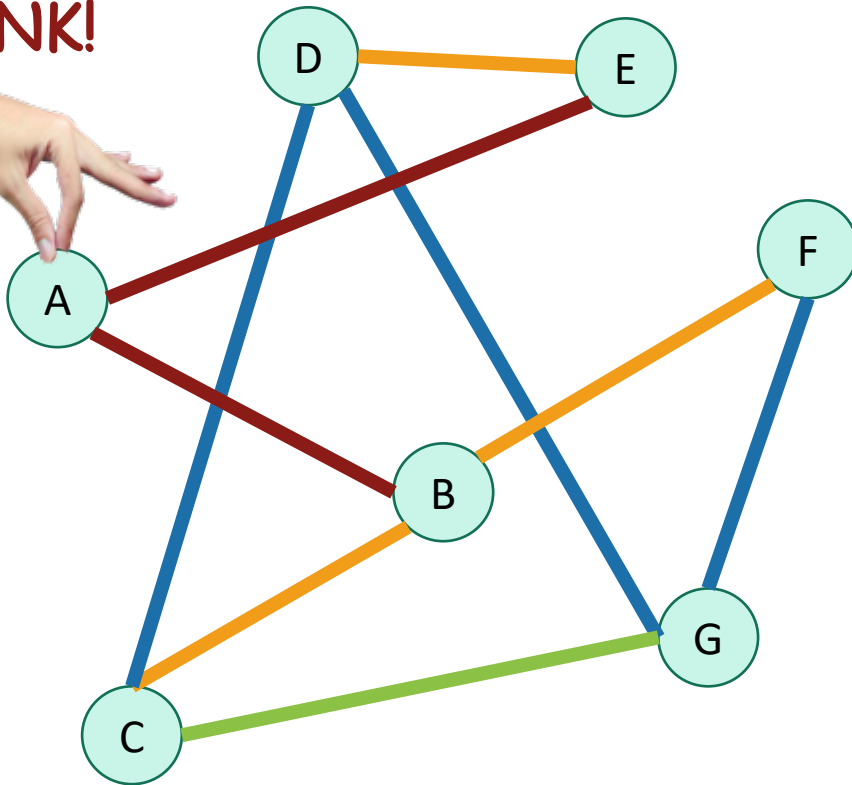
Verify these!



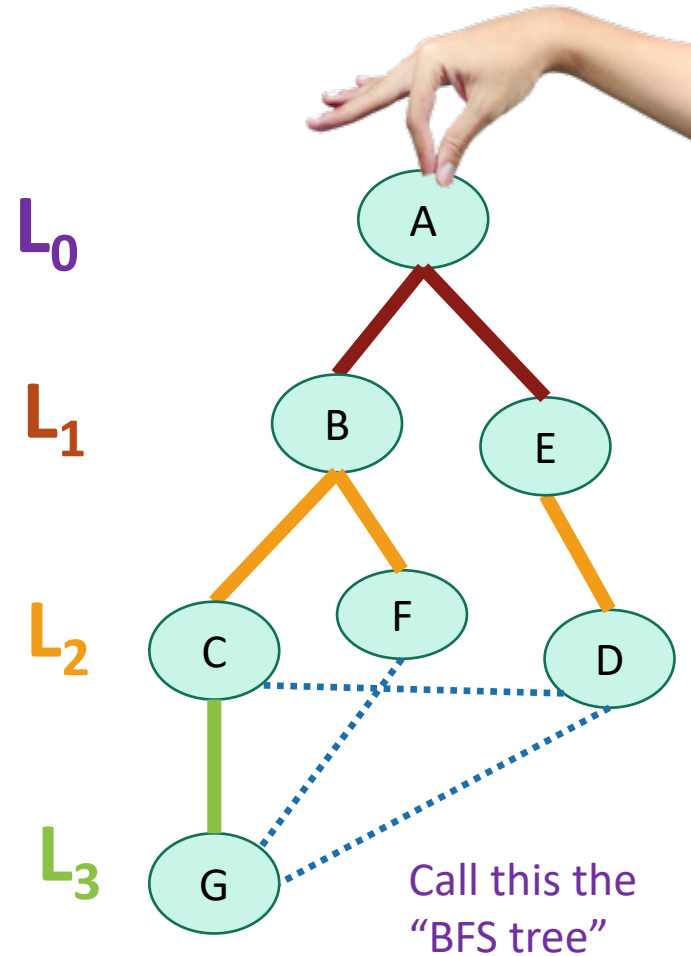
Why is it called breadth-first?

- We are implicitly building a tree:

YOINK!

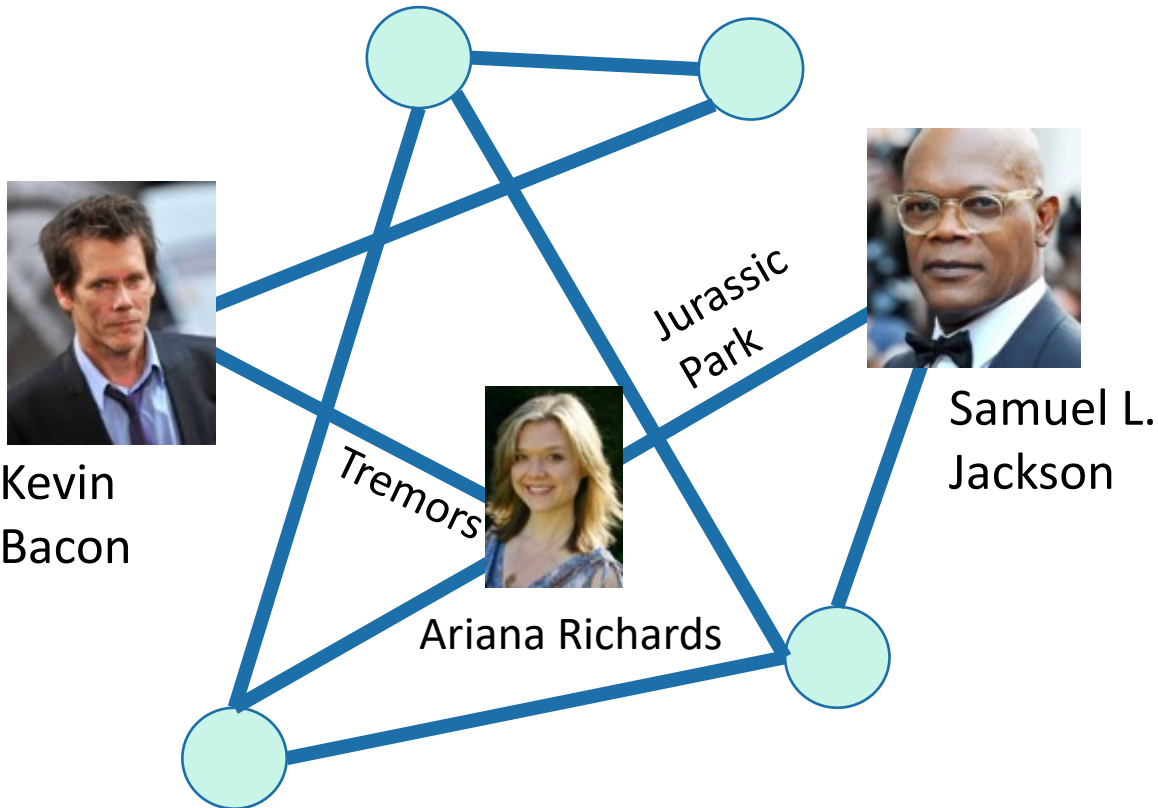


- First we go as broadly as we can.



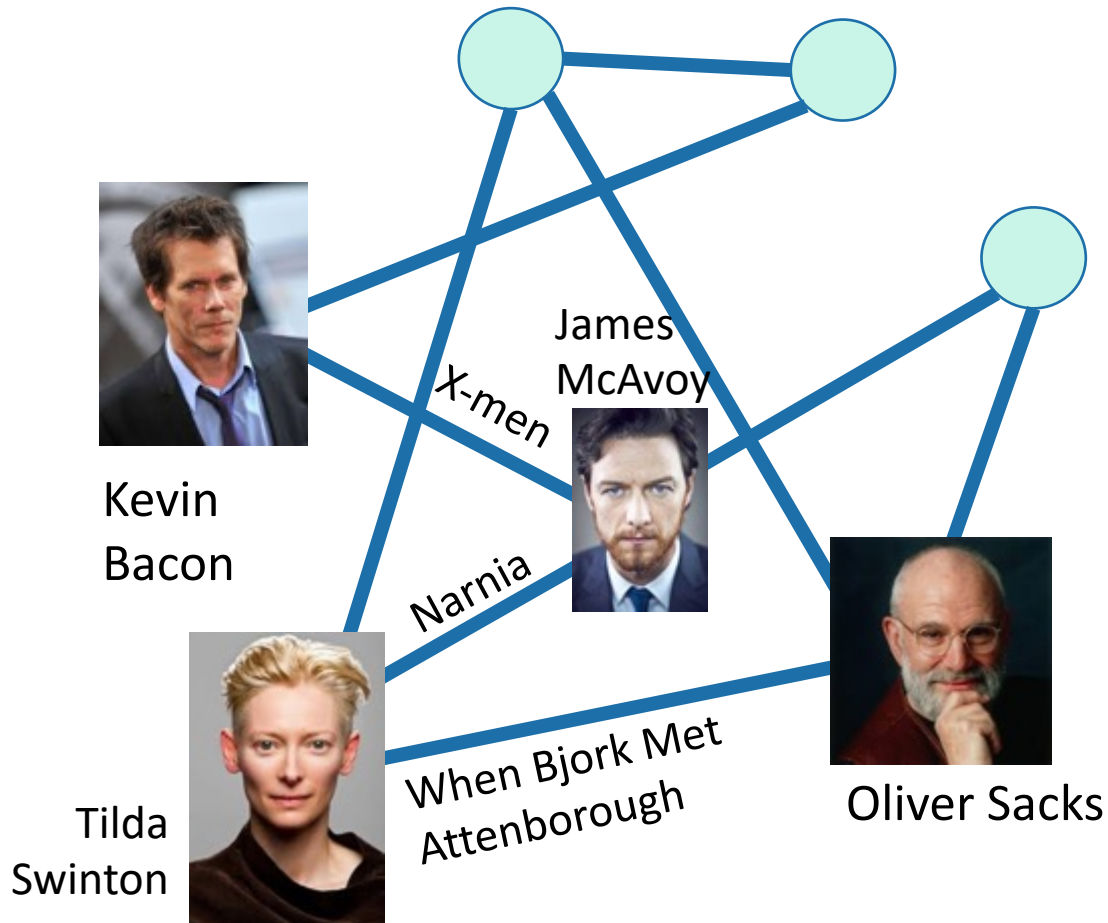
Pre-lecture exercise

- What Samuel L. Jackson's Bacon number?



(Answer: 2)

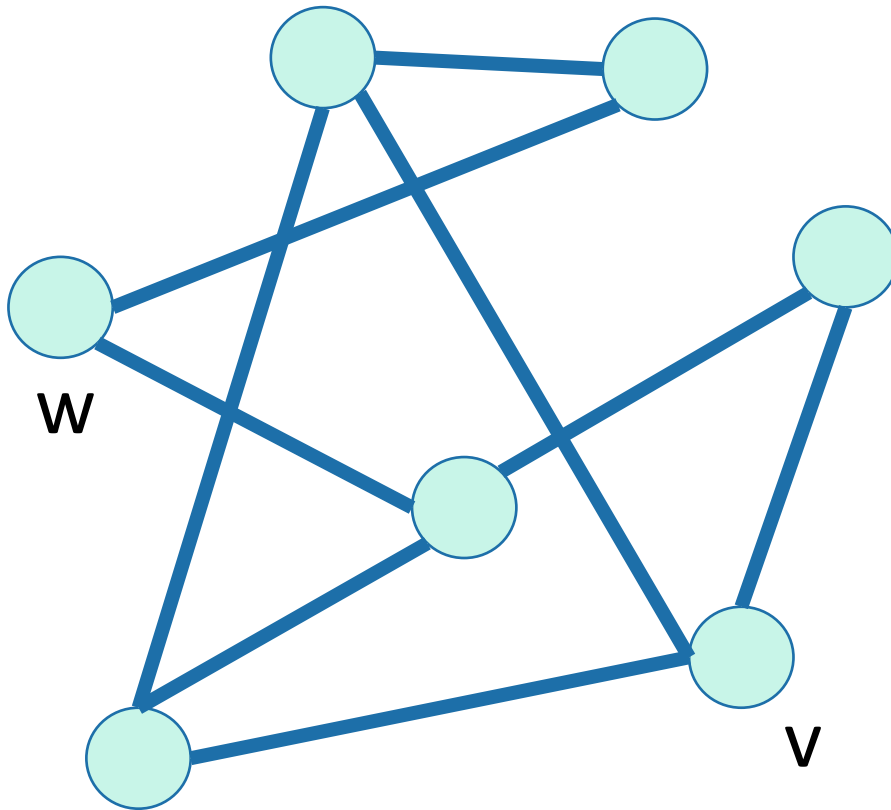
An example with distance 3



It is really hard to find
people with Bacon
number 3!

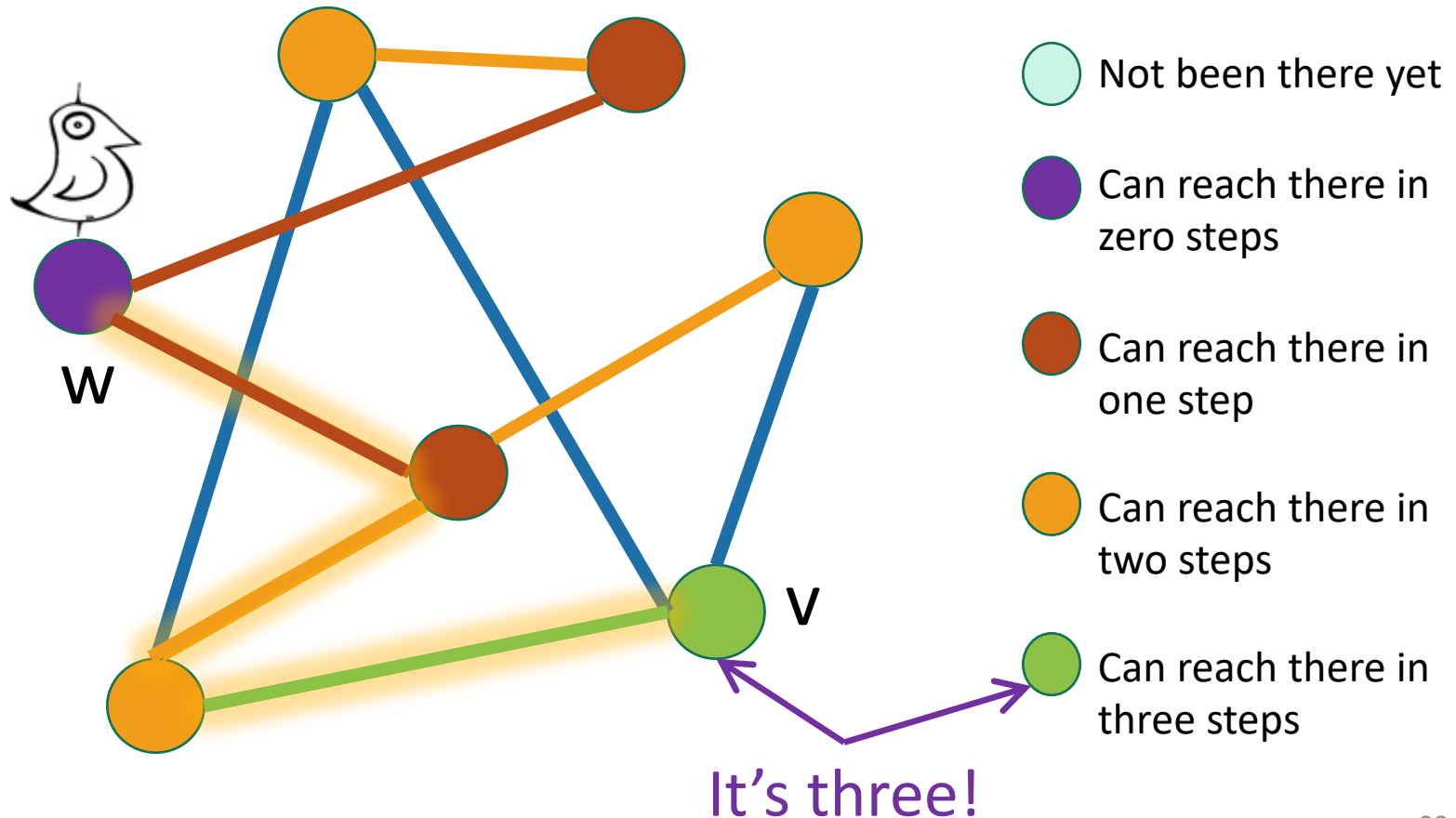
Application of BFS: shortest path

- How long is the shortest path between w and v ?



Application of BFS: shortest path

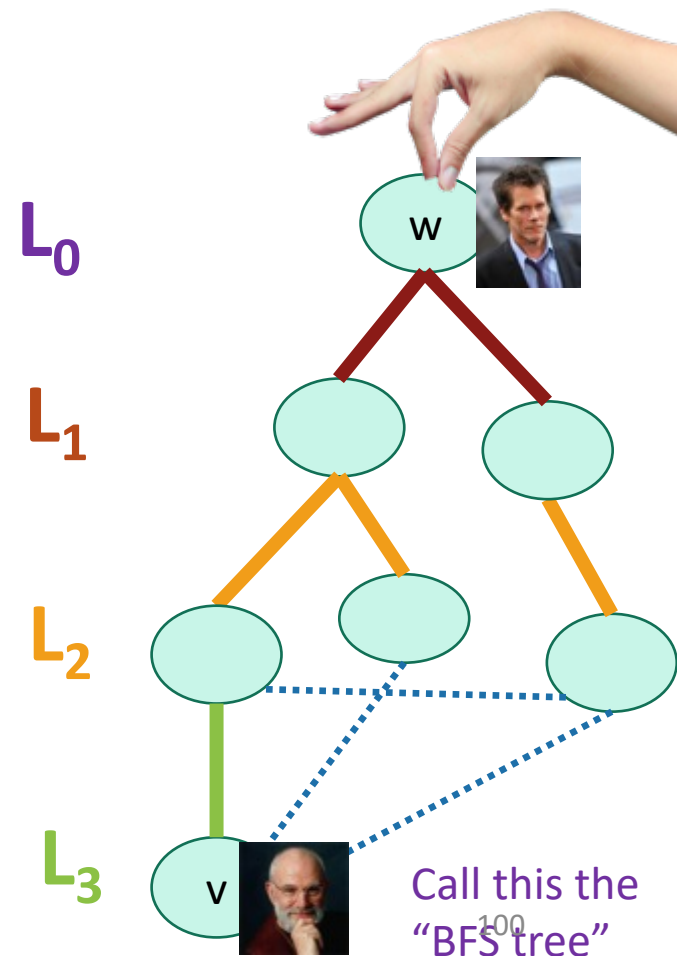
- How long is the shortest path between w and v?



To find the **distance** between w and all other vertices v

- Do a BFS starting at w
- For all v in L_i
 - The shortest path between w and v has length i .
 - A shortest path between w and v is given by the path in the BFS tree.
- If we never found v , the distance is infinite.

The **distance** between two vertices is the number of edges in the shortest path between them.



Modify the BFS pseudocode to return shortest paths!
Prove that this indeed returns shortest paths!



Gauss has no Bacon number



What have we learned?

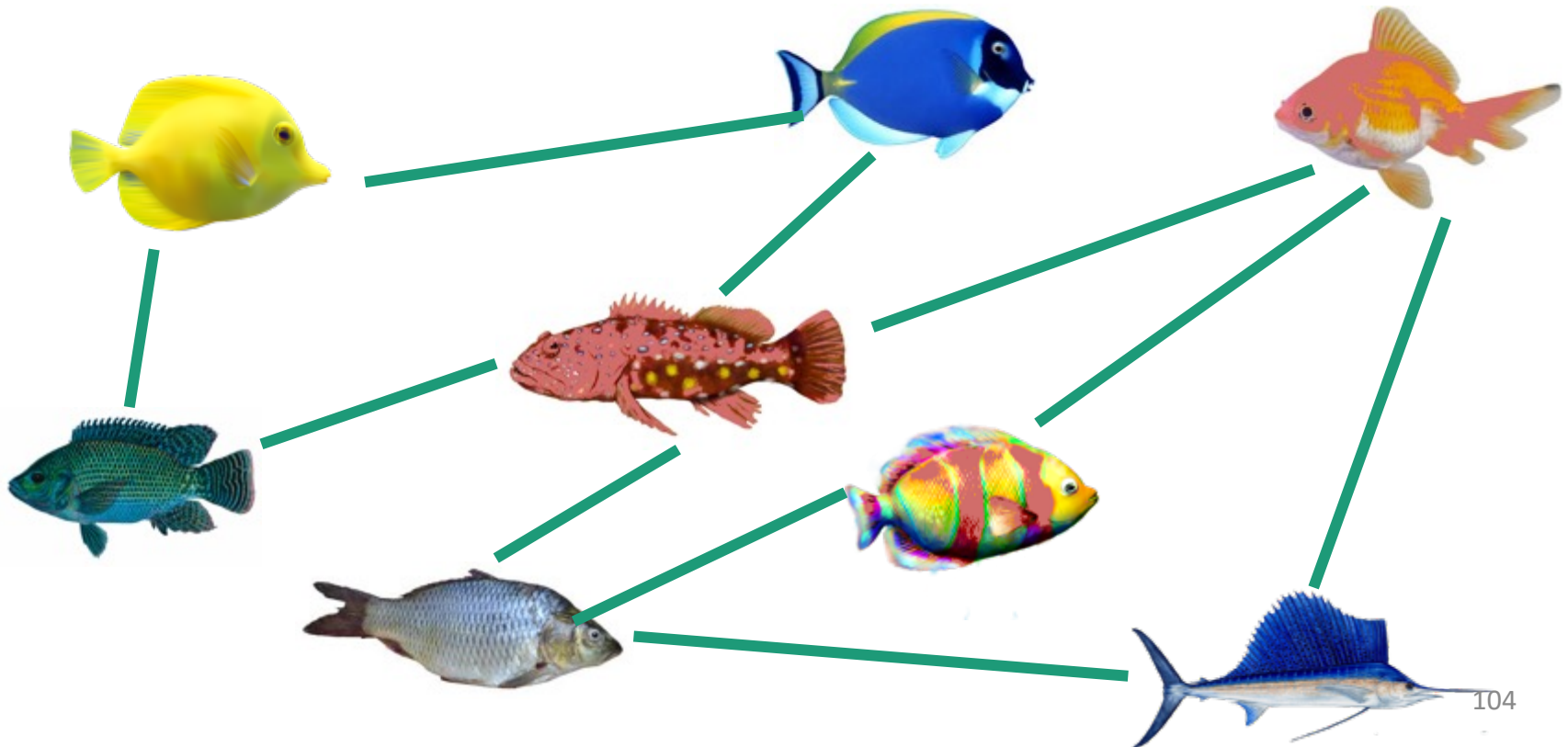
- The BFS tree is useful for computing distances between pairs of vertices.
- We can find the shortest path between u and v in time $O(m)$.

Another application of BFS

- Testing bipartite-ness

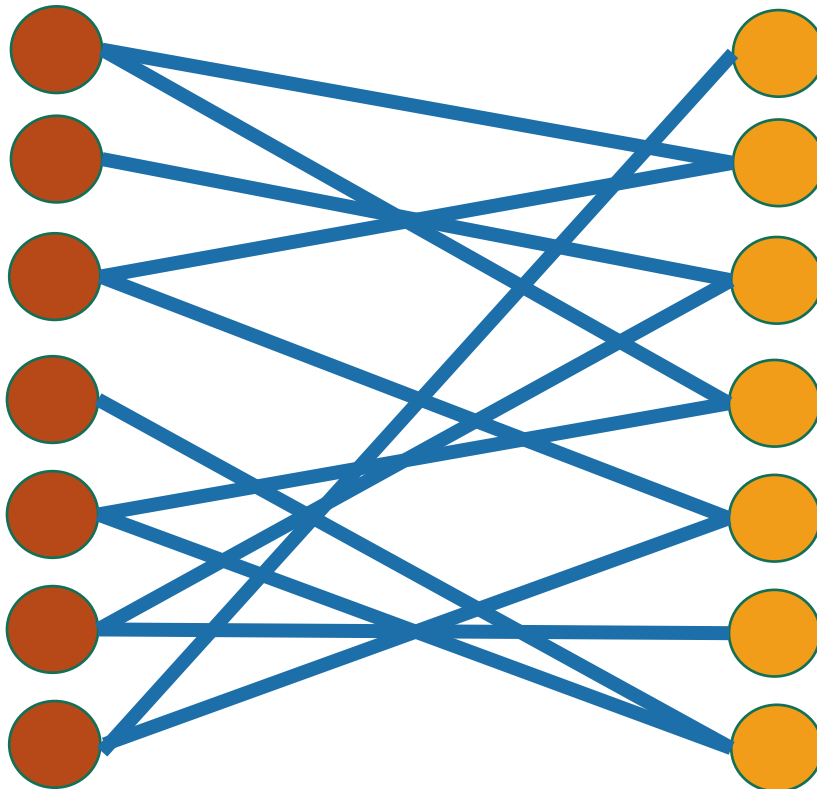
Pre-lecture exercise: fish

- You have a bunch of fish and two fish tanks.
- Some pairs of fish will fight if put in the same tank.
 - Model this as a graph: connected fish will fight.
- Can you put the fish in the two tanks so that there is no fighting?



Bipartite graphs

- A bipartite graph looks like this:



Can color the vertices red and orange so that there are no edges between any same-colored vertices

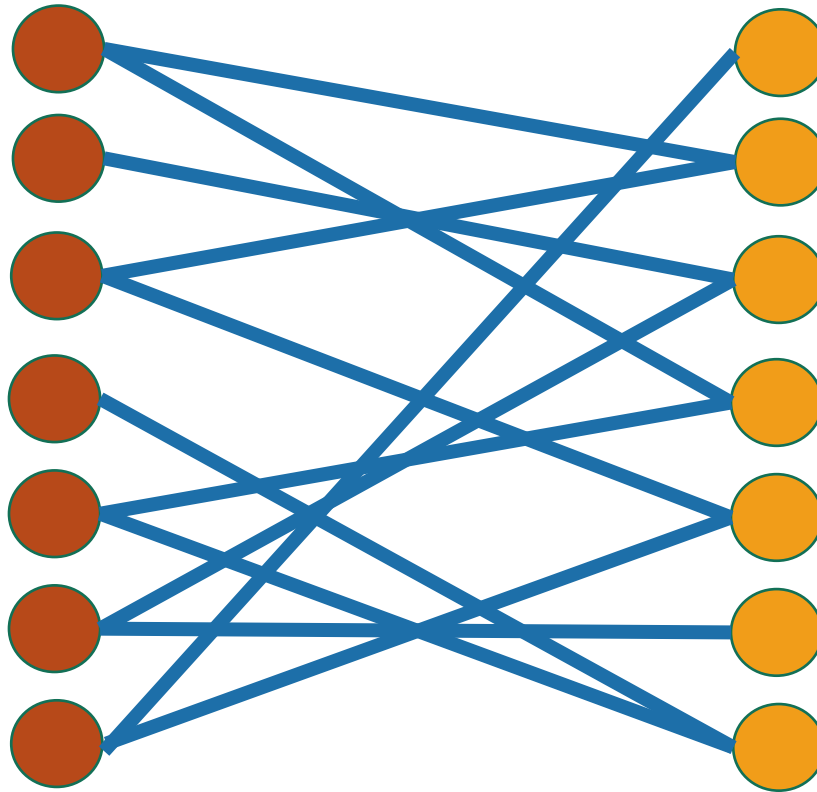
Example:

- are in tank A
- are in tank B
- if the fish fight

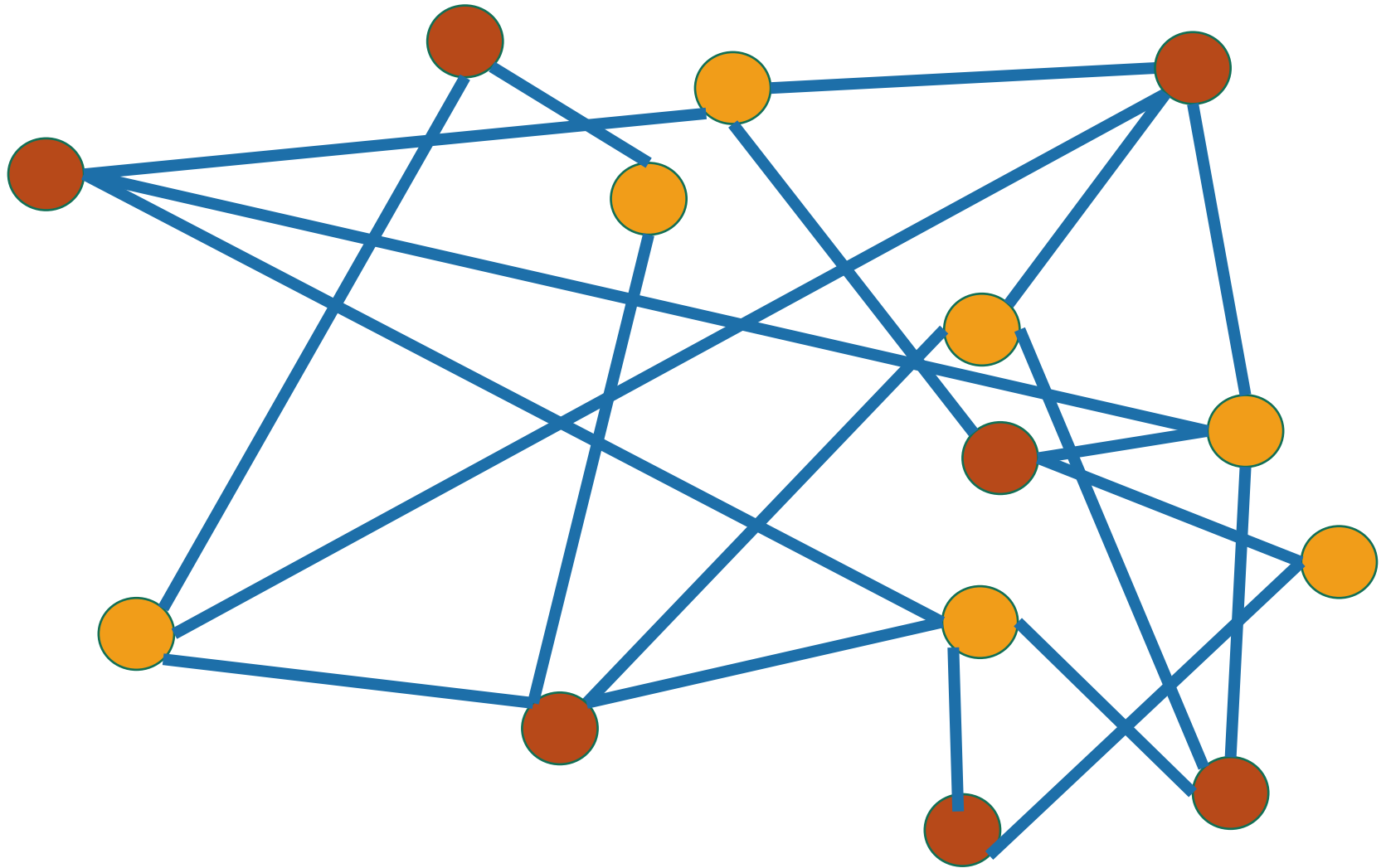
Example:

- are students
- are classes
- if the student is enrolled in the class

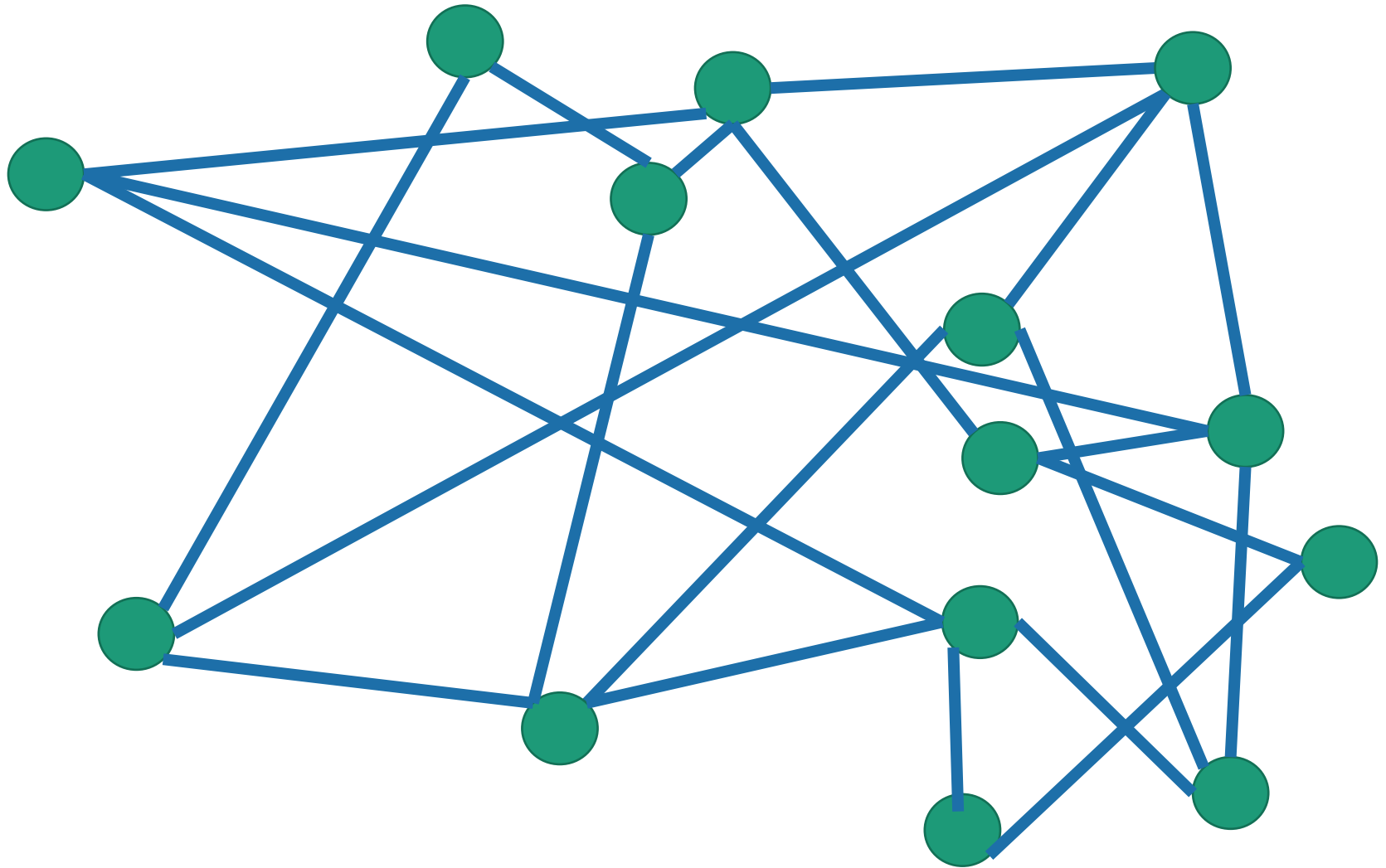
Is this graph bipartite?



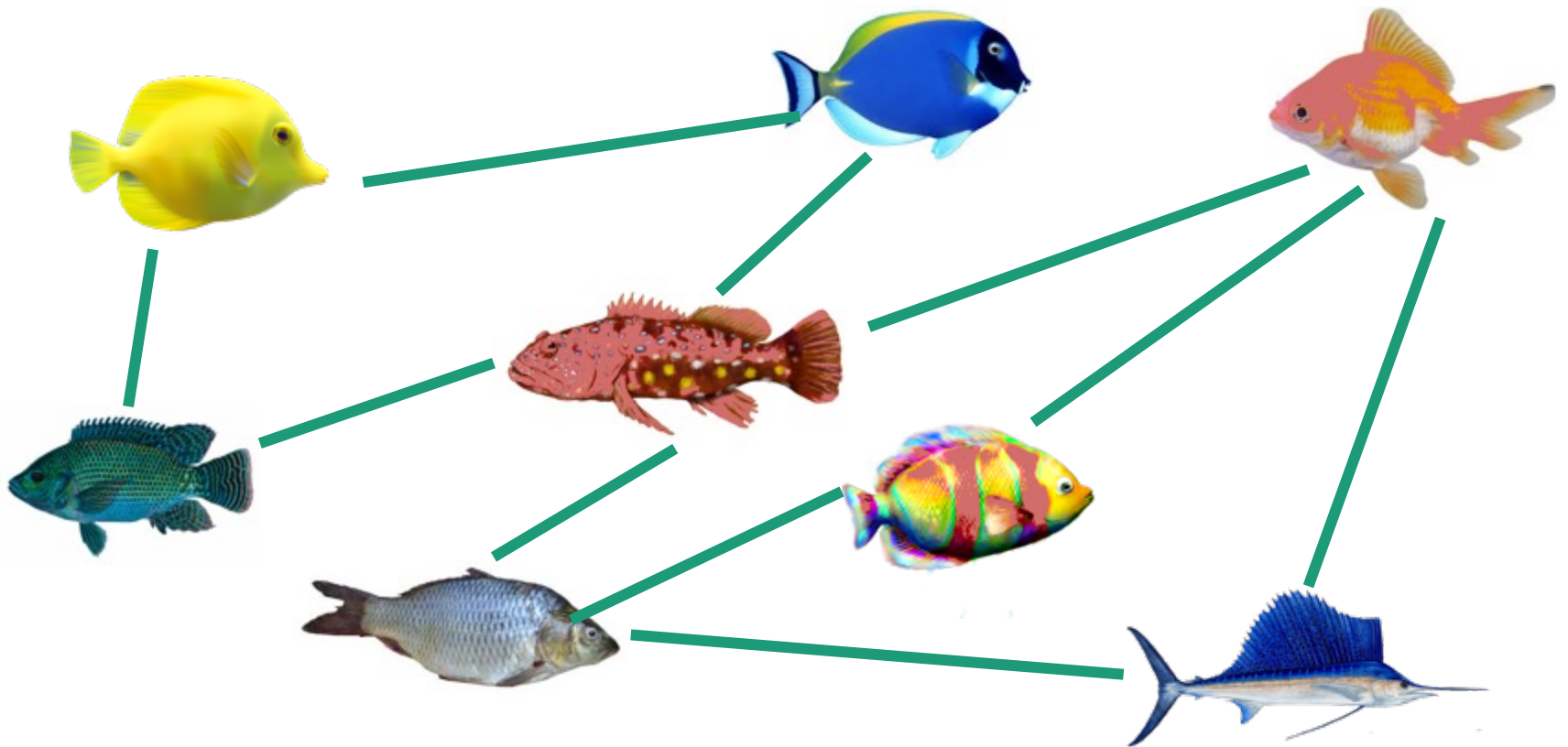
How about this one?



How about this one?



This one?

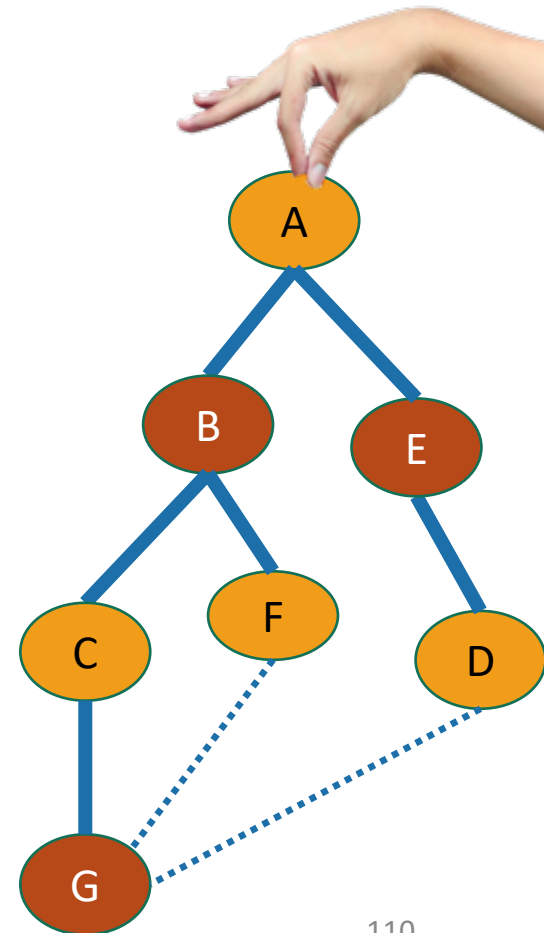


Does DFS work here too?



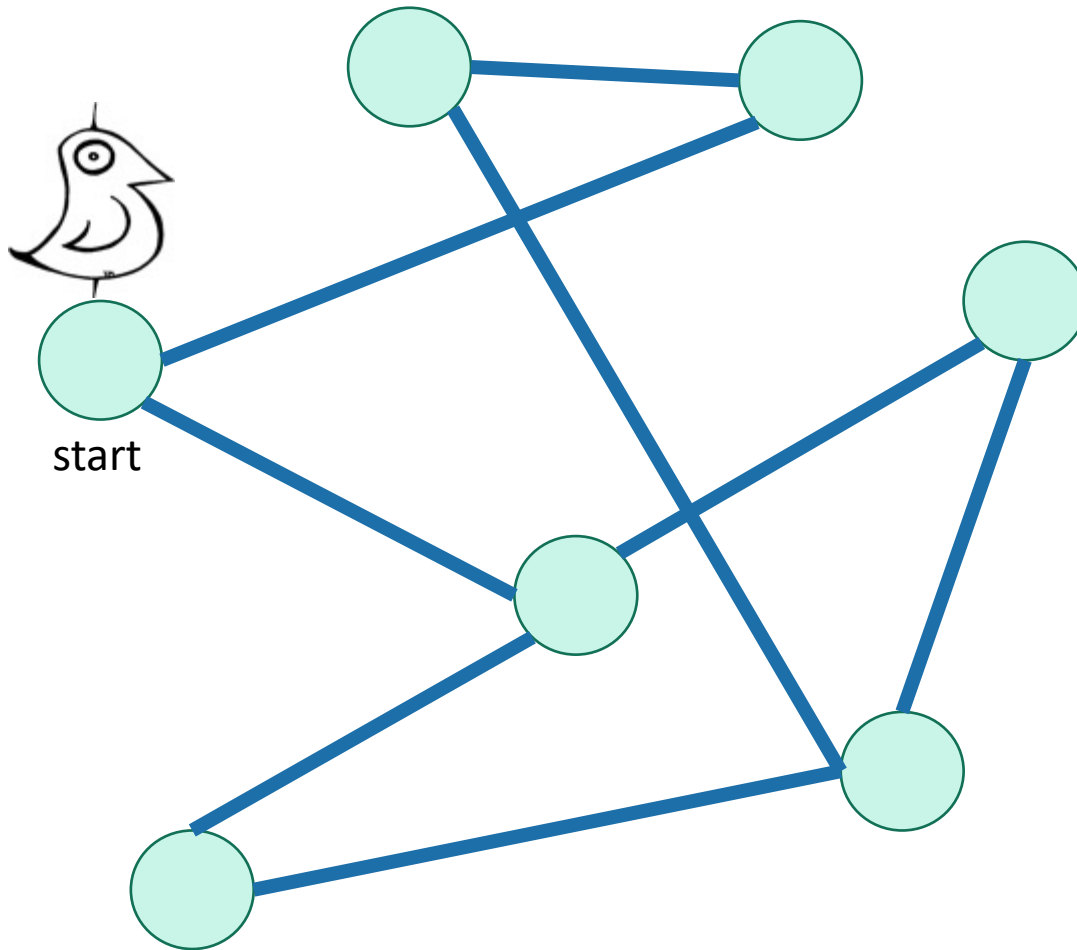
Application of BFS: Testing Bipartiteness

- Color the levels of the BFS tree in alternating colors.
- If you never color two connected nodes the same color, then it is bipartite.
- Otherwise, it's not.



Breadth-First Search

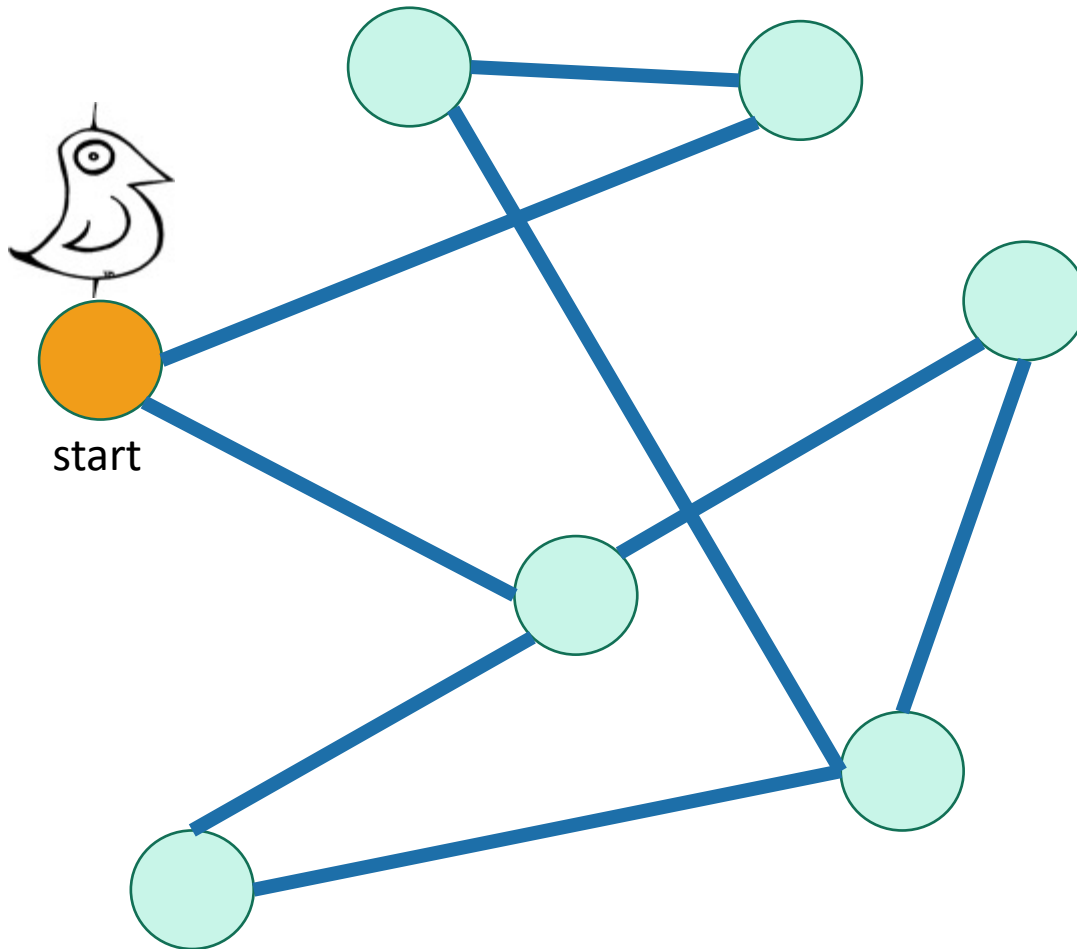
For testing bipartite-ness








- Not been there yet
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

Breadth-First Search

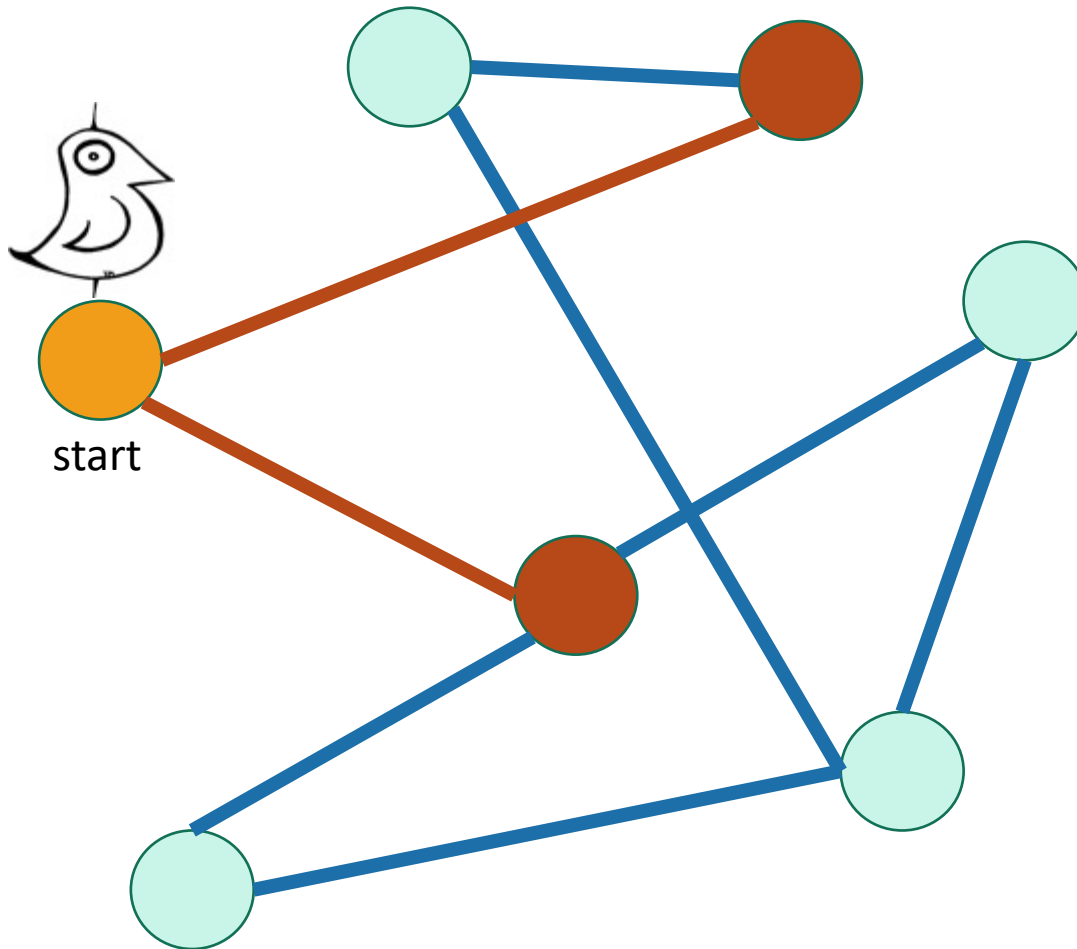
For testing bipartite-ness








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Breadth-First Search

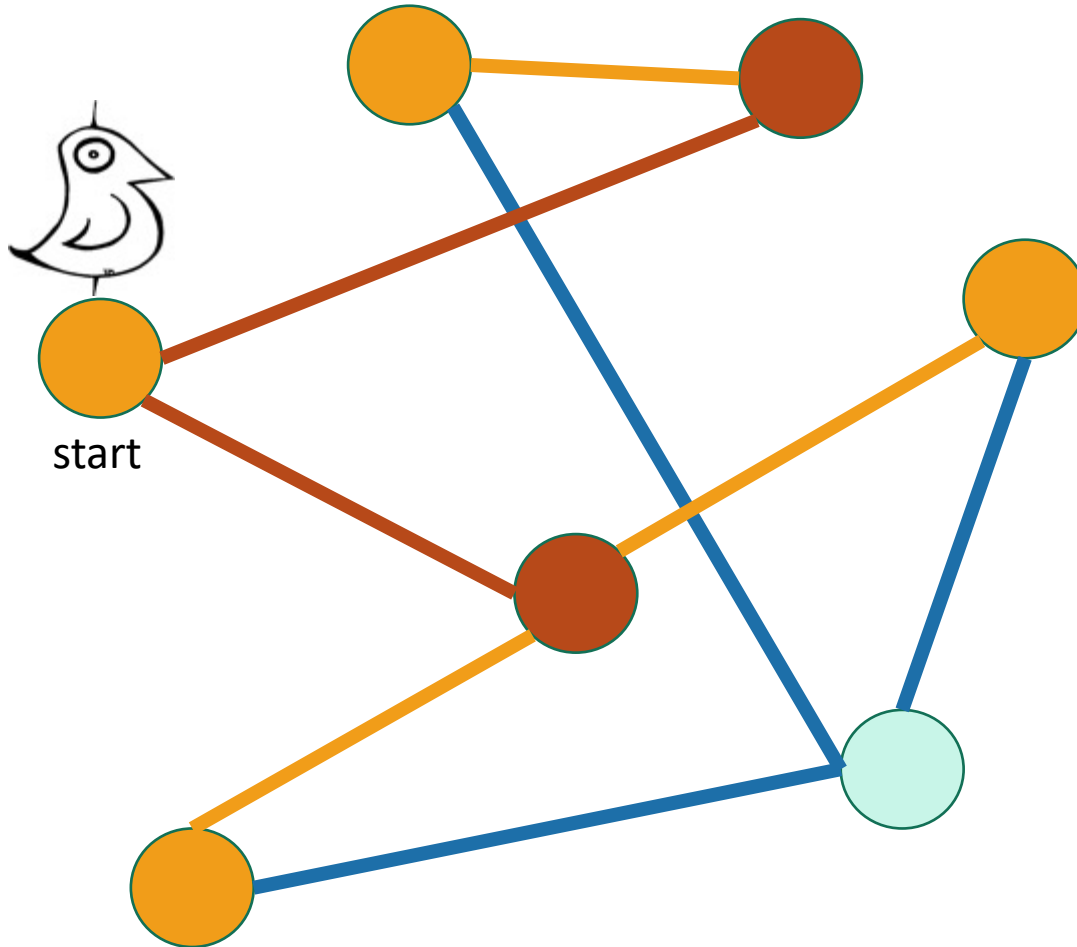
For testing bipartite-ness



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Breadth-First Search

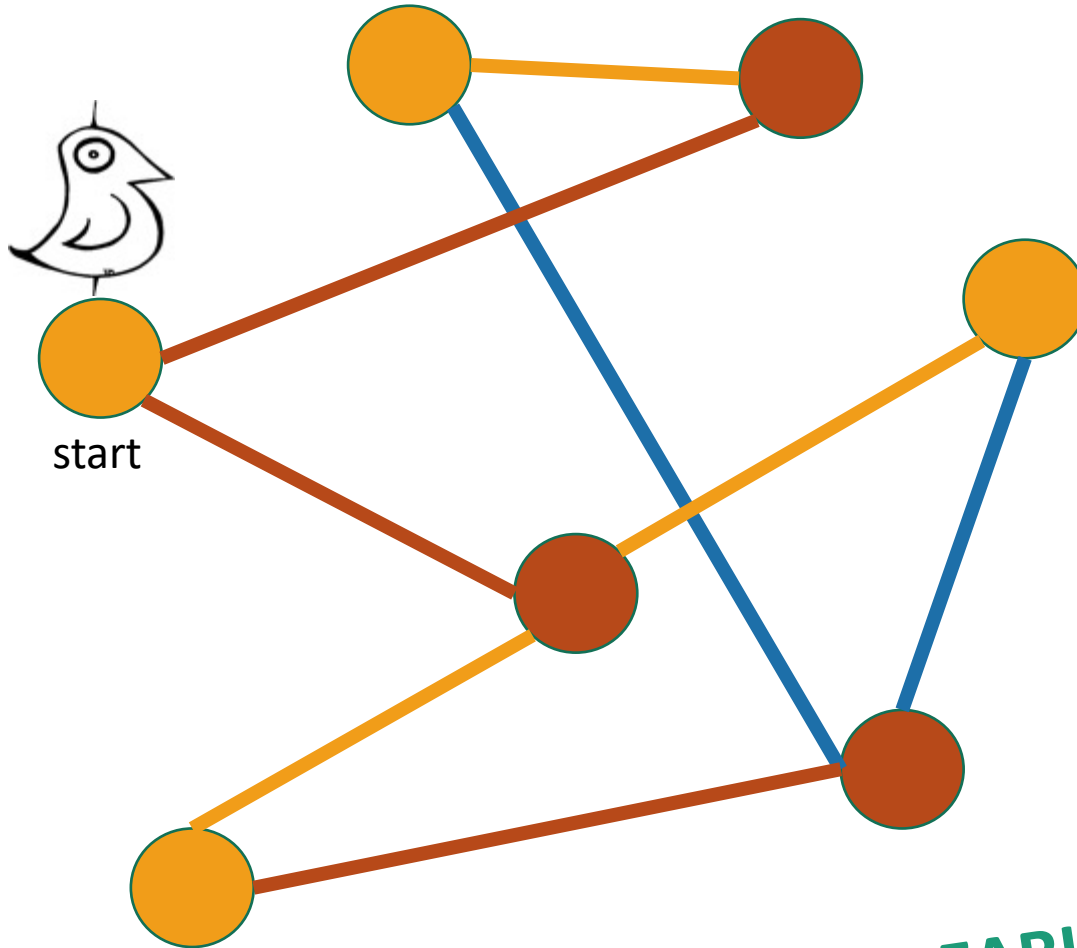
For testing bipartite-ness



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Breadth-First Search

For testing bipartite-ness

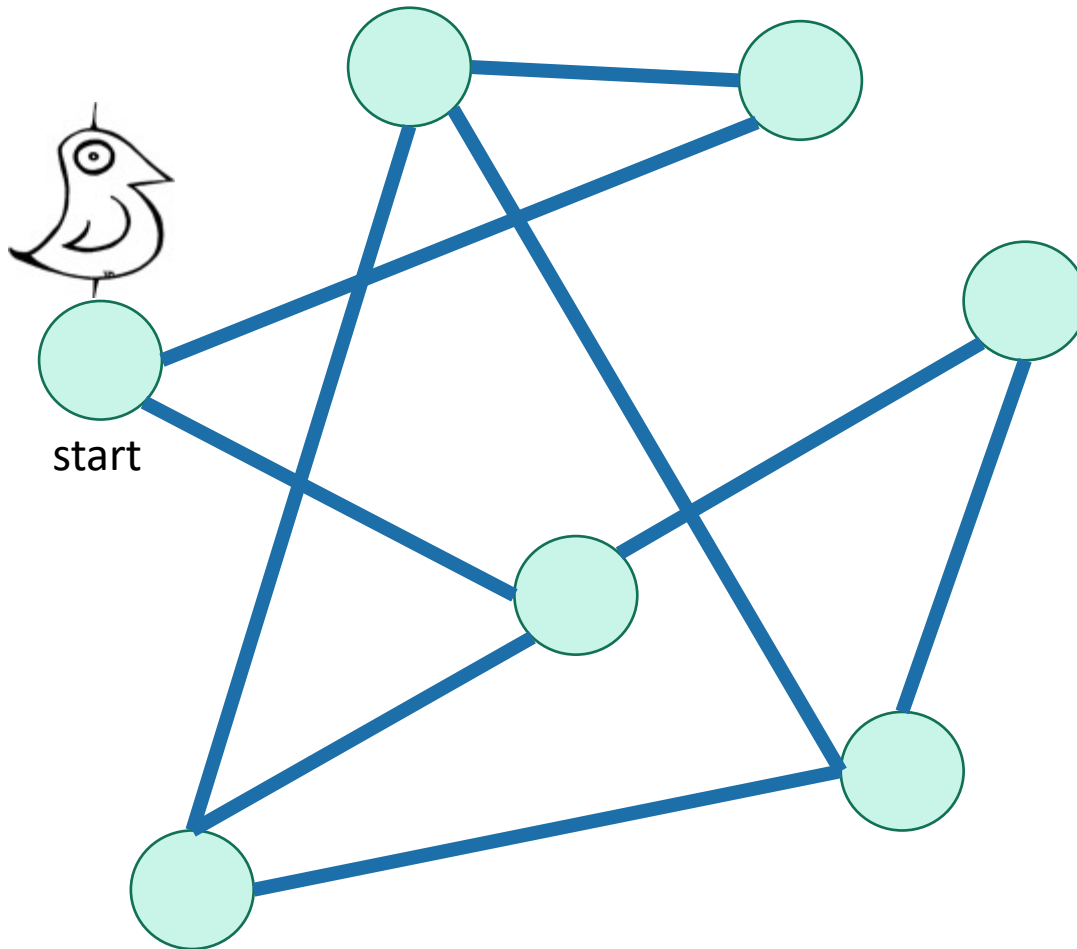







- Not been there yet
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

CLEARLY BIPARTITE!

Breadth-First Search

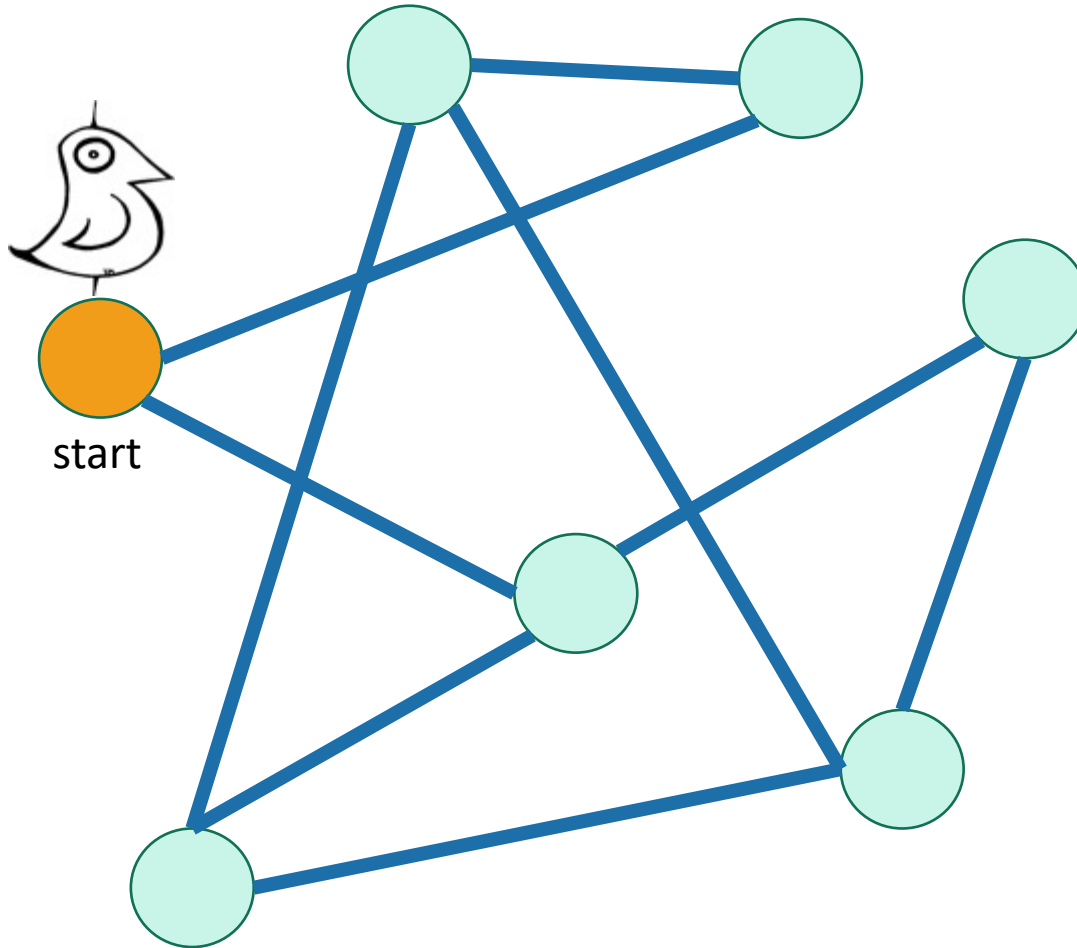
For testing bipartite-ness








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Breadth-First Search

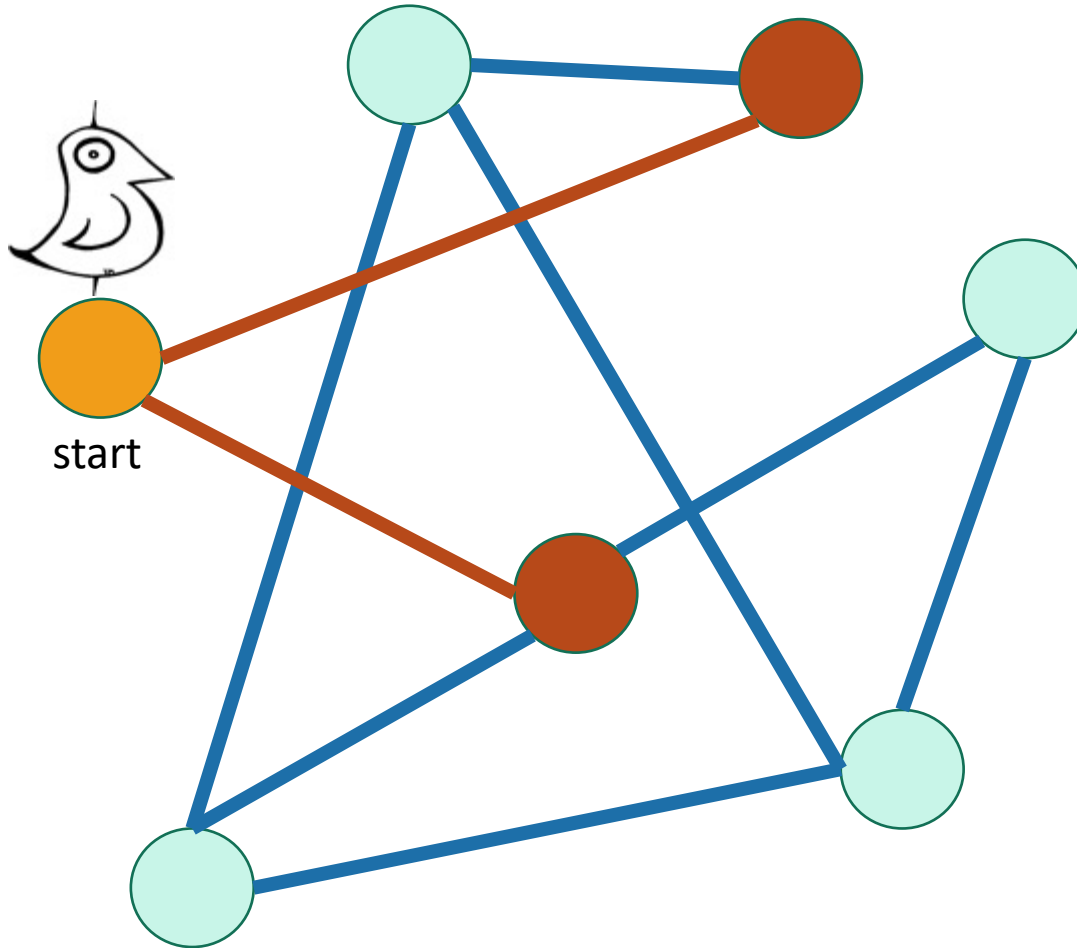
For testing bipartite-ness








-  Not been there yet
-  Can reach there in zero steps
-  Can reach there in one step
-  Can reach there in two steps
-  Can reach there in three steps

Breadth-First Search

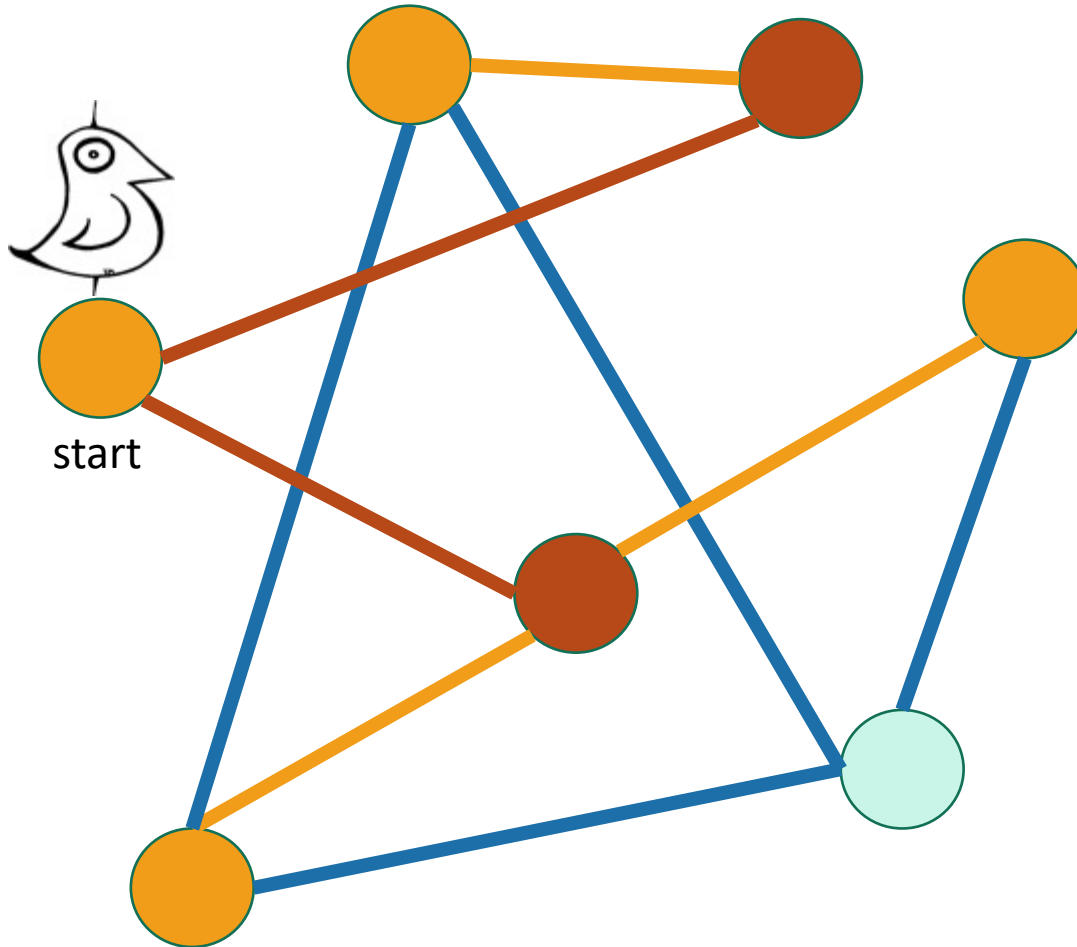
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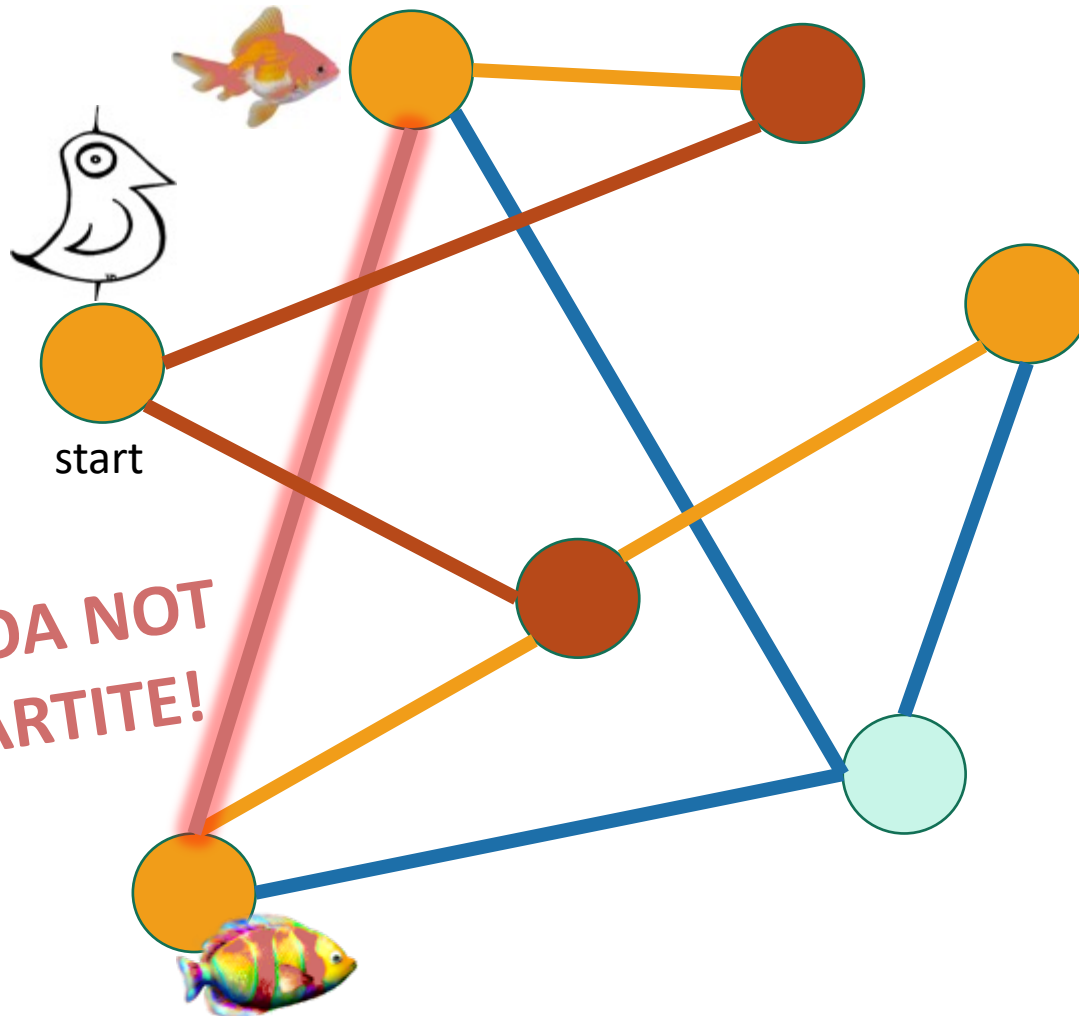
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Breadth-First Search

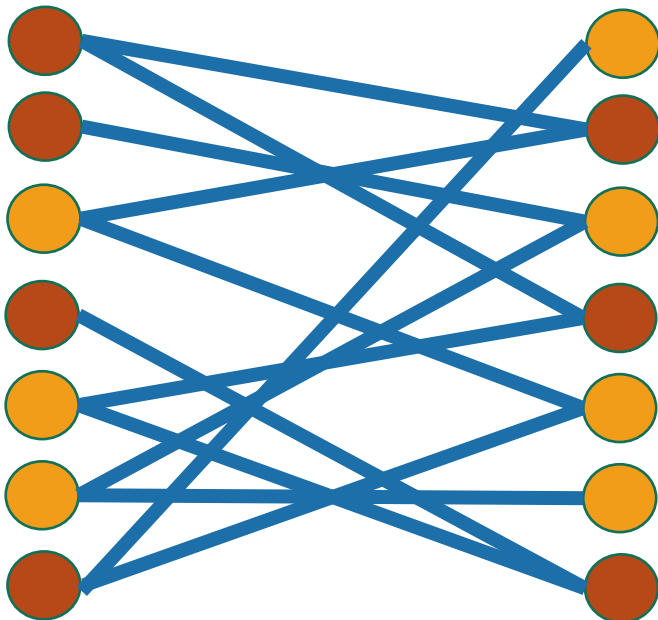
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Hang on now.

- Just because **this** coloring doesn't work, why does that mean that there is **no** coloring that works?



I can come up with plenty of bad colorings on this legitimately bipartite graph...



Plucky the pedantic penguin

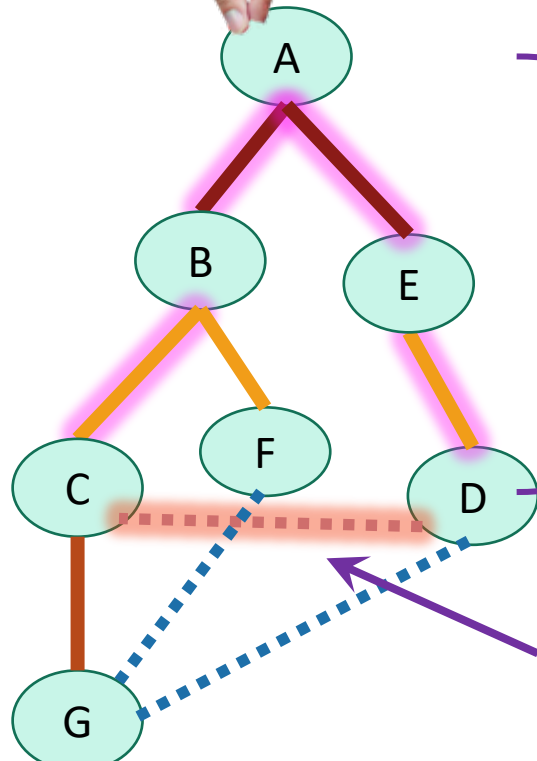
Make this proof sketch formal!



Ollie the over-achieving ostrich

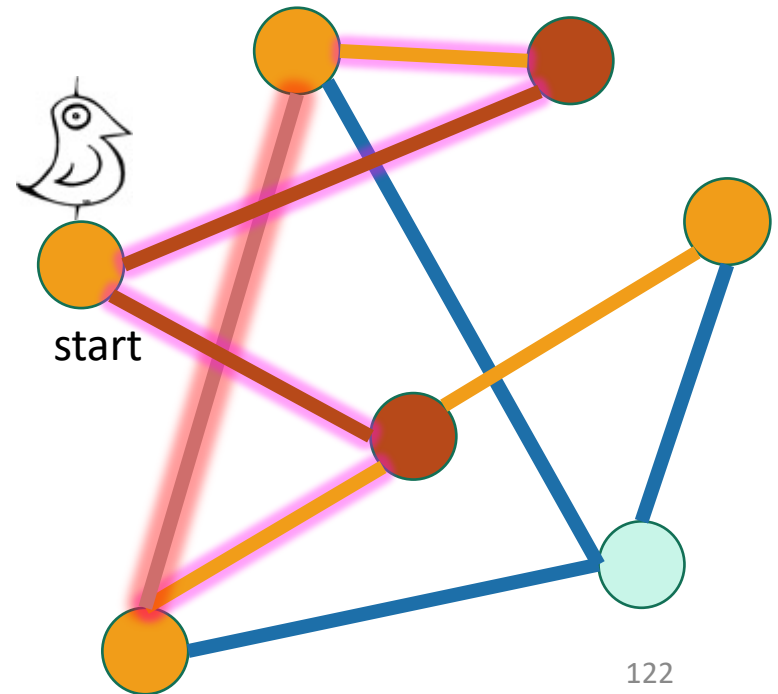
Some proof required

- If BFS colors two neighbors the same color, then it's found a **cycle of odd length** in the graph.



There must be an even number of these edges

This one extra makes it odd



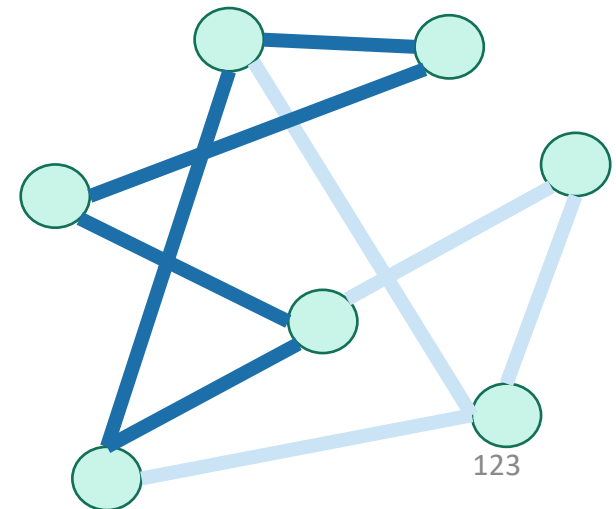
Make this proof
sketch formal!



Ollie the over-achieving ostrich

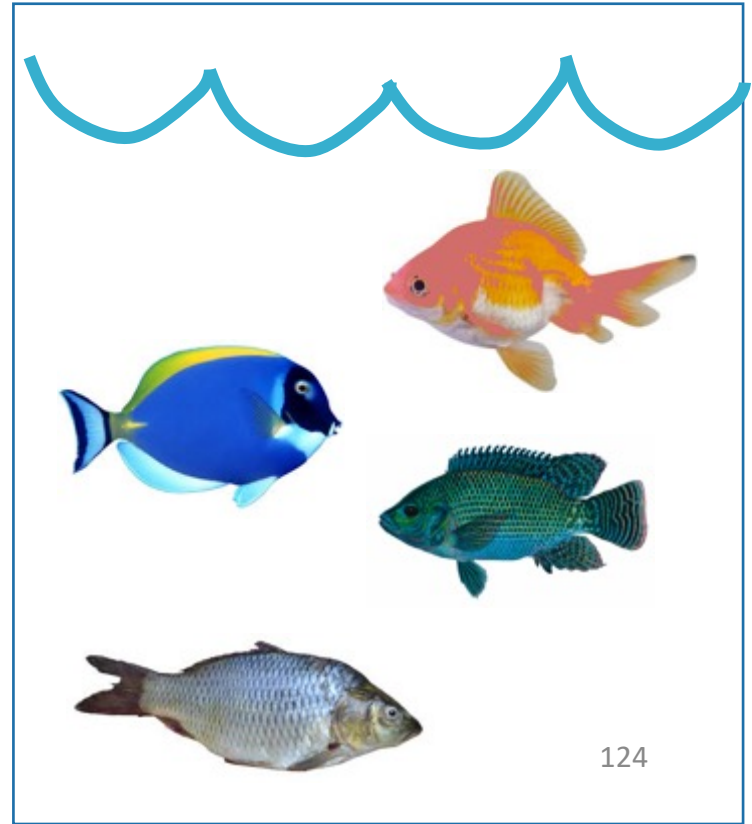
Some proof required

- If BFS colors two neighbors the same color, then it's found a **cycle of odd length** in the graph.
- But you can **never** color an odd cycle with two colors so that no two neighbors have the same color.
 - [Fun exercise!]
- So you can't legitimately color the whole graph either.
- **Thus it's not bipartite.**



What have we learned?

BFS can be used to detect bipartite-ness in time $O(n + m)$.



Outline

- Part 0: Graphs and terminology
- Part 1: Depth-first search
 - Application: topological sorting
 - Application: in-order traversal of BSTs
- Part 2: Breadth-first search
 - Application: shortest paths
 - Application (if time): is a graph bipartite?

Recap



Recap

- Depth-first search
 - Useful for topological sorting
 - Also in-order traversals of BSTs
- Breadth-first search
 - Useful for finding shortest paths
 - Also for testing bipartiteness
- Both DFS, BFS:
 - Useful for exploring graphs, finding connected components, etc

Still open (next few lectures)

- We can now find components in undirected graphs...
 - What if we want to find strongly connected components in directed graphs?
- How can we find shortest paths in **weighted** graphs?
- What is Samuel L. Jackson's Erdos number?
 - (Or, what if I want everyone's everyone-else number?)

Next Time

- Strongly Connected Components

Before Next Time

- Pre-lecture exercise: Strongly Connected What-Now?